

# Channel Coding: Zero-error case

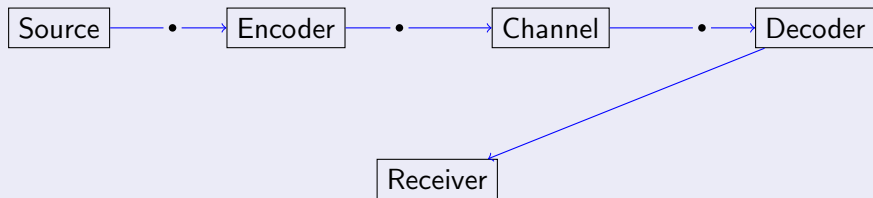
Sander Bet & Ismani Nieuweboer

January 2015

# Channel Coding: Zero-error case

## Channel Definition

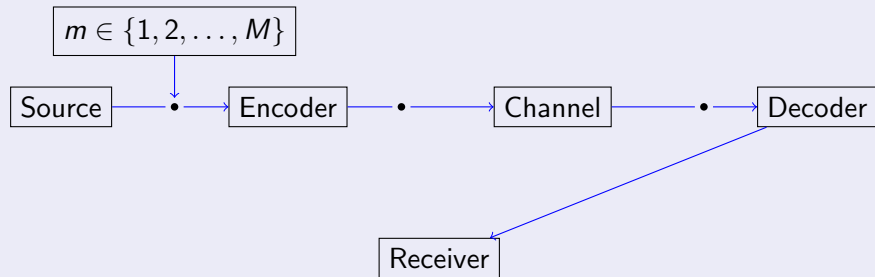
### What is a Channel?



# Channel Coding: Zero-error case

## Channel Definition

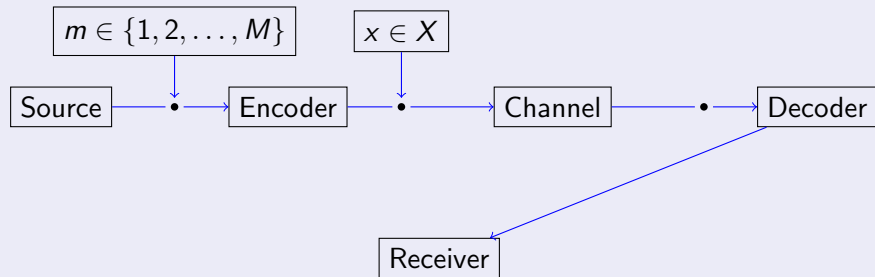
### What is a Channel?



# Channel Coding: Zero-error case

## Channel Definition

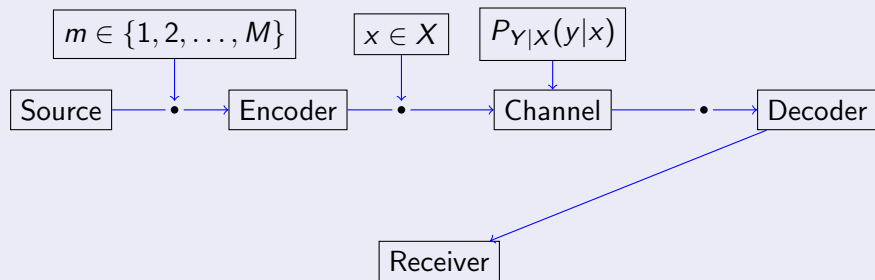
### What is a Channel?



# Channel Coding: Zero-error case

## Channel Definition

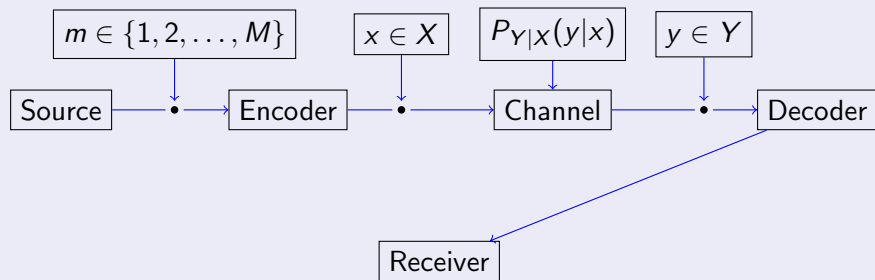
### What is a Channel?



# Channel Coding: Zero-error case

## Channel Definition

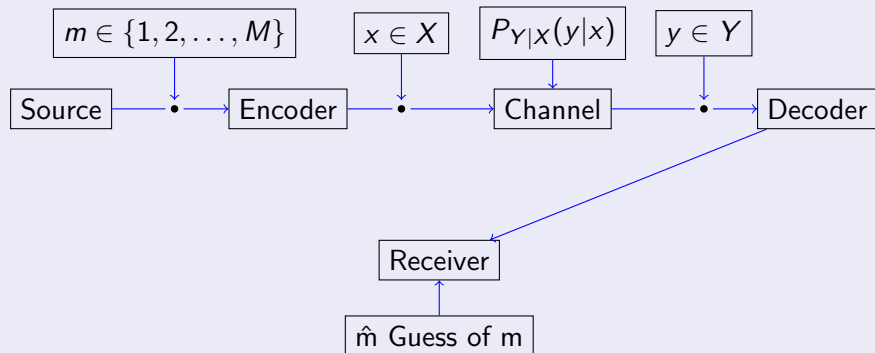
### What is a Channel?



# Channel Coding: Zero-error case

## Channel Definition

### What is a Channel?



# Channel Coding: Zero-error case

## Channel Definition

### Definition: Discrete Channel

A **discrete channel** is denoted by  $(\mathcal{X}, P_{Y|X}(y|x), \mathcal{Y})$ . Where  $\mathcal{X}$  is a finite non-empty input set,  $\mathcal{Y}$  a finite output set. And  $P_{Y|X}(y|x)$  is a conditional probability distribution that satisfies the following properties;



# Channel Coding: Zero-error case

## Channel Definition

### Definition: Discrete Channel

A **discrete channel** is denoted by  $(\mathcal{X}, P_{Y|X}(y|x), \mathcal{Y})$ . Where  $\mathcal{X}$  is a finite non-empty input set,  $\mathcal{Y}$  a finite output set. And  $P_{Y|X}(y|x)$  is a conditional probability distribution that satisfies the following properties;

$$P_{Y|X}(y|x) \geq 0 : \forall x \in \mathcal{X}, \forall y \in \mathcal{Y}$$
$$\sum_{y \in \mathcal{Y}} P_{Y|X}(y|x) = 1 : \forall x \in \mathcal{X}$$

# Channel Coding: Zero-error case

## Channel Definition

### Definition: Discrete Channel

A **discrete channel** is denoted by  $(\mathcal{X}, P_{Y|X}(y|x), \mathcal{Y})$ . Where  $\mathcal{X}$  is a finite non-empty input set,  $\mathcal{Y}$  a finite output set. And  $P_{Y|X}(y|x)$  is a conditional probability distribution that satisfies the following properties;

$$P_{Y|X}(y|x) \geq 0 : \forall x \in \mathcal{X}, \forall y \in \mathcal{Y}$$
$$\sum_{y \in \mathcal{Y}} P_{Y|X}(y|x) = 1 : \forall x \in \mathcal{X}$$

### Definition: Memory-less Channel

A **memory-less channel** is a channel the probability distribution  $P_{Y|X}(y|x)$  is **independent** of previous channel inputs and outputs.

# Channel Coding: Zero-error case

## Channel Definition

### Example

$$(\mathcal{X} = \{0, 1\},$$

$$P_{Y|X}(0|0) = p, P_{Y|X}(1|1) = p, P_{Y|X}(1|0) = 1 - p, P_{Y|X}(0|1) = 1 - p,$$

$$\mathcal{Y} = \{0, 1\})$$

# Channel Coding: Zero-error case

## Channel Definition

### Example

$$(\mathcal{X} = \{0, 1\},$$

$$P_{Y|X}(0|0) = p, P_{Y|X}(1|1) = p, P_{Y|X}(1|0) = 1 - p, P_{Y|X}(0|1) = 1 - p,$$

$$\mathcal{Y} = \{0, 1\})$$

0

1

# Channel Coding: Zero-error case

## Channel Definition

### Example

$$(\mathcal{X} = \{0, 1\},$$

$$P_{Y|X}(0|0) = p, P_{Y|X}(1|1) = p, P_{Y|X}(1|0) = 1 - p, P_{Y|X}(0|1) = 1 - p,$$

$$\mathcal{Y} = \{0, 1\})$$

0                  0

1                  1

# Channel Coding: Zero-error case

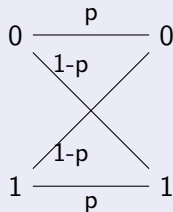
## Channel Definition

### Example

$$(\mathcal{X} = \{0, 1\},$$

$$P_{Y|X}(0|0) = p, P_{Y|X}(1|1) = p, P_{Y|X}(1|0) = 1 - p, P_{Y|X}(0|1) = 1 - p,$$

$$\mathcal{Y} = \{0, 1\})$$



# Channel Coding: Zero-error case

## Channel Definition

### Multiple uses of a memory-less channel

$n$  uses of the memory-less channel  $(\mathcal{X}, P_{Y|X}(y|x), \mathcal{Y})$  corresponds to the memory-less channel  $(\mathcal{X}^n, P_{Y^n|X^n}(y^n|x^n), \mathcal{Y}^n)$

# Channel Coding: Zero-error case

## Channel Definition

### Multiple uses of a memory-less channel

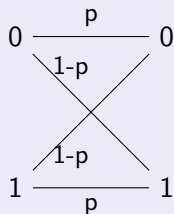
$n$  uses of the memory-less channel  $(\mathcal{X}, P_{Y|X}(y|x), \mathcal{Y})$  corresponds to the memory-less channel  $(\mathcal{X}^n, P_{Y^n|X^n}(y^n|x^n), \mathcal{Y}^n)$ , where  $P_{Y^n|X^n}(y^n|x^n) = \prod_i^n P_{Y|X}(y_i|x_i)$ .



# Channel Coding: Zero-error case

## Channel Definition

### Example

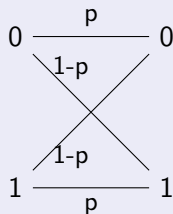


Your input code is  $x^2 = 11$ . What is the probability that  $y^2 = 11$ ?

# Channel Coding: Zero-error case

## Channel Definition

### Example



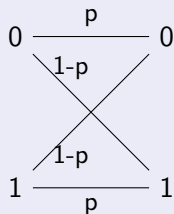
Your input code is  $x^2 = 11$ . What is the probability that  $y^2 = 11$ ?

$$P_{Y^2|X^2}(11|11) = P_{Y|X}(1|1) \cdot P_{Y|X}(1|1) = p^2$$

# Channel Coding: Zero-error case

## Channel Definition

### Example



Your input code is  $x^2 = 11$ . What is the probability that  $y^2 = 11$ ?

$$P_{Y^2|X^2}(11|11) = P_{Y|X}(1|1) \cdot P_{Y|X}(1|1) = p^2$$

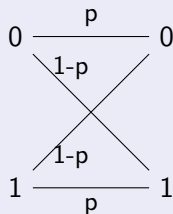
$$P_{Y^2|X^2}(01|11) = P_{Y^2|X^2}(10|11) = P_{Y|X}(0|1) \cdot P_{Y|X}(1|1) = (1-p) \cdot p$$

$$P_{Y^2|X^2}(00|11) = P_{Y|X}(0|1) \cdot P_{Y|X}(0|1) = (1-p)^2$$

# Channel Coding: Zero-error case

## Channel Definition

### Example



Your input code is  $x^2 = 11$ . What is the probability that  $y^2 = 11$ ?

$$P_{Y^2|X^2}(11|11) = P_{Y|X}(1|1) \cdot P_{Y|X}(1|1) = p^2$$

$$P_{Y^2|X^2}(01|11) = P_{Y^2|X^2}(10|11) = P_{Y|X}(0|1) \cdot P_{Y|X}(1|1) = (1-p) \cdot p$$

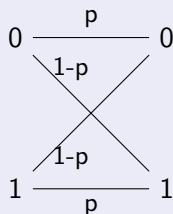
$$P_{Y^2|X^2}(00|11) = P_{Y|X}(0|1) \cdot P_{Y|X}(0|1) = (1-p)^2$$

Is this a channel?

# Channel Coding: Zero-error case

## Channel Definition

### Example



Your input code is  $x^2 = 11$ . What is the probability that  $y^2 = 11$ ?

$$P_{Y^2|X^2}(11|11) = P_{Y|X}(1|1) \cdot P_{Y|X}(1|1) = p^2$$

$$P_{Y^2|X^2}(01|11) = P_{Y^2|X^2}(10|11) = P_{Y|X}(0|1) \cdot P_{Y|X}(1|1) = (1-p) \cdot p$$

$$P_{Y^2|X^2}(00|11) = P_{Y|X}(0|1) \cdot P_{Y|X}(0|1) = (1-p)^2$$

Is this a channel? Yes, all the probabilities are positive and

$$\sum_{y \in \mathcal{Y}} P_{Y^2|X^2}(y|11) = p^2 + 2 \cdot p(1-p) + (1-p)^2 = (p + (1-p))^2 = 1$$

# Channel Coding: Zero-error case

## Code Definition

Definition:  $(M, n)$ -code

A  $(M, n)$ -code for channel  $(\mathcal{X}, P_{Y|X}(y|x), \mathcal{Y})$  with;

# Channel Coding: Zero-error case

## Code Definition

Definition:  $(M, n)$ -code

A  $(M, n)$ -code for channel  $(\mathcal{X}, P_{Y|X}(y|x), \mathcal{Y})$  with;  
A message index set  $\{1, 2, \dots, M\}$

# Channel Coding: Zero-error case

## Code Definition

### Definition: $(M, n)$ -code

A  $(M, n)$ -code for channel  $(\mathcal{X}, P_{Y|X}(y|x), \mathcal{Y})$  with;

A message index set  $\{1, 2, \dots, M\}$

An encoding function  $e: \{1, 2, \dots, M\} \rightarrow \mathcal{X}^n$



# Channel Coding: Zero-error case

## Code Definition

### Definition: $(M, n)$ -code

A  $(M, n)$ -code for channel  $(\mathcal{X}, P_{Y|X}(y|x), \mathcal{Y})$  with;

A message index set  $\{1, 2, \dots, M\}$

An encoding function  $e: \{1, 2, \dots, M\} \rightarrow \mathcal{X}^n$

A decoding function  $d: \mathcal{Y}^n \rightarrow \{1, 2, \dots, M\}$

### Definition: Transmission Rate

The **transmission rate**  $R$  of a  $(M, n)$ -code is  $R = \frac{\log M}{n}$ .

# Channel Coding: Zero-error case

## Zero-error Problem

### Zero-error Problem

Given a channel how many bits of information can we send through it without any errors?

# Channel Coding: Zero-error case

## Zero-error Problem

### Zero-error Problem

Given a channel how many bits of information can we send through it without any errors? In other words what is the maximal transmission rate of the channel?

# Channel Coding: Zero-error case

## Zero-error Problem

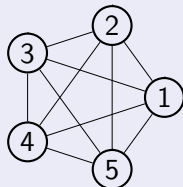
### Zero-error Problem

Given a channel how many bits of information can we send through it without any errors? In other words what is the maximal transmission rate of the channel? We use graph theory to clarify the problem.

# Graph theory

## Graph definition

A graph  $G$  is a set of vertices  $V(G)$  and a set of edges  $E(G)$ . Example (full graph with 5 vertices):



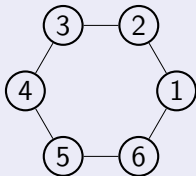
$$V = \{1, 2, 3, 4, 5\} \text{ and } E = \{12, 13, 14, \dots, 45\}$$

# Graph theory

## Independent set

### Definition

For a graph  $G$ , an **independent set** is a set of vertices  $I \subset V(G)$  such that no edge  $e \in E(G)$  contains two vertices from  $I$



$I$  can be  $\{1\}$ ,  $\{2\}$ ,  $\{1, 2\}$ ,  $\{1, 3, 5\}$ , etc.

# Graph theory

## Independent set

### Definition

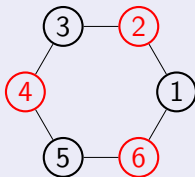
The **independence number**  $\alpha(G)$  is the cardinality of the maximum independent set.

# Graph theory

## Independent set

### Definition

The **independence number**  $\alpha(G)$  is the cardinality of the maximum independent set.



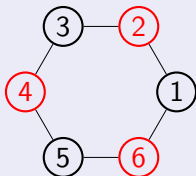


# Graph theory

## Independent set

### Definition

The **independence number**  $\alpha(G)$  is the cardinality of the maximum independent set.



$$\alpha(G) = |\{1, 3, 5\}| = |\{2, 4, 6\}| = 3$$

# Confusability graph

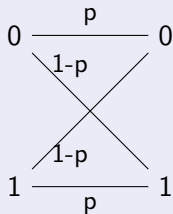
## Definition

Given a discrete channel  $(\mathcal{X}, P_{Y|X}(y|x), \mathcal{Y})$ , the confusability graph  $G$  is defined by  $V(G) = \mathcal{X}$  and

$E(G) = \{vw : \exists y : P_{Y|X}(y, v) \neq 0 \wedge P_{Y|X}(y|w) \neq 0\}$  i.e. vertices are connected when they can get confused with each other

# Confusability graph

## Example



# Zero-error codes

Given a (discrete memoryless) channel, how much information can you perfectly send through it?

# Zero-error codes

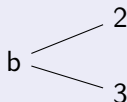
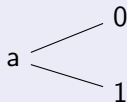
Given a (discrete memoryless) channel, how much information can you perfectly send through it?

When using the channel once, the independence number  $\alpha(G)$  of the confusability graph  $G$  tells you the maximum rate:  $R = \log \alpha(G)$ .

# Zero-error codes

## An ideal situation

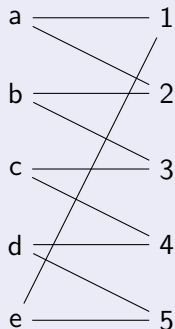
If there is no overlapping output, the maximum independent set is  $\mathcal{X}$  itself:



# Zero-error codes

## Noisy typewriter

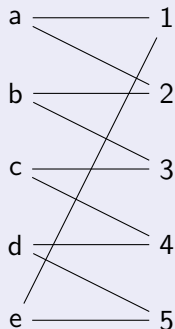
A more interesting case:



# Zero-error codes

## Noisy typewriter

A more interesting case:

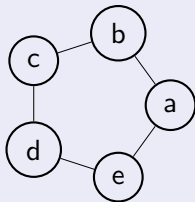
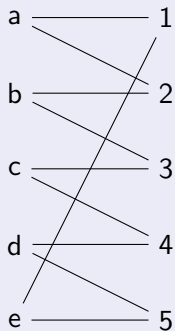


What is the confusability graph of this code?



# Zero-error codes

## Noisy typewriter



# Zero-error codes

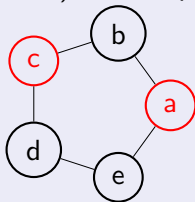
## Noisy typewriter

If the channel is used once ( $n = 1$ ) the independence number is  $\alpha(G) = 2$ :

# Zero-error codes

## Noisy typewriter

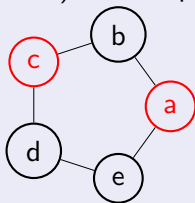
If the channel is used once ( $n = 1$ ) the independence number is  $\alpha(G) = 2$ :



# Zero-error codes

## Noisy typewriter

If the channel is used once ( $n = 1$ ) the independence number is  $\alpha(G) = 2$ :

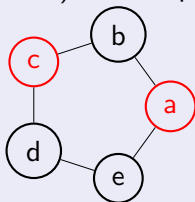


Index set:  $\{1, 2\}$

# Zero-error codes

## Noisy typewriter

If the channel is used once ( $n = 1$ ) the independence number is  $\alpha(G) = 2$ :

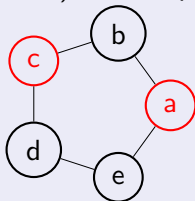


Index set:  $\{1, 2\}$  Encoding function:  $e(1) = a$  and  $e(2) = c$

# Zero-error codes

## Noisy typewriter

If the channel is used once ( $n = 1$ ) the independence number is  $\alpha(G) = 2$ :

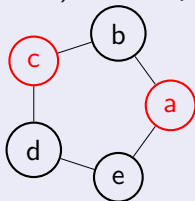


Index set:  $\{1, 2\}$  Encoding function:  $e(1) = a$  and  $e(2) = c$  Decoding function:  $d(1) = d(2) = a$  and  $d(3) = d(4) = c$

# Zero-error codes

## Noisy typewriter

If the channel is used once ( $n = 1$ ) the independence number is  $\alpha(G) = 2$ :



Index set:  $\{1, 2\}$  Encoding function:  $e(1) = a$  and  $e(2) = c$  Decoding function:  $d(1) = d(2) = a$  and  $d(3) = d(4) = c$  So rate

$$R = \frac{\log M}{n} = \frac{\log 2}{1} = 1 \text{ bit.}$$

# Zero-error codes

Noisy typewriter

What if  $n = 2$ ?



# Zero-error codes

## Noisy typewriter

What if  $n = 2$ ?

We can do just as well using  $\{aa, ac, ca, cc\}$ ; still no overlap.

Then again  $R = \frac{\log M}{n} = \frac{\log 4}{2} = 1$ .

# Zero-error codes

## Noisy typewriter

What if  $n = 2$ ?

We can do just as well using  $\{aa, ac, ca, cc\}$ ; still no overlap.

Then again  $R = \frac{\log M}{n} = \frac{\log 4}{2} = 1$ .

Claim: There exists a code with index set  $M = 5$ .

# Zero-error codes

## Noisy typewriter

What if  $n = 2$ ?

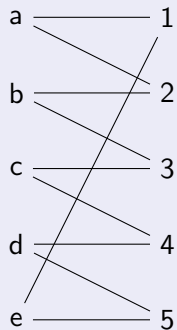
We can do just as well using  $\{aa, ac, ca, cc\}$ ; still no overlap.

Then again  $R = \frac{\log M}{n} = \frac{\log 4}{2} = 1$ .

Claim: There exists a code with index set  $M = 5$ .  $\{aa, bc, ce, db, ed\}$

# Zero-error codes

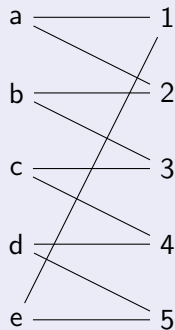
## Noisy typewriter



# Zero-error codes

## Noisy typewriter

$aa \rightarrow \{11, 12, 21, 22\}$

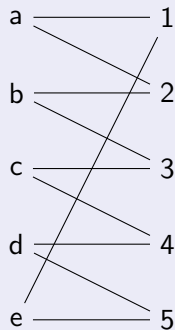


# Zero-error codes

## Noisy typewriter

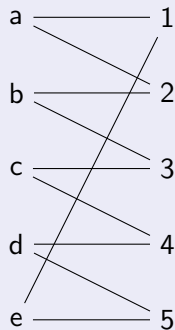
$$aa \rightarrow \{11, 12, 21, 22\}$$

$$bc \rightarrow \{23, 24, 33, 34\}$$



# Zero-error codes

## Noisy typewriter



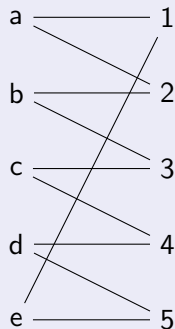
$$aa \rightarrow \{11, 12, 21, 22\}$$

$$bc \rightarrow \{23, 24, 33, 34\}$$

$$ce \rightarrow \{35, 31, 45, 41\}$$

# Zero-error codes

## Noisy typewriter



$$aa \rightarrow \{11, 12, 21, 22\}$$

$$bc \rightarrow \{23, 24, 33, 34\}$$

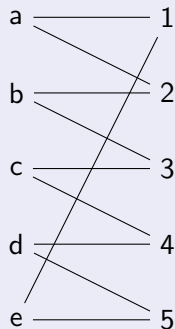
$$ce \rightarrow \{35, 31, 45, 41\}$$

$$db \rightarrow \{42, 43, 52, 53\}$$



# Zero-error codes

## Noisy typewriter



$$aa \rightarrow \{11, 12, 21, 22\}$$

$$bc \rightarrow \{23, 24, 33, 34\}$$

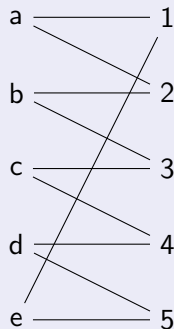
$$ce \rightarrow \{35, 31, 45, 41\}$$

$$db \rightarrow \{42, 43, 52, 53\}$$

$$ed \rightarrow \{54, 55, 14, 15\}$$

# Zero-error codes

## Noisy typewriter



$$aa \rightarrow \{11, 12, 21, 22\}$$

$$bc \rightarrow \{23, 24, 33, 34\}$$

$$ce \rightarrow \{35, 31, 45, 41\}$$

$$db \rightarrow \{42, 43, 52, 53\}$$

$$ed \rightarrow \{54, 55, 14, 15\}$$

$$\implies R = \frac{\log 5}{2} > \frac{\log 4}{2} = 1$$

# Zero-error codes

## Noisy typewriter

$R = \frac{\log 5}{2}$  turns out to be the upper bound for this channel.

# Zero-error codes

## Noisy typewriter

$R = \frac{\log 5}{2}$  turns out to be the upper bound for this channel.  
Proved by Shannon (1956) and Lovasz (1979).

# Zero-error codes

## Noisy typewriter

$R = \frac{\log 5}{2}$  turns out to be the upper bound for this channel.

Proved by Shannon (1956) and Lovasz (1979).

What happens for bigger graphs? No one knows...

# Extra

## Multiple channel confusability

We saw an increase in transmission rate, when we used the channel multiple times.

# Extra

## Multiple channel confusability

We saw an increase in transmission rate, when we used the channel multiple times. It could be helpful to make a graph of the confusability of multiple uses of the channel.

# Extra

## Multiple channel confusability

We saw an increase in transmission rate, when we used the channel multiple times. It could be helpful to make a graph of the confusability of multiple uses of the channel. The messages are confusable when all the uses are confusable.



# Extra

## Multiple channel confusability

We saw an increase in transmission rate, when we used the channel multiple times. It could be helpful to make a graph of the confusability of multiple uses of the channel. The messages are confusable when all the uses are confusable.

# Reference

Lecture content of Information Theory given by Christian Schaffner (course at University of Amsterdam):

<http://homepages.cwi.nl/~schaffne/courses/inftheory/2014/>  
(Blackboard photos from 24 and 26 November)

Many thanks to Christian for letting us use his lecture content!