# Evaluation Trees for Proposition Algebra The Case for Free and Repetition-Proof Valuation Congruence 

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#### Abstract

Proposition algebra is based on Hoare's conditional connective, which is a ternary connective comparable to if-then-else and used in the setting of propositional logic. Conditional statements are provided with a simple semantics that is based on evaluation trees and that characterizes so-called free valuation congruence: two conditional statements are free valuation congruent if, and only if, they have equal evaluation trees. Free valuation congruence is axiomatized by the four basic equational axioms of proposition algebra that define the conditional connective. A valuation congruence that is axiomatized in proposition algebra and that identifies more conditional statements than free valuation congruence is repetition-proof valuation congruence, which we characterize by a simple transformation on evaluation trees.


Keywords: Conditional composition • Evaluation tree • Proposition algebra • Short-circuit evaluation • Short-circuit logic

## 1 Introduction

In 1985, Hoare's paper A couple of novelties in the propositional calculus [12] was published. In this paper the ternary connective $\_\triangleleft_{-} \triangleright_{-}$is introduced as the conditional. ${ }^{1}$ A more common expression for a conditional statement

$$
P \triangleleft Q \triangleright R
$$

[^0]Table 1. The set CP of equational axioms for free valuation congruence

$$
\begin{align*}
x \triangleleft \mathrm{~T} \triangleright y & =x  \tag{CP1}\\
x \triangleleft \mathrm{~F} \triangleright y & =y  \tag{CP2}\\
\mathrm{~T} \triangleleft x \triangleright \mathrm{~F} & =x  \tag{CP3}\\
x \triangleleft(y \triangleleft z \triangleright u) \triangleright v & =(x \triangleleft y \triangleright v) \triangleleft z \triangleright(x \triangleleft u \triangleright v) \tag{CP4}
\end{align*}
$$

is "if $Q$ then $P$ else $R$ ", but in order to reason systematically with conditional statements, a notation such as $P \triangleleft Q \triangleright R$ is preferable. In a conditional statement $P \triangleleft Q \triangleright R$, first $Q$ is evaluated, and depending on that evaluation result, then either $P$ or $R$ is evaluated (and the other is not) and determines the final evaluation result. This evaluation strategy is reminiscent of short-circuit evaluation. ${ }^{2}$ In [12], Hoare proves that propositional logic can be characterized by extending equational logic with eleven axioms on the conditional, some of which employ constants for the truth values true and false.

In 2011, we introduced Proposition Algebra in [4] as a general approach to the study of the conditional: we defined several valuation congruences and provided equational axiomatizations of these congruences. The most basic and least identifying valuation congruence is free valuation congruence, which is axiomatized by the axioms in Table 1, where we use constants T and F for the truth values true and false. These axioms stem from [12] and define the conditional as a primitive connective. We use the name CP (for Conditional Propositions) for this set of axioms. Interpreting a conditional statement as an if-then-else expression, axioms (CP1)-(CP3) are natural, and axiom (CP4) (distributivity) can be clarified by case analysis: if $z$ evaluates to true and $y$ as well, then $x$ determines the result of evaluation; if $z$ evaluates to true and $y$ evaluates to false, then $v$ determines the result of evaluation, and so on and so forth. A simple example, taken from [4], is the conditional statement that a pedestrian evaluates before crossing a road with two-way traffic driving on the right:

$$
(\text { look-left-and-check } \triangleleft \text { look-right-and-check } \triangleright \mathrm{F}) \triangleleft \text { look-left-and-check } \triangleright \mathrm{F} \text {. }
$$

This statement requires one, or two, or three atomic evaluations and cannot be simplified to one that requires less. ${ }^{3}$

In Section 2 we characterize free valuation congruence with help of evaluation trees, which are simple binary trees proposed by Daan Staudt in [13] (that appeared in 2012). Given a conditional statement, its evaluation tree represents all possible consecutive atomic evaluations followed by the final evaluation result (comparable to a truth table in the case of propositional logic).

[^1]Two conditional statements are equivalent with respect to free valuation congruence if their evaluation trees are equal. Free valuation congruence identifies less than the equivalence defined by Hoare's axioms in [12]. For example, the atomic proposition $a$ and the conditional statement $\mathrm{T} \triangleleft a \triangleright a$ are not equivalent with respect to free valuation congruence, although they are equivalent with respect to static valuation congruence, which is the valuation congruence that characterizes propositional logic.

A valuation congruence that identifies more than free and less than static valuation congruence is repetition-proof valuation congruence, which is axiomatized by CP extended with two (schematic) axioms, one of which reads

$$
x \triangleleft a \triangleright(y \triangleleft a \triangleright z)=x \triangleleft a \triangleright(z \triangleleft a \triangleright z)
$$

and thus expresses that if atomic proposition $a$ evaluates to false, a consecutive evaluation of $a$ also evaluates to false, so the conditional statement at the $y$-position will not be evaluated and can be replaced by any other. As an example, $\mathrm{T} \triangleleft a \triangleright a=\mathrm{T} \triangleleft a \triangleright(\mathrm{~T} \triangleleft a \triangleright \mathrm{~F})=\mathrm{T} \triangleleft a \triangleright(\mathrm{~F} \triangleleft a \triangleright \mathrm{~F})$, and the left-hand and right-hand conditional statements are equivalent with respect to repetition-proof valuation congruence, but not with respect to free valuation congruence.

In Section 3 we characterize repetition-proof valuation congruence by defining a transformation on evaluation trees that yields repetition-proof evaluation trees: two conditional statements are equivalent with respect to repetition-proof valuation congruence if, and only if, they have equal repetition-proof evaluation trees. Although this transformation on evaluation trees is simple and natural, our proof of the mentioned characterization - which is phrased as a completeness result - is non-trivial and we could not find a proof that is essentially simpler.

In section 4 we discuss the general structure of the proof of this last result, which is based on normalization of conditional statements, and we conclude with a brief digression on short-circuit logic and an example on the use of repetitionproof valuation congruence.

The approach followed in this paper also works for most other valuation congruences defined in [4] and the case for repetition-proof valuation congruence is prototypical, as we show in [6].

## 2 Evaluation Trees for Free Valuation Congruence

Consider the signature $\Sigma_{\mathrm{CP}}(A)=\left\{-\triangleleft_{-} \triangleright_{-}, \mathrm{T}, \mathrm{F}, a \mid a \in A\right\}$ with constants T and F for the truth values true and false, respectively, and constants $a$ for atomic propositions, further called atoms, from some countable set $A$. We write

$$
C_{A}
$$

for the set of closed terms, or conditional statements, over the signature $\Sigma_{\mathrm{CP}}(A)$. Given a conditional statement $P \triangleleft Q \triangleright R$, we refer to $Q$ as its central condition.

We define the dual $P^{d}$ of $P \in C_{A}$ as follows:

$$
\begin{array}{rlrl}
\mathrm{T}^{d} & =\mathrm{F}, & a^{d} & =a \\
\mathrm{~F}^{d}=\mathrm{T}, & (\text { for } a \in A), \\
& (P \triangleleft Q \triangleright R)^{d} & =R^{d} \triangleleft Q^{d} \triangleright P^{d} .
\end{array}
$$

Observe that CP is a self-dual axiomatization: when defining $x^{d}=x$ for each variable $x$, the dual of each axiom is also in CP, and hence

$$
\mathrm{CP} \vdash P=Q \quad \Longleftrightarrow \quad \mathrm{CP} \vdash P^{d}=Q^{d} .
$$

A natural view on conditional statements in $C_{A}$ involves short-circuited evaluation, similar to how we consider the evaluation of an "if $y$ then $x$ else $z$ " expression. The following definition is taken from [13].

Definition 2.1. The set $\mathcal{T}_{A}$ of evaluation trees over $A$ with leaves in $\{\mathrm{T}, \mathrm{F}\}$ is defined inductively by

$$
\begin{aligned}
\mathrm{T} & \in \mathcal{T}_{A}, \\
\mathrm{~F} & \in \mathcal{T}_{A}, \\
(X \unlhd a \unrhd Y) & \in \mathcal{T}_{A} \text { for any } X, Y \in \mathcal{T}_{A} \text { and } a \in A .
\end{aligned}
$$

The function $\unlhd^{\unlhd} a \unrhd_{-}$is called post-conditional composition over $a$. In the evaluation tree $X \unlhd a \unrhd Y$, the root is represented by $a$, the left branch by $X$ and the right branch by $Y$.

We refer to trees in $\mathcal{T}_{A}$ as evaluation trees, or trees for short. Post-conditional composition and its notation stem from [2]. Evaluation trees play a crucial role in the main results of [13]. In order to define our "evaluation tree semantics", we first define an auxiliary function on trees.

Definition 2.2. Given evaluation trees $Y, Z \in \mathcal{T}_{A}$, the leaf replacement function $[\mathrm{T} \mapsto Y, \mathrm{~F} \mapsto Z]: \mathcal{T}_{A} \rightarrow \mathcal{T}_{A}$, for which post-fix notation

$$
X[\mathrm{~T} \mapsto Y, \mathrm{~F} \mapsto Z]
$$

is adopted, is defined as follows, where $a \in A$ :

$$
\begin{aligned}
\mathrm{T}[\mathrm{~T} \mapsto Y, \mathrm{~F} \mapsto Z] & =Y, \\
\mathrm{~F}[\mathrm{~T} \mapsto Y, \mathrm{~F} \mapsto Z] & =Z, \\
\left(X_{1} \unlhd a \unrhd X_{2}\right)[\mathrm{T} \mapsto Y, \mathrm{~F} \mapsto Z] & =X_{1}[\mathrm{~T} \mapsto Y, \mathrm{~F} \mapsto Z] \unlhd a \unrhd X_{2}[\mathrm{~T} \mapsto Y, \mathrm{~F} \mapsto Z] .
\end{aligned}
$$

We note that the order in which the replacements of leaves of $X$ is listed is irrelevant and we adopt the convention of not listing identities inside the brackets, e.g., $X[\mathrm{~F} \mapsto Z]=X[\mathrm{~T} \mapsto \mathrm{~T}, \mathrm{~F} \mapsto Z]$. Furthermore, repeated leaf replacements satisfy the following equation:

$$
\begin{aligned}
&\left(X\left[\mathrm{~T} \mapsto Y_{1}, \mathrm{~F} \mapsto Z_{1}\right]\right)\left[\mathrm{T} \mapsto Y_{2}, \mathrm{~F} \mapsto Z_{2}\right] \\
&=X\left[\mathrm{~T} \mapsto Y_{1}\left[\mathrm{~T} \mapsto Y_{2}, \mathrm{~F} \mapsto Z_{2}\right], \quad \mathrm{F} \mapsto Z_{1}\left[\mathrm{~T} \mapsto Y_{2}, \mathrm{~F} \mapsto Z_{2}\right]\right] .
\end{aligned}
$$

We now have the terminology and notation to define the interpretation of conditional statements in $C_{A}$ as evaluation trees by a function se (abbreviating short-circuit evaluation).

Definition 2.3. The short-circuit evaluation function se: $C_{A} \rightarrow \mathcal{T}_{A}$ is defined as follows, where $a \in A$ :

$$
\begin{aligned}
s e(\mathrm{~T}) & =\mathrm{T}, \\
s e(\mathrm{~F}) & =\mathrm{F}, \\
s e(a) & =\mathrm{T} \unlhd a \unrhd \mathrm{~F}, \\
s e(P \triangleleft Q \triangleright R) & =s e(Q)[\mathrm{T} \mapsto s e(P), \mathrm{F} \mapsto s e(R)] .
\end{aligned}
$$

Example 2.4. The conditional statement $a \triangleleft(\mathrm{~F} \triangleleft a \triangleright \mathrm{~T}) \triangleright \mathrm{F}$ yields the following evaluation tree:

$$
\begin{aligned}
s e(a \triangleleft(\mathrm{~F} \triangleleft a \triangleright \mathrm{~T}) \triangleright \mathrm{F}) & =s e(\mathrm{~F} \triangleleft a \triangleright \mathrm{~T})[\mathrm{T} \mapsto s e(a), \mathrm{F} \mapsto s e(\mathrm{~F})] \\
& =(\mathrm{F} \unlhd a \unrhd \mathrm{~T})[\mathrm{T} \mapsto s e(a)] \\
& =\mathrm{F} \unlhd a \unrhd(\mathrm{~T} \unlhd a \unrhd \mathrm{~F}) .
\end{aligned}
$$

A more pictorial representation of this evaluation tree is the following, where $\unlhd$ yields a left branch and $\unrhd$ a right branch:


As we can see from the definition on atoms, evaluation continues in the left branch if an atom evaluates to true and in the right branch if it evaluates to false. We shall often use the constants T and F to denote the result of an evaluation (instead of true and false).
Definition 2.5. Let $P \in C_{A}$. An evaluation of $P$ is a pair $(\sigma, B)$ where $\sigma \in$ $(A\{\mathrm{~T}, \mathrm{~F}\})^{*}$ and $B \in\{\mathrm{~T}, \mathrm{~F}\}$, such that if $\operatorname{se}(P) \in\{\mathrm{T}, \mathrm{F}\}$, then $\sigma=\epsilon$ (the empty string) and $B=s e(P)$, and otherwise,

$$
\sigma=a_{1} B_{1} a_{2} B_{2} \cdots a_{n} B_{n}
$$

where $a_{1} a_{2} \cdots a_{n} B$ is a complete path in se $(P)$ and

- for $i<n$, if $a_{i+1}$ is a left child of $a_{i}$ then $B_{i}=\mathrm{T}$, and otherwise $B_{i}=\mathrm{F}$,
- if $B$ is a left child of $a_{n}$ then $B_{n}=\mathrm{T}$, and otherwise $B_{n}=\mathrm{F}$.

We refer to $\sigma$ as the evaluation path and to $B$ as the evaluation result.
So, an evaluation of a conditional statement $P$ is a complete path in $\operatorname{se}(P)$ (from root to leaf) and contains evaluation values for all occurring atoms. For instance, the evaluation tree $\mathrm{F} \unlhd a \unrhd(\mathrm{~T} \unlhd a \unrhd \mathrm{~F})$ from Example 2.4 encodes the evaluations ( $a \mathrm{~T}, \mathrm{~F}$ ), ( $a \mathrm{~F} a \mathrm{~T}, \mathrm{~T}$ ), and ( $a \mathrm{~F} a \mathrm{~F}, \mathrm{~F})$. As an aside, we note that this particular evaluation tree encodes all possible evaluations of $\neg a \& \& a$, where \&\& is the connective that prescribes short-circuited conjunction (we return to this connective in Section 4).

In turn, each evaluation tree gives rise to a unique conditional statement. For Example 2.4, this is $\mathrm{F} \triangleleft a \triangleright(\mathrm{~T} \triangleleft a \triangleright \mathrm{~F})$ (note the syntactical correspondence).

Definition 2.6. Basic forms over $A$ are defined by the following grammar

$$
t::=\mathrm{T}|\mathrm{~F}| t \triangleleft a \triangleright t \quad \text { for } a \in A .
$$

We write $B F_{A}$ for the set of basic forms over $A$. The depth $d(P)$ of $P \in B F_{A}$ is defined by $d(\mathrm{~T})=d(\mathrm{~F})=0$ and $d(Q \triangleleft a \triangleright R)=1+\max \{d(Q), d(R)\}$.

The following two lemmas exploit the structure of basic forms and are stepping stones to our first completeness result (Theorem 2.11).
Lemma 2.7. For each $P \in C_{A}$ there exists $Q \in B F_{A}$ such that $\mathrm{CP} \vdash P=Q$.
Proof. First we establish an auxiliary result: if $P, Q, R$ are basic forms, then there is a basic form $S$ such that $\mathrm{CP} \vdash P \triangleleft Q \triangleright R=S$. This follows by structural induction on $Q$.

The lemma's statement follows by structural induction on $P$. The base cases $P \in\{\mathrm{~T}, \mathrm{~F}, a \mid a \in A\}$ are trivial, and if $P=P_{1} \triangleleft P_{2} \triangleright P_{3}$ there exist by induction basic forms $Q_{i}$ such that $\mathrm{CP} \vdash P_{i}=Q_{i}$, hence CP $\vdash P_{1} \triangleleft P_{2} \triangleright P_{3}=$ $Q_{1} \triangleleft Q_{2} \triangleright Q_{3}$. Now apply the auxiliary result.
Lemma 2.8. For all basic forms $P$ and $Q$, se $(P)=s e(Q)$ implies $P=Q$.
Proof. By structural induction on $P$. The base cases $P \in\{\mathrm{~T}, \mathrm{~F}\}$ are trivial. If $P=P_{1} \triangleleft a \triangleright P_{2}$, then $Q \notin\{\mathrm{~T}, \mathrm{~F}\}$ and $Q \neq Q_{1} \triangleleft b \triangleright Q_{2}$ with $b \neq a$, so $Q=Q_{1} \triangleleft a \triangleright Q_{2}$ and $s e\left(P_{i}\right)=s e\left(Q_{i}\right)$. By induction we find $P_{i}=Q_{i}$, and hence $P=Q$.

Definition 2.9. Free valuation congruence, notation $=_{\text {se }}$, is defined on $C_{A}$ as follows:

$$
P={ }_{s e} Q \Longleftrightarrow s e(P)=s e(Q)
$$

Lemma 2.10. Free valuation congruence is a congruence relation.
Proof. Let $P, Q, R \in C_{A}$ and assume $P={ }_{\text {se }} P^{\prime}$, thus $\operatorname{se}(P)=s e\left(P^{\prime}\right)$. Then $s e(P \triangleleft Q \triangleright R)=s e(Q)[\mathrm{T} \mapsto s e(P), \mathrm{F} \mapsto s e(R)]=s e(Q)\left[\mathrm{T} \mapsto s e\left(P^{\prime}\right), \mathrm{F} \mapsto\right.$ $s e(R)]=s e\left(P^{\prime} \triangleleft Q \triangleright R\right)$, and thus $P \triangleleft Q \triangleright R={ }_{s e} P^{\prime} \triangleleft Q \triangleright R$. The two remaining cases can be proved in a similar way.
Theorem 2.11 (Completeness of CP). For all $P, Q \in C_{A}$,

$$
\mathrm{CP} \vdash P=Q \quad \Longleftrightarrow \quad P={ }_{\text {se }} Q
$$

Proof. We first prove $\Rightarrow$. By Lemma $2.10,=_{s e}$ is a congruence relation and it easily follows that all CP-axioms are sound. For example, soundness of axiom (CP4) follows from

$$
\begin{aligned}
\operatorname{se}(P \triangleleft & (Q \triangleleft R \triangleright S) \triangleright U) \\
& =s e(Q \triangleleft R \triangleright S)[\mathrm{T} \mapsto s e(P), \mathrm{F} \mapsto s e(U)] \\
& =(s e(R)[\mathrm{T} \mapsto s e(Q), \mathrm{F} \mapsto s e(S)])[\mathrm{T} \mapsto s e(P), \mathrm{F} \mapsto s e(U)] \\
& =s e(R)[\mathrm{T} \mapsto s e(Q)[\mathrm{T} \mapsto s e(P), \mathrm{F} \mapsto s e(U)], \\
& \mathrm{F} \mapsto s e(S)[\mathrm{T} \mapsto s e(P), \mathrm{F} \mapsto s e(U)]] \\
& =\operatorname{se}(R)[\mathrm{T} \mapsto s e(P \triangleleft Q \triangleright U), \mathrm{F} \mapsto s e(P \triangleleft S \triangleright U)] \\
& =s e((P \triangleleft Q \triangleright U) \triangleleft R \triangleright(P \triangleleft S \triangleright U)) .
\end{aligned}
$$

In order to prove $\Leftarrow$, let $P={ }_{\text {se }} Q$. According to Lemma 2.7 there exist basic forms $P^{\prime}$ and $Q^{\prime}$ such that $\mathrm{CP} \vdash P=P^{\prime}$ and $\mathrm{CP} \vdash Q=Q^{\prime}$, so $\mathrm{CP} \vdash P^{\prime}=Q^{\prime}$. By soundness $(\Rightarrow)$ we find $P^{\prime}={ }_{s e} Q^{\prime}$, so by Lemma $2.8, P^{\prime}=Q^{\prime}$. Hence, $\mathrm{CP} \vdash P=P^{\prime}=Q^{\prime}=Q$.

A consequence of the above results is that for each $P \in C_{A}$ there is a unique basic form $P^{\prime}$ with $\mathrm{CP} \vdash P=P^{\prime}$, and that for each basic form, its se-image has exactly the same syntactic structure (replacing $\triangleleft$ by $\unlhd$, and $\triangleright$ by $\unrhd$ ). In the remainder of this section, we make this precise.

Definition 2.12. The basic form function bf : $C_{A} \rightarrow B F_{A}$ is defined as follows, where $a \in A$ :

$$
\begin{aligned}
b f(\mathrm{~T}) & =\mathrm{T}, \\
b f(\mathrm{~F}) & =\mathrm{F}, \\
b f(a) & =\mathrm{T} \triangleleft a \triangleright \mathrm{~F}, \\
b f(P \triangleleft Q \triangleright R) & =b f(Q)[\mathrm{T} \mapsto b f(P), \mathrm{F} \mapsto b f(R)] .
\end{aligned}
$$

Given $Q, R \in B F_{A}$, the auxiliary function $[\mathrm{T} \mapsto Q, \mathrm{~F} \mapsto R]: B F_{A} \rightarrow B F_{A}$ for which post-fix notation $P[\mathrm{~T} \mapsto Q, \mathrm{~F} \mapsto R]$ is adopted, is defined as follows:

$$
\begin{aligned}
\mathrm{T}[\mathrm{~T} & \mapsto Q, \mathrm{~F} \mapsto R]
\end{aligned}=Q, \quad \begin{aligned}
\mathrm{F}[\mathrm{~T} \mapsto Q, \mathrm{~F} \mapsto R] & =R, \\
\left(P_{1} \triangleleft a \triangleright P_{2}\right)[\mathrm{T} & \mapsto, \mathrm{F} \mapsto R]
\end{aligned}=P_{1}[\mathrm{~T} \mapsto Q, \mathrm{~F} \mapsto R] \triangleleft a \triangleright P_{2}[\mathrm{~T} \mapsto Q, \mathrm{~F} \mapsto R] .
$$

(The notational overloading with the leaf replacement function on evaluation trees is harmless).

So, for given $Q, R \in B F_{A}$, the auxiliary function $[\mathrm{T} \mapsto Q, \mathrm{~F} \mapsto R]$ applied to $P \in B F_{A}$ (thus, $P[\mathrm{~T} \mapsto Q, \mathrm{~F} \mapsto R]$ ) replaces all T-occurrences in $P$ by $Q$, and all F-occurrences in $P$ by $R$. The following two lemmas imply that $b f$ is a normalization function.

Lemma 2.13. For all $P \in C_{A}, b f(P)$ is a basic form.
Proof. By structural induction. The base cases are trivial. For the inductive case we find $b f(P \triangleleft Q \triangleright R)=b f(Q)[\mathrm{T} \mapsto b f(P), \mathrm{F} \mapsto b f(R)]$, so by induction, $b f(P)$, $b f(Q)$, and $b f(R)$ are basic forms. Furthermore, replacing all T-occurrences and F-occurrences in $b f(Q)$ by basic forms $b f(P)$ and $b f(R)$, respectively, yields a basic form.

Lemma 2.14. For each basic form $P, b f(P)=P$.
Proof. By structural induction on $P$.
Definition 2.15. The binary relation $={ }_{b f}$ on $C_{A}$ is defined as follows:

$$
P={ }_{b f} Q \Longleftrightarrow b f(P)=b f(Q) .
$$

Lemma 2.16. The relation $={ }_{b f}$ is a congruence relation.
Proof. Let $P, Q, R \in C_{A}$ and assume $P={ }_{b f} P^{\prime}$, thus $b f(P)=b f\left(P^{\prime}\right)$. Then $b f(P \triangleleft Q \triangleright R)=b f(Q)[\mathrm{T} \mapsto b f(P), \mathrm{F} \mapsto b f(R)]=b f(Q)\left[\mathrm{T} \mapsto b f\left(P^{\prime}\right), \mathrm{F} \mapsto\right.$ $b f(R)]=b f\left(P^{\prime} \triangleleft Q \triangleright R\right)$, and thus $P \triangleleft Q \triangleright R={ }_{b f} P^{\prime} \triangleleft Q \triangleright R$. The two remaining cases can be proved in a similar way.

Before proving that CP is an axiomatization of the relation $={ }_{b f}$, we show that each instance of the axiom (CP4) satisfies $={ }_{b f}$.

Lemma 2.17. For all $P, P_{1}, P_{2}, Q_{1}, Q_{2} \in C_{A}$,

$$
b f\left(Q_{1} \triangleleft\left(P_{1} \triangleleft P \triangleright P_{2}\right) \triangleright Q_{2}\right)=b f\left(\left(Q_{1} \triangleleft P_{1} \triangleright Q_{2}\right) \triangleleft P \triangleright\left(Q_{1} \triangleleft P_{2} \triangleright Q_{2}\right)\right) .
$$

Proof. By definition, the lemma's statement is equivalent with

$$
\begin{align*}
(b f(P) & {\left.\left[\mathrm{T} \mapsto b f\left(P_{1}\right), \mathrm{F} \mapsto b f\left(P_{2}\right)\right]\right)\left[\mathrm{T} \mapsto b f\left(Q_{1}\right), \mathrm{F} \mapsto b f\left(Q_{2}\right)\right] } \\
& =b f(P)\left[\mathrm{T} \mapsto b f\left(Q_{1} \triangleleft P_{1} \triangleright Q_{2}\right), \mathrm{F} \mapsto b f\left(Q_{1} \triangleleft P_{2} \triangleright Q_{2}\right)\right] . \tag{1}
\end{align*}
$$

By Lemma 2.13, bf $(P), b f\left(P_{i}\right)$, and $b f\left(Q_{i}\right)$ are basic forms. We prove (1) by structural induction on the form that $b f(P)$ can have. If $b f(P)=\mathrm{T}$, then

$$
\begin{aligned}
\left(\mathrm{T}\left[\mathrm{~T} \mapsto b f\left(P_{1}\right), \mathrm{F} \mapsto b f\left(P_{2}\right)\right]\right) & {\left[\mathrm{T} \mapsto b f\left(Q_{1}\right), \mathrm{F} \mapsto b f\left(Q_{2}\right)\right] } \\
& =b f\left(P_{1}\right)\left[\mathrm{T} \mapsto b f\left(Q_{1}\right), \mathrm{F} \mapsto b f\left(Q_{2}\right)\right]
\end{aligned}
$$

and

$$
\mathrm{T}\left[\mathrm{~T} \mapsto b f\left(Q_{1} \triangleleft P_{1} \triangleright Q_{2}\right), \mathrm{F} \mapsto b f\left(Q_{1} \triangleleft P_{2} \triangleright Q_{2}\right)\right] \quad \text { ( } \begin{aligned}
& =b f\left(Q_{1} \triangleleft P_{1} \triangleright Q_{2}\right) \\
& =b f\left(P_{1}\right)\left[\mathrm{T} \mapsto b f\left(Q_{1}\right), \mathrm{F} \mapsto b f\left(Q_{2}\right)\right] .
\end{aligned}
$$

If $b f(P)=\mathrm{F}$, then equation (1) follows in a similar way.
The inductive case $b f(P)=R_{1} \triangleleft a \triangleright R_{2}$ is trivial (by definition of the last defining clause of the auxiliary functions $[\mathrm{T} \mapsto Q, \mathrm{~F} \mapsto R]$ in Definition 2.12).

Theorem 2.18. For all $P, Q \in C_{A}, \mathrm{CP} \vdash P=Q \Longleftrightarrow P={ }_{b f} Q$.
Proof. We first prove $\Rightarrow$. By Lemma $2.16,=_{b f}$ is a congruence relation and it easily follows that arbitrary instances of the CP-axioms (CP1)-(CP3) satisfy $={ }_{b f}$. By Lemma 2.17 it follows that arbitrary instances of axiom (CP4) also satisfy $={ }_{b f}$.

In order to prove $\Leftarrow$, assume $P={ }_{b f} Q$. According to Lemma 2.7, there exist basic forms $P^{\prime}$ and $Q^{\prime}$ such that $\mathrm{CP} \vdash P=P^{\prime}$ and $\mathrm{CP} \vdash Q=Q^{\prime}$, so $\mathrm{CP} \vdash P^{\prime}=Q^{\prime}$. By $\Rightarrow$ it follows that $P^{\prime}={ }_{b f} Q^{\prime}$, which implies by Lemma 2.14 that $P^{\prime}=Q^{\prime}$. Hence, $\mathrm{CP} \vdash P=P^{\prime}=Q^{\prime}=Q$.

Corollary 2.19. For all $P \in C_{A}, P=b f$ bf $(P)$ and $P={ }_{s e} b f(P)$.
Proof. By Lemma 2.13 and Lemma 2.14, $b f(P)=b f(b f(P))$, thus $P={ }_{b f} b f(P)$. By Theorem 2.18, $\mathrm{CP} \vdash P=b f(P)$, and by Theorem 2.11, $P={ }_{\text {se }} b f(P)$.

## 3 Evaluation Trees for Repetition-proof Valuation Congruence

In [4] we defined repetition-proof CP as the extension of the axiom set CP with the following two axiom schemes, where $a$ ranges over $A$ :

$$
\begin{align*}
& (x \triangleleft a \triangleright y) \triangleleft a \triangleright z=(x \triangleleft a \triangleright x) \triangleleft a \triangleright z,  \tag{CPrp1}\\
& x \triangleleft a \triangleright(y \triangleleft a \triangleright z)=x \triangleleft a \triangleright(z \triangleleft a \triangleright z) . \tag{CPrp2}
\end{align*}
$$

We write $\mathrm{CP}_{r p}(A)$ for this extension. These axiom schemes characterize that for each atom $a$, a consecutive evaluation of $a$ yields the same result, so in both cases the conditional statement at the $y$-position will not be evaluated and can be replaced by any other. Note that (CPrp1) and (CPrp2) are each others dual.

We define a proper subset of basic forms with the property that each conditional statement can be proved equal to such a basic form.
Definition 3.1. Rp-basic forms are inductively defined:

- T and F are rp-basic forms, and
- $P_{1} \triangleleft a \triangleright P_{2}$ is an rp-basic form if $P_{1}$ and $P_{2}$ are rp-basic forms, and if $P_{i}$ is not equal to T or F , then either the central condition in $P_{i}$ is different from $a$, or $P_{i}$ is of the form $Q_{i} \triangleleft a \triangleright Q_{i}$.

It will turn out useful to define a function that transforms conditional statements into rp-basic forms and that is comparable to the function $b f$.

Definition 3.2. The rp-basic form function rpbf : $C_{A} \rightarrow C_{A}$ is defined by

$$
r p b f(P)=r p f(b f(P)) .
$$

The auxiliary function rpf: $B F_{A} \rightarrow B F_{A}$ is defined as follows:

$$
\begin{aligned}
r p f(\mathrm{~T}) & =\mathrm{T}, \\
r p f(\mathrm{~F}) & =\mathrm{F}, \\
r p f(P \triangleleft a \triangleright Q) & =r p f\left(f_{a}(P)\right) \triangleleft a \triangleright r p f\left(g_{a}(Q)\right) .
\end{aligned}
$$

For $a \in A$, the auxiliary functions $f_{a}: B F_{A} \rightarrow B F_{A}$ and $g_{a}: B F_{A} \rightarrow B F_{A}$ are defined by

$$
\begin{aligned}
f_{a}(\mathrm{~T}) & =\mathrm{T}, \\
f_{a}(\mathrm{~F}) & =\mathrm{F}, \\
f_{a}(P \triangleleft b \triangleright Q) & = \begin{cases}f_{a}(P) \triangleleft a \triangleright f_{a}(P) & \text { if } b=a, \\
P \triangleleft b \triangleright Q & \text { otherwise },\end{cases}
\end{aligned}
$$

and

$$
\begin{aligned}
g_{a}(\mathrm{~T}) & =\mathrm{T}, \\
g_{a}(\mathrm{~F}) & =\mathrm{F}, \\
g_{a}(P \triangleleft b \triangleright Q) & = \begin{cases}g_{a}(Q) \triangleleft a \triangleright g_{a}(Q) & \text { if } b=a, \\
P \triangleleft b \triangleright Q & \text { otherwise. }\end{cases}
\end{aligned}
$$

Thus, rpbf maps a conditional statement $P$ to $b f(P)$ and then transforms $b f(P)$ according to the auxiliary functions $r p f, f_{a}$, and $g_{a}$.
Lemma 3.3. For all $a \in A$ and $P \in B F_{A}, g_{a}\left(f_{a}(P)\right)=f_{a}\left(f_{a}(P)\right)=f_{a}(P)$ and $f_{a}\left(g_{a}(P)\right)=g_{a}\left(g_{a}(P)\right)=g_{a}(P)$.
Proof. By structural induction on $P$. The base cases $P \in\{\mathrm{~T}, \mathrm{~F}\}$ are trivial. For the inductive case $P=Q \triangleleft b \triangleright R$ we have to distinguish the cases $b=a$ and $b \neq a$. If $b=a$, then

$$
\begin{array}{rlrl}
g_{a}\left(f_{a}(Q \triangleleft a \triangleright R)\right) & =g_{a}\left(f_{a}(Q)\right) \triangleleft a \triangleright g_{a}\left(f_{a}(Q)\right) & \\
& =f_{a}(Q) \triangleleft a \triangleright f_{a}(Q) \\
& =f_{a}(Q \triangleleft a \triangleright R), & \text { by IH }
\end{array}
$$

and $f_{a}\left(f_{a}(Q \triangleleft a \triangleright R)\right)=f_{a}(Q \triangleleft a \triangleright R)$ follows in a similar way. If $b \neq a$, then $f_{a}(P)=g_{a}(P)=P$, and hence $g_{a}\left(f_{a}(P)\right)=f_{a}\left(f_{a}(P)\right)=f_{a}(P)$.

The second pair of equalities can be derived in a similar way.
In order to prove that for all $P \in C_{A}, \operatorname{rpbf}(P)$ is an rp-basic form, we use the following auxiliary lemma.

Lemma 3.4. For all $a \in A$ and $P \in B F_{A}, d(P) \geq d\left(f_{a}(P)\right)$ and $d(P) \geq$ $d\left(g_{a}(P)\right)$.

Proof. Fix some $a \in A$. We prove these inequalities by structural induction on $P$. The base cases $P \in\{\mathrm{~T}, \mathrm{~F}\}$ are trivial. For the inductive case $P=Q \triangleleft b \triangleright R$ we have to distinguish the cases $b=a$ and $b \neq a$. If $b=a$, then

$$
\begin{array}{rlr}
d(Q \triangleleft a \triangleright R) & =1+\max \{d(Q), d(R)\} & \\
& \geq 1+d(Q) & \\
& \geq 1+d\left(f_{a}(Q)\right) & \text { by IH } \\
& =d\left(f_{a}(Q) \triangleleft a \triangleright f_{a}(Q)\right) & \\
& =d\left(f_{a}(Q \triangleleft a \triangleright R)\right), &
\end{array}
$$

and $d(Q \triangleleft a \triangleright R) \geq d\left(g_{a}(Q \triangleleft a \triangleright R)\right)$ follows in a similar way.
If $b \neq a$, then $f_{a}(P)=g_{a}(P)=P$, and hence $d(P) \geq d\left(f_{a}(P)\right)$ and $d(P) \geq$ $d\left(g_{a}(P)\right)$.

Lemma 3.5. For all $P \in C_{A}$, $\operatorname{rpbf}(P)$ is an rp-basic form.
Proof. We first prove an auxiliary result:

$$
\begin{equation*}
\text { For all } P \in B F_{A}, \operatorname{rpf}(P) \text { is an rp-basic form. } \tag{2}
\end{equation*}
$$

This follows by induction on the depth $d(P)$ of $P$. If $d(P)=0$, then $P \in\{\mathrm{~T}, \mathrm{~F}\}$, and hence $\operatorname{rpf}(P)=P$ is an rp-basic form. For the inductive case $d(P)=n+1$ it must be the case that $P=Q \triangleleft a \triangleright R$. We find

$$
r p f(Q \triangleleft a \triangleright R)=r p f\left(f_{a}(Q)\right) \triangleleft a \triangleright r p f\left(g_{a}(R)\right),
$$

which is an rp-basic form because

- by Lemma 3.4, $f_{a}(Q)$ and $g_{a}(R)$ are basic forms with depth smaller than or equal to $n$, so by the induction hypothesis, $\operatorname{rpf}\left(f_{a}(Q)\right)$ and $\operatorname{rpf}\left(g_{a}(R)\right)$ are rp-basic forms,
$-\operatorname{rpf}\left(f_{a}(Q)\right)$ and $\operatorname{rpf}\left(g_{a}(R)\right)$ both satisfy the following property: if the central condition (if present) is $a$, then the outer arguments are equal. We show this first for $\operatorname{rpf}\left(f_{a}(Q)\right)$ by a case distinction on the form of $Q$ :

1. If $Q \in\{\mathrm{~T}, \mathrm{~F}\}$, then $\operatorname{rpf}\left(f_{a}(Q)\right)=Q$, so there is nothing to prove.
2. If $Q=Q_{1} \triangleleft a \triangleright Q_{2}$, then $f_{a}(Q)=f_{a}\left(Q_{1}\right) \triangleleft a \triangleright f_{a}\left(Q_{1}\right)$ and thus by Lemma 3.3, $\operatorname{rpf}\left(f_{a}(Q)\right)=\operatorname{rpf}\left(f_{a}\left(Q_{1}\right)\right) \triangleleft a \triangleright r p f\left(f_{a}\left(Q_{1}\right)\right)$.
3. If $Q=Q_{1} \triangleleft b \triangleright Q_{2}$ with $b \neq a$, then $f_{a}(Q)=Q_{1} \triangleleft b \triangleright Q_{2}$ and thus $r p f\left(f_{a}(Q)\right)=r p f\left(f_{b}\left(Q_{1}\right)\right) \triangleleft b \triangleright \operatorname{rpf}\left(g_{b}\left(Q_{2}\right)\right)$, so there is nothing to prove.
The fact that $\operatorname{rpf}\left(g_{a}(R)\right)$ satisfies this property follows in a similar way.
This finishes the proof of auxiliary result (2).
The lemma's statement now follows by structural induction: the base cases (comprising a single atom $a$ ) are again trivial, and for the inductive case,

$$
r p b f(P \triangleleft Q \triangleright R)=r p f(b f(P \triangleleft Q \triangleright R))=\operatorname{rpf}(S)
$$

for some basic form $S$ by Lemma 2.13, and by auxiliary result (2), $\operatorname{rpf}(S)$ is an rp-basic form.

The following, rather technical result is used in Proposition 3.7 and Lemma 3.8.
Lemma 3.6. If $Q \triangleleft a \triangleright R$ is an rp-basic form, then $Q=\operatorname{rpf}(Q)=\operatorname{rpf}\left(f_{a}(Q)\right)$ and $R=\operatorname{rpf}(R)=\operatorname{rpf}\left(g_{a}(R)\right)$.

Proof. We first prove an auxiliary result:
If $Q \triangleleft a \triangleright R$ is an rp-basic form, then $f_{a}(Q)=g_{a}(Q)$ and $f_{a}(R)=g_{a}(R)$.
We prove both equalities by simultaneous induction on the structure of $Q$ and $R$. The base case, thus $Q, R \in\{\mathrm{~T}, \mathrm{~F}\}$, is trivial. If $Q=Q_{1} \triangleleft a \triangleright Q_{1}$ and $R=$ $R_{1} \triangleleft a \triangleright R_{1}$, then $Q$ and $R$ are rp-basic forms with central condition $a$, so

$$
\begin{aligned}
f_{a}(Q) & =f_{a}\left(Q_{1}\right) \triangleleft a \triangleright f_{a}\left(Q_{1}\right) \\
& =g_{a}\left(Q_{1}\right) \triangleleft a \triangleright g_{a}\left(Q_{1}\right) \quad \quad \text { by IH } \\
& =g_{a}(Q),
\end{aligned}
$$

and the equality for $R$ follows in a similar way. If $Q=Q_{1} \triangleleft a \triangleright Q_{1}$ and $R \neq$ $R_{1} \triangleleft a \triangleright R_{1}$, then $f_{a}(R)=g_{a}(R)=R$, and the result follows as above. All remaining cases follow in a similar way, which finishes the proof of (3).

We now prove the lemma's statement by simultaneous induction on the structure of $Q$ and $R$. The base case, thus $Q, R \in\{\mathrm{~T}, \mathrm{~F}\}$, is again trivial. If $Q=Q_{1} \triangleleft a \triangleright Q_{1}$ and $R=R_{1} \triangleleft a \triangleright R_{1}$, then by auxiliary result (3),

$$
r p f(Q)=r p f\left(f_{a}\left(Q_{1}\right)\right) \triangleleft a \triangleright r p f\left(f_{a}\left(Q_{1}\right)\right),
$$

and by induction, $Q_{1}=r p f\left(Q_{1}\right)=r p f\left(f_{a}\left(Q_{1}\right)\right)$. Hence, $\operatorname{rpf}(Q)=Q_{1} \triangleleft a \triangleright Q_{1}$, and

$$
\begin{array}{rlr}
\operatorname{rpf}\left(f_{a}(Q)\right) & =\operatorname{rpf}\left(f_{a}\left(f_{a}\left(Q_{1}\right)\right)\right) \triangleleft a \triangleright \operatorname{rpf}\left(g_{a}\left(f_{a}\left(Q_{1}\right)\right)\right) \\
& =\operatorname{rpf}\left(f_{a}\left(Q_{1}\right)\right) \triangleleft a \triangleright \operatorname{rpf}\left(f_{a}\left(Q_{1}\right)\right) \quad \text { by Lemma } 3.3 \\
& =Q_{1} \triangleleft a \triangleright Q_{1},
\end{array}
$$

and the equalities for $R$ follow in a similar way.
If $Q=Q_{1} \triangleleft a \triangleright Q_{1}$ and $R \neq R_{1} \triangleleft a \triangleright R_{1}$, the lemma's equalities follow in a similar way, although a bit simpler because $g_{a}(R)=f_{a}(R)=R$.

For all remaining cases, the lemma's equalities follow in a similar way.
Proposition 3.7 (rpbf is a normalization function). For all $P \in C_{A}$, $r p b f(P)$ is an rp-basic form, and for each rp-basic form $P, \operatorname{rpbf}(P)=P$.

Proof. The first statement is Lemma 3.5. For the second statement, it suffices by Lemma 2.14 to prove that for each rp-basic form $P, \operatorname{rpf}(P)=P$. This follows by case distinction on $P$. The cases $P \in\{\mathrm{~T}, \mathrm{~F}\}$ follow immediately, and otherwise $P=P_{1} \triangleleft a \triangleright P_{2}$, and thus $\operatorname{rpf}(P)=r p f\left(f_{a}\left(P_{1}\right)\right) \triangleleft a \triangleright \operatorname{rpf}\left(g_{a}\left(P_{2}\right)\right)$. By Lemma 3.6, $\operatorname{rpf}\left(f_{a}\left(P_{1}\right)\right)=P_{1}$ and $\operatorname{rpf}\left(g_{a}\left(P_{2}\right)\right)=P_{2}$, hence $\operatorname{rpf}(P)=P$.

Lemma 3.8. For all $P \in B F_{A}, \mathrm{CP}_{r p}(A) \vdash P=r p f(P)$.
Proof. We apply structural induction on $P$. The base cases $P \in\{\mathrm{~T}, \mathrm{~F}\}$ are trivial. Assume $P=P_{1} \triangleleft a \triangleright P_{2}$. By induction $\mathrm{CP}_{r p}(A) \vdash P_{i}=r p f\left(P_{i}\right)$. We proceed by a case distinction on the form that $P_{1}$ and $P_{2}$ can have:

1. If $P_{i} \in\left\{\mathrm{~T}, \mathrm{~F}, Q_{i} \triangleleft b_{i} \triangleright Q_{i}^{\prime}\right\}$ with $b_{i} \neq a$, then $f_{a}\left(P_{1}\right)=P_{1}$ and $g_{a}\left(P_{2}\right)=P_{2}$, and hence $\operatorname{rpf}(P)=\operatorname{rpf}\left(P_{1}\right) \triangleleft a \triangleright r p f\left(P_{2}\right)$, and thus $\mathrm{CP}_{r p}(A) \vdash P=\operatorname{rpf}(P)$.
2. If $P_{1}=R_{1} \triangleleft a \triangleright R_{2}$ and $P_{2} \in\left\{\mathrm{~T}, \mathrm{~F}, Q^{\prime} \triangleleft b \triangleright Q^{\prime \prime}\right\}$ with $b \neq a$, then $g_{a}\left(P_{2}\right)=$ $P_{2}$ and by auxiliary result (2) in the proof of Lemma 3.5, $\operatorname{rpf}\left(R_{1}\right)$ and $\operatorname{rpf}\left(P_{2}\right)$ are rp-basic forms. We derive

$$
\begin{array}{rll}
\mathrm{CP}_{r p}(A) \vdash P=\left(R_{1} \triangleleft a \triangleright R_{2}\right) \triangleleft a \triangleright P_{2} & \\
=\left(R_{1} \triangleleft a \triangleright R_{1}\right) \triangleleft a \triangleright P_{2} & \text { by (CPrp1) } \\
=\left(r p f\left(R_{1}\right) \triangleleft a \triangleright r p f\left(R_{1}\right)\right) \triangleleft a \triangleright r p f\left(P_{2}\right) & \text { by IH } \\
=\left(r p f\left(f_{a}\left(R_{1}\right)\right) \triangleleft a \triangleright r p f\left(f_{a}\left(R_{1}\right)\right)\right) \triangleleft a \triangleright r p f\left(g_{a}\left(P_{2}\right)\right) & & \text { by Lemma } 3.6 \\
=\operatorname{rpf}\left(f_{a}\left(R_{1} \triangleleft a \triangleright R_{2}\right)\right) \triangleleft a \triangleright r p f\left(g_{a}\left(P_{2}\right)\right) & \\
=\operatorname{rpf}\left(\left(R_{1} \triangleleft a \triangleright R_{2}\right) \triangleleft a \triangleright P_{2}\right) & \\
=\operatorname{rpf}(P) . &
\end{array}
$$

3. If $P_{1} \in\left\{\mathrm{~T}, \mathrm{~F}, Q^{\prime} \triangleleft b \triangleright Q^{\prime \prime}\right\}$ with $b \neq a$ and $P_{2}=S_{1} \triangleleft a \triangleright S_{2}$, we can proceed as in the previous case, but now using axiom scheme (CPrp2) and the identity $f_{a}\left(P_{1}\right)=P_{1}$, and the fact that $\operatorname{rpf}\left(P_{1}\right)$ and $\operatorname{rpf}\left(S_{2}\right)$ are rp-basic forms.
4. If $P_{1}=R_{1} \triangleleft a \triangleright R_{2}$ and $P_{2}=S_{1} \triangleleft a \triangleright S_{2}$, we can proceed as in two previous cases, now using both (CPrp1) and (CPrp2), and the fact that $\operatorname{rpf}\left(R_{1}\right)$ and $\operatorname{rpf}\left(S_{2}\right)$ are rp-basic forms.

Theorem 3.9. For all $P \in C_{A}, \mathrm{CP}_{r p}(A) \vdash P=\operatorname{rpbf}(P)$.
Proof. By Theorem 2.18 and Corollary 2.19 we find $\mathrm{CP}_{r p}(A) \vdash P=b f(P)$. By Lemma 3.8, $\mathrm{CP}_{r p}(A) \vdash b f(P)=\operatorname{rpf}(b f(P))$, and $\operatorname{rpf}(b f(P))=r p b f(P)$.

Definition 3.10. The binary relation $=_{r p b f}$ on $C_{A}$ is defined as follows:

$$
P={ }_{r p b f} Q \Longleftrightarrow \operatorname{rpbf}(P)=r p b f(Q)
$$

Theorem 3.11. For all $P, Q \in C_{A}, \mathrm{CP}_{r p}(A) \vdash P=Q \Longleftrightarrow P={ }_{r p b f} Q$.
Proof. Assume $\mathrm{CP}_{r p}(A) \vdash P=Q$. By Theorem 3.9, $\mathrm{CP}_{r p}(A) \vdash r p b f(P)=$ $r p b f(Q)$. In [4] the following two statements are proved (Theorem 6.3 and an auxiliary result in its proof), where $=_{r p f}$ is a binary relation on $C_{A}$ :

1. For all $P, Q \in C_{A}, \quad \mathrm{CP}_{r p}(A) \vdash P=Q \quad \Longleftrightarrow P={ }_{r p f} Q$.
2. For all rp-basic forms $P$ and $Q, \quad P={ }_{r p f} Q \Rightarrow P=Q$.

By Lemma 3.5 these statements imply $r p b f(P)=r p b f(Q)$, that is, $P={ }_{r p b f} Q$.
Assume $P={ }_{r p b f} Q$. By Lemma 2.14, bf $(r p b f(P))=b f(r p b f(Q))$. By Theorem 2.18, $\mathrm{CP} \vdash \operatorname{rpbf}(P)=r p b f(Q)$. By Theorem 3.9, $\mathrm{CP}_{r p}(A) \vdash P=Q$.

So, the relation $={ }_{r p b f}$ is axiomatized by $\mathrm{CP}_{r p}(A)$ and is thus a congruence. With this observation in mind, we define a transformation on evaluation trees that mimics the function rpbf and prove that equality of two such transformed trees characterizes the congruence that is axiomatized by $\mathrm{CP}_{r p}(A)$.

Definition 3.12. The unary repetition-proof evaluation function

$$
\text { rpse }: C_{A} \rightarrow \mathcal{T}_{A}
$$

yields repetition-proof evaluation trees and is defined by

$$
r p s e(P)=r p(s e(P))
$$

The auxiliary function $r p: \mathcal{T}_{A} \rightarrow \mathcal{T}_{A}$ is defined as follows $(a \in A)$ :

$$
\begin{aligned}
r p(\mathrm{~T}) & =\mathrm{T}, \\
r p(\mathrm{~F}) & =\mathrm{F}, \\
r p(X \unlhd a \unrhd Y) & =r p\left(F_{a}(X)\right) \unlhd a \unrhd r p\left(G_{a}(Y)\right) .
\end{aligned}
$$

For $a \in A$, the auxiliary functions $F_{a}: \mathcal{T}_{A} \rightarrow \mathcal{T}_{A}$ and $G_{a}: \mathcal{T}_{A} \rightarrow \mathcal{T}_{A}$ are defined by

$$
\begin{aligned}
F_{a}(\mathrm{~T}) & =\mathrm{T}, \\
F_{a}(\mathrm{~F}) & =\mathrm{F}, \\
F_{a}(X \unlhd b \unrhd Y) & = \begin{cases}F_{a}(X) \unlhd a \unrhd F_{a}(X) & \text { if } b=a, \\
X \unlhd b \unrhd Y & \text { otherwise, }\end{cases}
\end{aligned}
$$

and

$$
\begin{aligned}
G_{a}(\mathrm{~T}) & =\mathrm{T}, \\
G_{a}(\mathrm{~F}) & =\mathrm{F}, \\
G_{a}(X \unlhd b \unrhd Y) & = \begin{cases}G_{a}(Y) \unlhd a \unrhd G_{a}(Y) & \text { if } b=a, \\
X \unlhd b \unrhd Y & \text { otherwise. }\end{cases}
\end{aligned}
$$

Example 3.13. Let $P=a \triangleleft(\mathrm{~F} \triangleleft a \triangleright \mathrm{~T}) \triangleright \mathrm{F}$. We depict se $(P)$ (as in Example 2.4) and the repetition-proof evaluation tree $\operatorname{rpse}(P)=\mathrm{F} \unlhd a \unrhd(\mathrm{~F} \unlhd a \unrhd \mathrm{~F})$ :


The similarities between rpse and the function rpbf can be exploited:
Lemma 3.14. For all $a \in A$ and $X \in \mathcal{T}_{A}, G_{a}\left(F_{a}(X)\right)=F_{a}\left(F_{a}(X)\right)=F_{a}(X)$ and $F_{a}\left(G_{a}(X)\right)=G_{a}\left(G_{a}(X)\right)=G_{a}(X)$.

Proof. By structural induction on $X$ (cf. the proof of Lemma 3.3).
We use the following lemma in the proof of our final completeness result.
Lemma 3.15. For all $P \in B F_{A}, r p(s e(P))=s e(r p f(P))$.
Proof. We first prove an auxiliary result:
For all $P \in B F_{A}$ and for all $a \in A, \quad r p\left(F_{a}(s e(P))\right)=\operatorname{se}\left(r p f\left(f_{a}(P)\right)\right)$ and $r p\left(G_{a}(s e(P))\right)=\operatorname{se}\left(r p f\left(g_{a}(P)\right)\right)$.

We prove the first equality of (4) by structural induction on $P$. The base cases $P \in\{\mathrm{~T}, \mathrm{~F}\}$ are trivial. For the inductive case $P=Q \triangleleft a \triangleright R$, let $b \in A$. We have to distinguish the cases $b=a$ and $b \neq a$. If $b=a$, then

$$
\begin{array}{rlrl}
r p\left(F_{a}( \right. & s e(Q \triangleleft a \triangleright R))) & & \\
& =r p\left(F_{a}(\operatorname{se}(Q) \unlhd a \unrhd \operatorname{se}(R))\right) & \\
& =r p\left(F_{a}(\operatorname{se}(Q)) \unlhd a \unrhd F_{a}(\operatorname{se}(Q))\right) & & \\
& =r p\left(F_{a}\left(F_{a}(\operatorname{se}(Q))\right)\right) \unlhd a \unrhd r p\left(G_{a}\left(F_{a}(\operatorname{se}(Q))\right)\right) & & \\
& =r p\left(F_{a}(\operatorname{se}(Q))\right) \unlhd a \unrhd r p\left(F_{a}(\operatorname{se}(Q))\right) & \text { by Lemma } 3.14 \\
& =\operatorname{se}\left(r p f\left(f_{a}(Q)\right)\right) \unlhd a \unrhd \operatorname{se}\left(r p f\left(f_{a}(Q)\right)\right) & & \text { by IH } \\
& =\operatorname{se}\left(r p f\left(f_{a}(Q)\right) \triangleleft a \triangleright r p f\left(f_{a}(Q)\right)\right) & \\
& =\operatorname{se}\left(r p f\left(f_{a}\left(f_{a}(Q)\right)\right) \triangleleft a \triangleright r p f\left(g_{a}\left(f_{a}(Q)\right)\right)\right) & & \text { by Lemma 3.3 } \\
& =\operatorname{se}\left(r p f\left(f_{a}\left(Q \triangleleft a \triangleright f_{a}(Q)\right)\right)\right) & \\
& =\operatorname{se}\left(\operatorname{rpf}\left(f_{a}(Q \triangleleft a \triangleright R)\right)\right) . &
\end{array}
$$

If $b \neq a$, then

$$
\begin{aligned}
r p\left(F_{b}(\operatorname{se}(Q \triangleleft a \triangleright R))\right) & =\operatorname{rp}\left(F_{b}(\operatorname{se}(Q) \unlhd a \unrhd \operatorname{se}(R))\right) \\
& =\operatorname{rp}(\operatorname{se}(Q) \unlhd a \unrhd \operatorname{se}(R)) \\
& =\operatorname{rp}\left(F_{a}(\operatorname{se}(Q))\right) \unlhd a \unrhd r p\left(G_{a}(\operatorname{se}(R))\right) \\
& =\operatorname{se}\left(r p f\left(f_{a}(Q)\right)\right) \unlhd a \unrhd \operatorname{se}\left(\operatorname{rpf}\left(g_{a}(R)\right)\right) \quad \text { by IH } \\
& =\operatorname{se}\left(r p f\left(f_{a}(Q)\right) \triangleleft a \triangleright \operatorname{rpf}\left(g_{a}(R)\right)\right) \\
& =\operatorname{se}(r p f(Q \triangleleft a \triangleright R)) \\
& =\operatorname{se}\left(\operatorname{rpf}\left(f_{b}(Q \triangleleft a \triangleright R)\right)\right) .
\end{aligned}
$$

The second equality can be proved in a similar way, and this finishes the proof of (4).

The lemma's statement now follows by a case distinction on $P$. The cases $P \in\{\mathrm{~T}, \mathrm{~F}\}$ follow immediately, and otherwise $P=Q \triangleleft a \triangleright R$, and thus

$$
\begin{array}{rlr}
r p(\operatorname{se}(Q \triangleleft a \triangleright R)) & =r p(\operatorname{se}(Q) \unlhd a \unrhd \operatorname{se}(R)) & \\
& =\operatorname{rp}\left(F_{a}(\operatorname{se}(Q))\right) \unlhd a \unrhd r p\left(G_{a}(\operatorname{se}(R))\right) \\
& =\operatorname{se}\left(r p f\left(f_{a}(Q)\right)\right) \unlhd a \unrhd \operatorname{se}\left(r p f\left(g_{a}(R)\right)\right) \quad \text { by (4) } \\
& =\operatorname{se}\left(r p f\left(f_{a}(Q)\right) \triangleleft a \triangleright r p f\left(g_{a}(R)\right)\right) \\
& =\operatorname{se}(r p f(Q \triangleleft a \triangleright R)) .
\end{array}
$$

Finally, we relate conditional statements by means of their repetition-proof evaluation trees.

Definition 3.16. Repetition-proof valuation congruence, $n o t a t i o n ~=r p s e$, is defined on $C_{A}$ as follows:

$$
P={ }_{r p s e} Q \Longleftrightarrow \operatorname{rpse}(P)=\operatorname{rpse}(Q)
$$

The following characterization result immediately implies that $=_{\text {rpse }}$ is a congruence relation on $C_{A}$ (and hence justifies calling it a congruence).
Proposition 3.17. For all $P, Q \in C_{A}, P={ }_{r p s e} Q \Longleftrightarrow P={ }_{r p b f} Q$.
Proof. In order to prove $\Rightarrow$, assume rpse $(P)=\operatorname{rpse}(Q)$, thus $r p(\operatorname{se}(P))=$ $r p(s e(Q))$. By Corollary 2.19,

$$
r p(s e(b f(P)))=r p(\operatorname{se}(b f(Q))),
$$

so by Lemma 3.15, $\operatorname{se}(\operatorname{rpf}(b f(P)))=\operatorname{se}(\operatorname{rpf}(b f(Q)))$. By Lemma 2.8 and auxiliary result (2) (see the proof of Lemma 3.5), it follows that $\operatorname{rpf}(b f(P))=$ $\operatorname{rpf}(b f(Q))$, that is, $P={ }_{r p b f} Q$.

In order to prove $\Leftarrow$, assume $P={ }_{r p b f} Q$, thus $\operatorname{rpf}(b f(P))=\operatorname{rpf}(b f(Q))$. Then $s e(\operatorname{rpf}(b f(P)))=s e(\operatorname{rpf}(b f(Q)))$ and thus by Lemma 3.15,

$$
r p(s e(b f(P)))=r p(\operatorname{se}(b f(Q)))
$$

By Corollary 2.19, $\operatorname{se}(b f(P))=s e(P)$ and $s e(b f(Q))=s e(Q)$, so $r p(s e(P))=$ $r p(s e(Q))$, that is, $P={ }_{\text {rpse }} Q$.

We end this section with a last completeness result.
Theorem 3.18 (Completeness of $\mathrm{CP}_{r p}(A)$ ). For all $P, Q \in C_{A}$,

$$
\mathrm{CP}_{r p}(A) \vdash P=Q \quad \Longleftrightarrow \quad P==_{r p s e} Q
$$

Proof. Combine Theorem 3.11 and Proposition 3.17.

## 4 Conclusions

In [4] we introduced proposition algebra using Hoare's conditional $x \triangleleft y \triangleright z$ and the constants T and F . We defined a number of varieties of so-called valuation algebras in order to capture different semantics for the evaluation of conditional statements, and provided axiomatizations for the resulting valuation congruences. In $[3,5]$ we introduced an alternative valuation semantics for proposition algebra in the form of Hoare-McCarthy algebras (HMA's) that is more elegant than the semantical framework provided in [4]: HMA-based semantics has the advantage that one can define a valuation congruence without first defining the valuation equivalence it is contained in.

In this paper, we use Staudt's evaluation trees [13] to define free valuation congruence as the relation $=_{s e}($ see Section 2$)$ and this appears to be a relatively simple and stand-alone exercise, resulting in a semantics that is elegant and much simpler than HMA-based semantics [3,5] and the semantics defined in [4]. By Theorem 2.11, $=$ se coincides with "free valuation congruence as defined in [4]" because both relations are axiomatized by CP (see [4, Thm.4.4andThm.6.2]). The advantage of "evaluation tree semantics" is that for a given conditional statement $P$, the evaluation tree $s e(P)$ determines all relevant atomic evaluations, and $P==_{s e} Q$ is determined by evaluation trees that contain no more atoms than those that occur in $P$ and $Q$; this is comparable to how truth tables can be used in the setting of propositional logic.

In Section 3 we define repetition-proof valuation congruence $=_{r p s e}$ on $C_{A}$ by $P={ }_{r p s e} Q$ if, and only if, $\operatorname{rpse}(P)=r p s e(Q)$, where $\operatorname{rpse}(P)=r p(s e(P))$ and $r p$ is a transformation function on evaluation trees. It is obvious that this transformation is "natural", given the axiom schemes (CPrp1) and (CPrp2) that are characteristic for $\mathrm{CP}_{r p}(A)$. The equivalence on $C_{A}$ that we want to prove is

$$
\begin{equation*}
\mathrm{CP}_{r p}(A) \vdash P=Q \quad \Longleftrightarrow P==_{\text {rpse }} Q \tag{5}
\end{equation*}
$$

by which $={ }_{r p s e}$ coincides with "repetition-proof valuation congruence as defined in [4]" because both are axiomatized by $\mathrm{CP}_{r p}(A)$ (see [4, Thm.6.3]). However, equivalence (5) implies that $=_{r p s e}$ is a congruence relation on $C_{A}$ and we could not find a direct proof of this fact. We chose to simulate the transformation rpse by the transformation rpbf on conditional statements and to prove that the resulting equivalence relation $={ }_{r p b f}$ is a congruence axiomatized by $\mathrm{CP}_{r p}(A)$.

This is Theorem 3.11, the proof of which depends on [4, Thm.6.3]) and on Theorem 3.9, that is,

$$
\text { For all } P \in C_{A}, \mathrm{CP}_{r p}(A) \vdash P=\operatorname{rpbf}(P) \text {. }
$$

In order to prove equivalence (5) (which is Theorem 3.18), it is thus sufficient to prove that $=_{r p b f}$ and $=_{r p s e}$ coincide, and this is Proposition 3.17.

In [6] we define evaluation trees for most of the other valuation congruences defined in [4] by transformations on se-images that are also "natural", and this also results in elegant "evaluation tree semantics" for each of these congruences.

We conclude with a brief digression on short-circuit logic, which we defined in [7] (see [5] for a quick introduction), and an example on the use of $\mathrm{CP}_{r p}(A)$. Familiar binary connectives that occur in the context of imperative programming and that prescribe short-circuit evaluation, such as \&\& (in C called "logical AND"), are often defined in the following way:

$$
P \& \& Q==_{\text {def }} \text { if } P \text { then } Q \text { else false, }
$$

independent of the precise syntax of $P$ and $Q$, hence, $P \& \& Q={ }_{\operatorname{def}} Q \triangleleft P \triangleright$ F. It easily follows that $\& \&$ is associative (cf. Footnote 3). In a similarly way, negation can be defined by $\neg P={ }_{\text {def }} \mathrm{F} \triangleleft P \triangleright \mathrm{~T}$. In [7] we focus on this question:

Question 4.1. Which are the logical laws that characterize short-circuit evaluation of binary propositional connectives?

A first approach to this question is to adopt the conditional as an auxiliary operator, as is done in [5,7], and to answer Question 4.1 using definitions of the binary propositional connectives as above and the axiomatization for the valuation congruence of interest in proposition algebra (or, if "mixed conditional statements" are at stake, axiomatizations for the appropriate valuation congruences). An alternative and more direct approach to Question 4.1 is to establish axiomatizations for short-circuited binary connectives in which the conditional is not used. For free valuation congruence, an equational axiomatization of shortcircuited binary propositional connectives is provided by Staudt in [13], where $s e(P \& \& Q)=_{\text {def }} s e(P)[\mathrm{T} \mapsto s e(Q)]$ and $s e(\neg P)=_{\operatorname{def}} s e(P)[\mathrm{T} \mapsto \mathrm{F}, \mathrm{F} \mapsto \mathrm{T}]$ (and where the function se is also defined for short-circuited disjunction), and the associated completeness proof is based on decomposition properties of such evaluation trees. For repetition-proof valuation congruence it is an open question whether a finite, equational axiomatization of the short-circuited binary propositional connectives exists, and an investigation of repetition-proof evaluation trees defined by such connectives might be of interest in this respect. We end with an example on the use of $\mathrm{CP}_{r p}(A)$ that is based on [7, Ex.4].

Example 4.2. Let $A$ be a set of atoms of the form ( $e==e^{\prime}$ ) and ( $\mathrm{n}=e$ ) with n some initialized program variable and e, $e^{\prime}$ arithmetical expressions over the integers that may contain n. Assume that ( $e==e^{\prime}$ ) evaluates to true if $e$ and $e^{\prime}$ represent the same value, and ( $\mathrm{n}=e$ ) always evaluates to true with the effect that
$e$ 's value is assigned to n . Then these atoms satisfy the axioms of $\mathrm{CP}_{r p}(A) .{ }^{4}$ Notice that if n has initial value 0 or $1,((\mathrm{n}=\mathrm{n}+1)$ \&\& $(\mathrm{n}=\mathrm{n}+1))$ \&\& $(\mathrm{n}==2)$ and $(\mathrm{n}=\mathrm{n}+1)$ \& $(\mathrm{n}==2)$ evaluate to different results, so the atom $(\mathrm{n}=\mathrm{n}+1)$ does not satisfy the law $a \& \& a=a$, by which this example is typical for the repetition-proof characteristic of $\mathrm{CP}_{r p}(A)$.

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${ }^{4}$ Of course, not all equations that are valid in the setting of Example 4.2 follow from $\mathrm{CP}_{r p}(A)$, e.g., $\mathrm{CP}_{r p}(A) \nvdash(0==0)=\mathrm{T}$. We note that a particular consequence of $\mathrm{CP}_{r p}(A)$ in the setting of short-circuit logic is $(\neg a \& \& a) \& \& x=\neg a$ \&\& $a$ (cf. Example 3.13), and that Example 4.2 is related to the work of Wortel [14], where an instance of Propositional Dynamic Logic [9] is investigated in which assignments can be turned into tests; the assumption that such tests always evaluate to true is natural because the assumption that assignments always succeed is natural.

[^0]:    Dedicated to Ernst-Rüdiger Olderog on the occasion of his sixtieth birthday. Jan Bergstra recalls many discussions during various meetings as well as joint work with Ernst-Rüdiger and Jan Willem Klop on readies, failures, and chaos back in 1987. Alban Ponse has pleasant memories of the process of publishing [8], the Selected Papers from the Workshop on Assertional Methods, of which Ernst-Rüdiger, who was one of the invited speakers at this workshop (held at CWI in November 1992), is one of the guest editors. An extended version of this paper appeared as report [6].
    ${ }^{1}$ To be distinguished from Hoare's conditional introduced in his 1985 book on CSP [11] and in his well-known 1987 paper Laws of Programming [10] for expressions $P \triangleleft b \triangleright Q$ with $P$ and $Q$ denoting programs and $b$ a Boolean expression.

[^1]:    ${ }^{2}$ Short-circuit evaluation denotes the semantics of binary propositional connectives in which the second argument is evaluated only if the first argument does not suffice to determine the value of the expression.
    ${ }^{3}$ Note that look-left-and-check $\triangleleft($ look-right-and-check $\triangleleft$ look-left-and-check $\triangleright \mathrm{F}) \triangleright \mathrm{F}$ prescribes by axioms (CP4) and (CP2) the same evaluation.

