

One-Counter Threads

Reachability and Action Forecasting

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FOKKE & SUKKE
KNOW WHAT SCIENCE IS ABOUT

A MOST IMPRESSIVE
DEMONSTRATION, COLLEAGUE...

BUT WILL IT WORK
IN THEORY?



1. Basics

Given a set A of actions, **basic thread algebra** (BTA) has the following constants and operators:

- 1 the **termination** constant S
- 2 the **inaction** or **deadlock** constant D
- 3 for each $a \in A$, a binary **postconditional composition** operator $_ \triangleleft a \triangleright _$

Execution of an action yields a **reply** value `true` or `false`.

The postconditional composition $P \triangleleft a \triangleright Q$ represents action a followed by thread P if `true` was replied, and a followed by Q otherwise.

Action prefix: $a \circ P \stackrel{\text{def}}{=} P \triangleleft a \triangleright P$

Action prefix binds stronger than postconditional composition.

The **approximation operator** $\pi_n(_)$ gives the behavior of a thread up to depth n ($n \in \mathbb{N}$).

1 $\pi_0(P) = D$

2 $\pi_{n+1}(S) = S$

3 $\pi_{n+1}(D) = D$

4 $\pi_{n+1}(P \triangleleft a \triangleright Q) = \pi_n(P) \triangleleft a \triangleright \pi_n(Q)$

Example: $\pi_2(b \circ c \circ S \triangleleft a \triangleright S) = b \circ D \triangleleft a \triangleright S$

Every thread in BTA is **finite**: there is a finite upper bound to the number of consecutive actions it can perform.

So, for every $P \in \text{BTA}$ there exists $n \in \mathbb{N}$ such that

$$\pi_n(P) = \pi_{n+1}(P) = \dots = P$$

Infinite threads

We define BTA^∞ , the set of **projective sequences** of BTA terms:

$$\text{BTA}^\infty = \{(P_n)_{n \in \mathbb{N}} \mid \forall n \in \mathbb{N} (P_n \in \text{BTA} \ \& \ \pi_n(P_{n+1}) = P_n)\}$$

We turn the **set** BTA^∞ into an **algebra** by defining operations on it. Overloading notation, let

1 $D = (D, D, D, \dots)$

2 $S = (D, S, S, \dots)$

3 $(P_n)_{n \in \mathbb{N}} \triangleleft a \triangleright (Q_n)_{n \in \mathbb{N}} = (R_n)_{n \in \mathbb{N}}$ with $R_0 = D$
 $R_{n+1} = P_n \triangleleft a \triangleright Q_n$

The elements of BTA are included in BTA^∞ by a mapping following this definition.

Regular threads

Informally, a thread is **regular** if it has finitely many *states*.

The regular threads are exactly the threads that can be defined by a finite linear recursive specification, i.e., a set of equations

$$x_i = t_i$$

for $i \in I$ with I some finite index set, variables x_i , and all t_i terms of the form S , D , or $x_j \triangleleft a \triangleright x_k$ with $j, k \in I$.

Fact

- *Variables in these specifications have unique solutions (fixed points).*
- *The **finite threads** form a proper subset of the **regular threads**, which form a proper subset of BTA^∞ .*

Convention

We shall identify variables in linear recursive specifications and their fixed points.

For example, we say that P is the thread defined by $P = a \circ P$ instead of stating that P equals the fixed point for x in the specification $\{x = a \circ x\}$.

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Example

We define regular thread P by

$$P = Q \triangleleft a \triangleright R$$

$$Q = b \circ P$$

$$R = T \triangleleft c \triangleright P$$

$$T = S$$

Note the finite *graphical representation* of P . [Draw on blackboard]

2. Services and one-counter threads

We have assumed that a thread is executed in an **environment** that supplies **reply values** for actions.

We can model (part of) this environment as one or more **services**.

A typical example of such a service is a **stack**: for $n \in \mathbb{N}$, \mathcal{S}_n is a service that

- holds a value in $\{0, \dots, n\}^*$,
- and is controlled by $2n + 3$ **methods** ($i \leq n$):
 - push*: i pushes i onto the stack and yields **true**,
 - topeq*: i tests whether i is on top of the stack,
 - pop* pops the stack with reply **true** if it is non-empty, and yields **false** otherwise (while the stack contents is preserved).

We write $\mathcal{S}_n(\alpha)$ for a stack with contents $\alpha \in \{0, \dots, n\}^*$, and initially the stack is empty ($\mathcal{S}_n = \mathcal{S}_n(\epsilon)$ with ϵ the empty sequence).

Formally:

A **service** \mathcal{H} is a pair $\langle M, F \rangle$ consisting of

- a set M of so-called **methods**, and
- a **reply function** F .

The reply function is a mapping that gives for each non-empty finite sequence of methods from M a reply **true** or **false**.

On input $m_1 \dots m_{k+1}$, function F gives the reply for m_{k+1} if m_1, \dots, m_k (the **history**) were called before.

Write \mathcal{H}_ν for \mathcal{H} with history ν .

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Focus-method notation: Let actions be of the form $f.m$ where f is the **focus**, and m is the **method**.

E.g., $st.pop$ denotes the action which pops a stack via focus st .

Use-operator

$P /_f \mathcal{H}_v$ models thread P using the service \mathcal{H}_v via focus f .

Let $\mathcal{H} = \langle M, F \rangle$. We define for threads in BTA:

$$\textcircled{1} \quad S /_f \mathcal{H}_v = S$$

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- 1 $S /_f \mathcal{H}_\nu = S$
- 2 $D /_f \mathcal{H}_\nu = D$
- 3 $(P \triangleleft g.m \triangleright Q) /_f \mathcal{H}_\nu = (P /_f \mathcal{H}_\nu) \triangleleft g.m \triangleright (Q /_f \mathcal{H}_\nu)$ if $g \neq f$

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- 5 $(P \triangleleft f.m \triangleright Q) /_f \mathcal{H}_\nu = P /_f \mathcal{H}_{\nu m}$ if $m \in M$ and $F(\nu m) = \text{true}$

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The use operator is expanded to infinite threads in BTA^∞ by defining

$$(P_n)_{n \in \mathbb{N}} /_f \mathcal{H}_\nu = \bigsqcup_{n \in \mathbb{N}} P_n /_f \mathcal{H}_\nu$$

(For P defined by a linear specification, $/_f \mathcal{H}_\nu$ works nice and easy...)

One-counter threads

A **counter** service \mathcal{C} holds a value in \mathbb{N} (determined by its history) and is controlled by 2 methods:

- inc increases the value of the counter and yields **true**,
- dec decreases the value of the counter with reply **true** if it is positive, and yields **false** otherwise (while the counter value remains 0).

We write $\mathcal{C}(n)$ for a counter with value n , and initially the counter has value 0 ($\mathcal{C} = \mathcal{C}(0)$).

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A **one-counter thread** is a regular thread that uses a single counter.

Examples

- 1 $(c.inc \circ P) /_c \mathcal{C}(n) = P /_c \mathcal{C}(n+1)$
- 2 $(P \triangleleft c.dec \triangleright S) /_c \mathcal{C}(0) = S$
- 3 $(P \triangleleft c.dec \triangleright S) /_c \mathcal{C}(n+1) = P /_c \mathcal{C}(n)$

Obtaining non-regularity using a counter

Consider the regular thread

$$Q = c.inc \circ Q \triangleleft a \triangleright R, \quad R = b \circ R \triangleleft c.dec \triangleright S,$$

where actions a and b do not use focus c .

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where actions a and b do not use focus c . Then, for all $n \in \mathbb{N}$,

$$\begin{aligned} Q /_c \mathcal{C}(n) &= (c.inc \circ Q \triangleleft a \triangleright R) /_c \mathcal{C}(n) \\ &= (Q /_c \mathcal{C}(n+1)) \triangleleft a \triangleright (R /_c \mathcal{C}(n)) \end{aligned}$$

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$$R /_c \mathcal{C}(n) = \begin{cases} b \circ R /_c \mathcal{C}(n-1) & \text{if } n > 0 \\ S & \text{otherwise.} \end{cases}$$

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So $Q /_c \mathcal{C}(0)$ is an infinite thread such that a trace of $n+1$ a 's produced by n positive and one negative reply on a is followed by $b^n \circ S$.

This yields a **non-regular** thread: the one-counter thread $Q /_c \mathcal{C}(0)$ is not definable by a *finite* linear recursive specification.

3. Action forecasting

FOKKE & SUKKE thought to pull her leg



Risk assessment

Risk assessment is the forecast that a certain action that models risky behavior (viruses etc.) will NOT be executed:

The test action *s.ok* in $P \triangleleft s.ok \triangleright Q$ yields *true* if the action *risk* is not executed in P (its true-branch), and *false* otherwise

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We shall model this as a thread-service composition

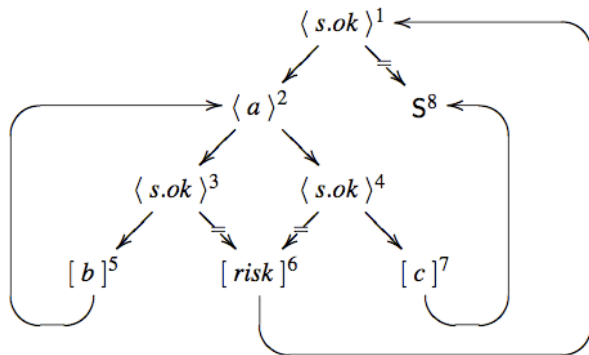
$$(P \triangleleft s.ok \triangleright Q) /_s S(E)$$

where the risk assessment service $S(E)$

- has *ok* as its only method, and
- is aware of both the specification E that defines $P \triangleleft s.ok \triangleright Q$ and the current execution state.

Risk assessment is non-trivial if the test action *s.ok* occurs more than once in P , the thread to be assessed.

An example of risk assessment



Here the superscripts on states relate to a finite linear specification E :

$P_1 = P_2 \triangleleft s.ok \triangleright P_8, \dots, P_8 = S$, and

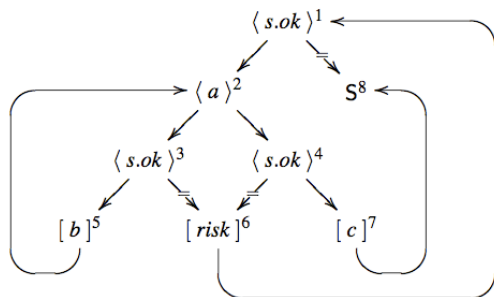
$$P_1 /_s S(E) = \mathcal{T} \quad \text{with} \quad \mathcal{T} = b \circ \mathcal{T} \triangleleft a \triangleright c \circ S$$

Risk states

From **risk states** the execution of action **risk** cannot be avoided: for any equation in a given finite linear spec. E ,

- if $x = y \triangleleft \text{risk} \triangleright z$ then x is a risk state,
- if $x = y \triangleleft \text{s.ok} \triangleright z$ and both y, z are risk states, then so is x ,
- if $x = y \triangleleft a \triangleright z$ and y or z is a risk state, then so is x .

In the example, P_6 is the only risk state:



Risk assessment and Cohen's result

Observations:

- 1 The test action $s.ok$ is **interpreted** in the context of a postconditional composition (a thread specification E) and a resolving risk assessment service $\mathcal{S}(E)$.
- 2 The reply $false$ to $s.ok$ in $P \triangleleft s.ok \triangleright Q$ gives **no clue** about the execution of risk in Q .

Risk assessment and Cohen's result

Observations:

- 1 The test action $s.ok$ is interpreted in the context of a postconditional composition (a thread specification E) and a resolving risk assessment service $\mathcal{S}(E)$.
- 2 The reply $false$ to $s.ok$ in $P \triangleleft s.ok \triangleright Q$ gives no clue about the execution of risk in Q .

This brings us to a comparison with Cohen's seminal impossibility result on virus detection (1984), which in our setting reads:

There exists no predicate D on all programs (in a reasonable class) that determines whether a virus (cf. our action $risk$) is executed.

Proof. Existence is contradicted by the program P defined by
 $P = \text{if } D(P) \text{ then } \langle \text{safe behavior} \rangle \text{ else } \langle \text{spread virus} \rangle.$

First conclusions and a question

Some first conclusions:

- 1 While risk **detection** is impossible (à la Cohen), risk assessment is possible for regular threads.
- 2 Risk assessment is defined in terms of a test *s.ok* (using a r.a. service under focus *s*) that forecasts the absence of *risk* in its true-branch, resisting the form of **self-reference** used by Cohen.

First conclusions and a question

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- 1 While risk **detection** is impossible (à la Cohen), risk assessment is possible for regular threads.
- 2 Risk assessment is defined in terms of a test *s.ok* (using a r.a. service under focus *s*) that forecasts the absence of *risk* in its true-branch, resisting the form of **self-reference** used by Cohen.

Question. Up to which class of threads is risk assessment decidable?

Risk assessment for one-counter threads

Ponse & van der Zwaag (2006): Risk assessment is decidable for one-counter threads (to appear in **ToCS**).

This follows from a reachability result of Rosier and Yen (1987):

- 1 Let P be defined by finite linear specification E . If

$$P /_c \mathcal{C}(n) \xrightarrow{\sigma} Q /_c \mathcal{C}(m)$$

then, for some ρ , $P /_c \mathcal{C}(n) \xrightarrow{\rho} Q /_c \mathcal{C}(m)$ with

- $labels(\rho) = labels(\sigma)$, and
- every intermediate state $R /_c \mathcal{C}(n')$ satisfies

$$n' \leq 3(4|Var(E)|)^3 + \max(n, m).$$

- 2 E can be adapted so that *risk* is only performed at counter value 0 ($m = 0$).
- 3 Then, wrt. risk assessment, $P /_c \mathcal{C}(n)$ can be faithfully approximated by a finite linear specification.

Pushdown threads

Pushdown thread: a regular thread that uses a stack.

Example (a pd thread, not an oc thread)

$x_1 /_{st} \mathcal{S}_1(\epsilon)$ with $\alpha \in \{0, 1\}^*$ the contents of $\mathcal{S}_1(\alpha)$ and ϵ the empty sequence), and

$$\begin{aligned}x_1 &= st.push:0 \circ x_1 \triangleleft a \triangleright x_2, & x_3 &= c \circ st.pop \circ x_3 \triangleleft st.topeq:1 \triangleright x_4, \\x_2 &= st.push:1 \circ x_2 \triangleleft b \triangleright x_3, & x_4 &= d \circ st.pop \circ x_4 \triangleleft st.topeq:0 \triangleright S.\end{aligned}$$

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Open question. Is risk assessment decidable for pushdown threads?

The proof for one-counter threads does not generalize: control decisions may occur at any stack contents (tests on identity of top value).

Other forms of forecasting

- Turing (1937): **The Halting Problem**, i.e.,

Undecidability (unsolvability) of the question whether a Turing Machine halts on a certain input.

(This question can be modelled as a thread-service composition).

- Bergstra & Ponse (J'nal of Appl. Logic 5, 2007):
 - Forecasting Reactors: services that need a third truth value to escape paradoxes and give preference to reply `true`.
 - Rational Agents: services that intend to achieve an objective given a thread to be executed (e.g., get another service in an “optimal state”).
 - Execution architectures (modelling threads & services) in which a service may be a forecaster of another one (Example: Newcomb Paradox).
- **Goal assessment** (with Mark van der Zwaag): decidable for one-counter threads.

Other results

Computable threads:

- Risk assessment is **undecidable** for computable threads (cf. the Halting Problem).

Pushdown threads:

- Equality is **decidable**.
- In risk assessment, recurrence of *s.ok* is the difficult issue: if this is not the case, *s.ok* yields `true` iff

$$(P \trianglelefteq s.ok \triangleright Q) /_{st} \mathcal{S}_n(\alpha) = (\overline{P} \trianglelefteq s.ok \triangleright Q) /_{st} \mathcal{S}_n(\alpha)$$

with in \overline{P} all occurrences of *risk* replaced by a **different** action; this is decidable.

One-counter threads:

- Inclusion (\sqsubseteq) is **undecidable**.
- State reachability is **preserved** under bounded counter values.
- State reachability is **decidable**.

4. Conclusion, digression and discussion

- Students like to program threads, also the secondary school ones doing our Webklas Informatica **Wat is een programma?**
 - The simple concepts in both program algebra and thread algebra appear to be appealing
 - Thread algebra (nice, compositional) can be seen/used as a semantics for program algebra (non-compositional, common programming constructs)
- Risk assessment: we made it to **VX Heavens** (site on viruses, on-line since Sept. 1999, some pictures on the next slides) in the category **Theory, models and definitions** (25 papers).
- Some advanced work in program and thread algebra:
 - Micro grids (concurrent hardware)
 - Tool set for PGA (including animation and **multi-threading** tools)
 - Predictable and Reliable Program Code: Virtual Machine-based Projection Semantics
 - Maurer computers (finite computer models) with pipelined instruction processing

VX Heavens

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Welcome!

"Everyone has the right to freedom of opinion and expression; this right includes freedom to hold opinions without interference and to seek, receive and impart information and ideas through any media and regardless of frontiers."

Article 19 of "Universal Declaration of Human Rights"

Welcome to VX Heavens! This site is dedicated to providing information about computer viruses (or *virii*, as some would prefer) to anyone who is interested in this topic.

This site contains a massive, continuously updated collection of magazines, virus samples, virus sources, polymorphic engines, virus generators, virus writing tutorials, articles, books, news archives etc.

Some of you might reasonably say that it is illegal to offer such content on the net. Or that this information can be misused by "malicious people". I only want to ask that person: *"Is ignorance a defence?"*

What's new (Jun)

10 + LIB/EN: Eric Filiol "Metamorphism, Formal Grammars and Undecidable Code Mutation"[↗](#)

3 ! Online anti-virus check[↗](#)

1 + DL/SRC: Gaara

[RSS](#) [Site history](#)

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Library: Jan Bergstra

Jan Bergstra, Alban Ponse «[A Bypass of Cohen's Impossibility Result](#)» Σ [[Abstract](#)] 36.66Kb



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Library: Alban Ponse

Universiteit van Amsterdam

Jan Bergstra, Alban Ponse «[A Bypass of Cohen's Impossibility Result](#)» Σ [[Abstract](#)] 36.66Kb
Homepage <http://staff.science.uva.nl/~alban/>




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A Bypass of Cohen's Impossibility Result

[Jan Bergstra](#), [Alban Ponse](#)

Advances in Grid Computing - EGC 2005, LNCS 3470, pages 1097-1106. Springer-Verlag, 2005
ISBN 3-540-26918-5
2005

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T_X size

Extended Version for SSN - 29 November 2004

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www.phil.uu.nl/en/

Abstract

Detecting illegal resource access in the setting of network communication or grid computing is similar to the problem of virus detection as put forward by Fred Cohen in 1984. We discuss Cohen's impossibility result on virus detection, and introduce "risk assessment of security hazards", a notion that is decidable for a large class of program behaviors.

Keywords: Malcode, Program algebra, Polarized process algebra, Virus, Worm.

1 Introduction

PAM's future?

PAM's future:

- At UvA perhaps?
- Which habitual audience?
- More open presentations/discussions?
- PhD-sessions?

Future work at CWI that might be of interest in this respect:

- ...

Other work at UvA's SSE that might be of interest in this respect:

- Process algebra: continuation of research, tool development etc.
- Algebraic specification (meadows, empty sorts & partial operations)