

# Information & Communication



Bachelor Informatica 2015/16

January 2016

Some of these slides are copied from or heavily inspired by the University of Illinois at Chicago, [ECE 534: Elements of Information Theory](#) course given in Fall 2013 by Natasha Devroye

Thank you very much for the kind permission to re-use them here!

# Christian Schaffner



- me
- pure mathematics at ETH Zurich
- PhD from Aarhus, Denmark
- research: quantum cryptography
- [c.schaffner@uva.nl](mailto:c.schaffner@uva.nl)
- plays ultimate frisbee

# Practicalities

- final grade consists of 1/3 - 1/3 - 1/3:
  - 3 homework series, to be handed in and graded
  - student presentations
  - final report
- details on course homepage:  
<http://homepages.cwi.nl/~schaffne/courses/infcom/2015/>

# Expectations

## We expect from you

- be on time
- code of honor (do not cheat)
- focus
- ask questions!

## You can expect from us

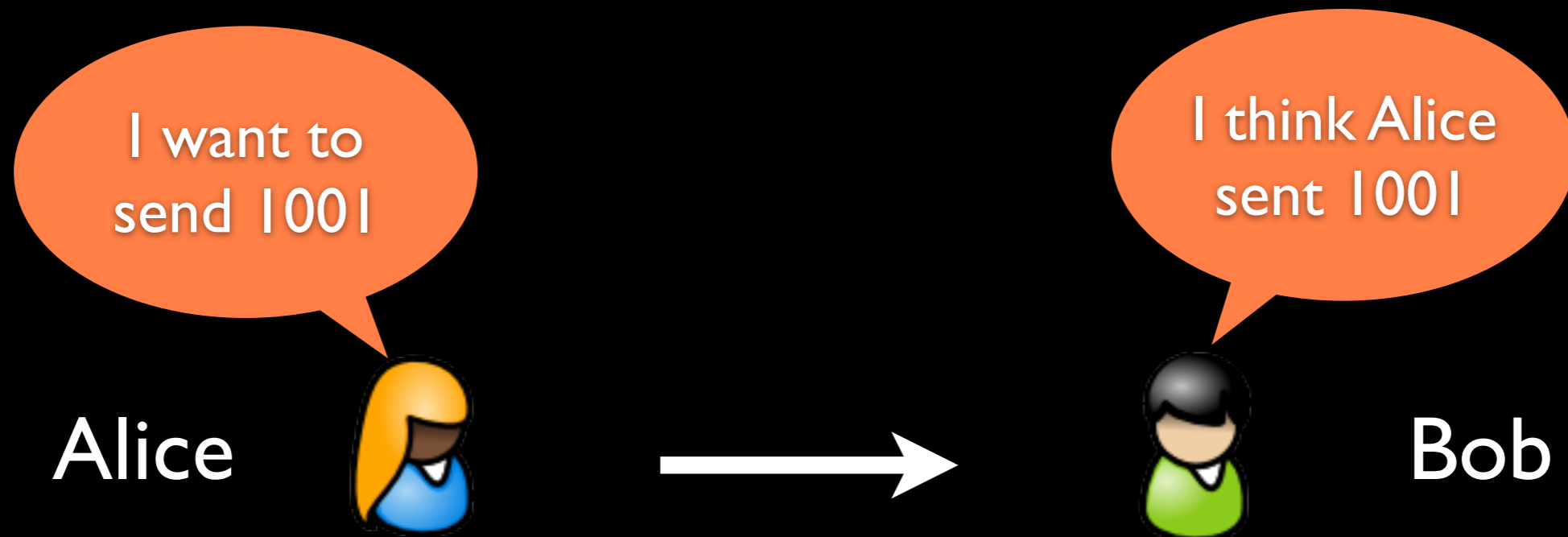
- be on time
- make clear what goals are
- listen to you and respond to email requests
- keep website up to date

Why multitasking is bad for learning: <https://medium.com/@cshirky/why-i-just-asked-my-students-to-put-their-laptops-away-7f5f7c50f368>

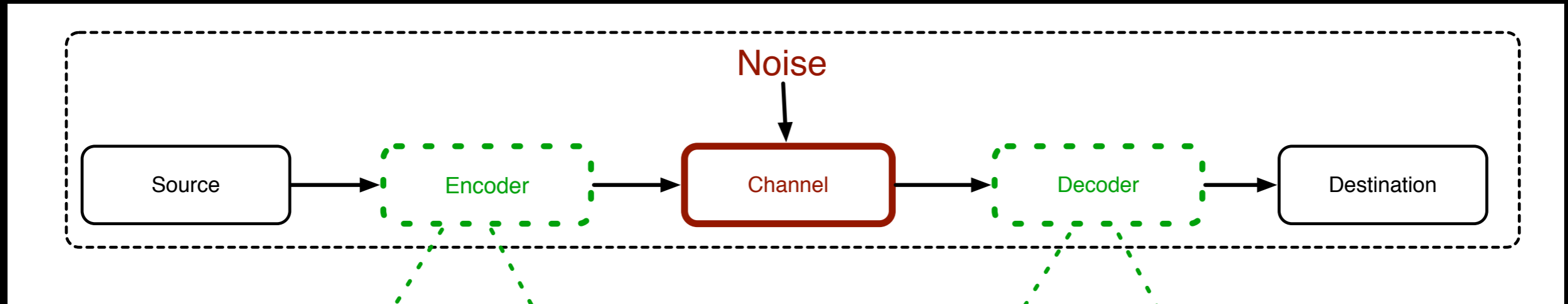
Questions ?

# What is communication?

“The fundamental problem of communication is that of reproducing at one point either exactly or approximately a message selected at another point.” - C.E. Shannon, 1948



# Generic communication block diagram



# History of (wireless) communication

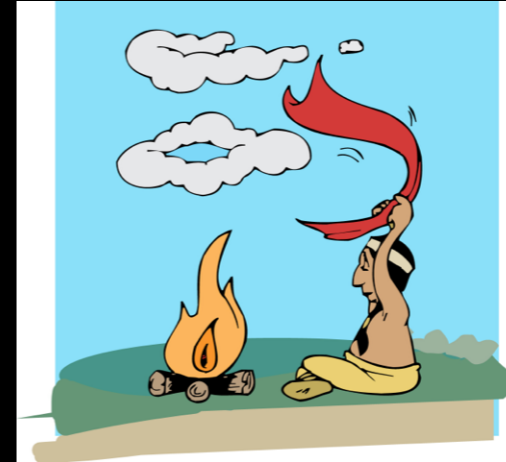
- Smoke signals



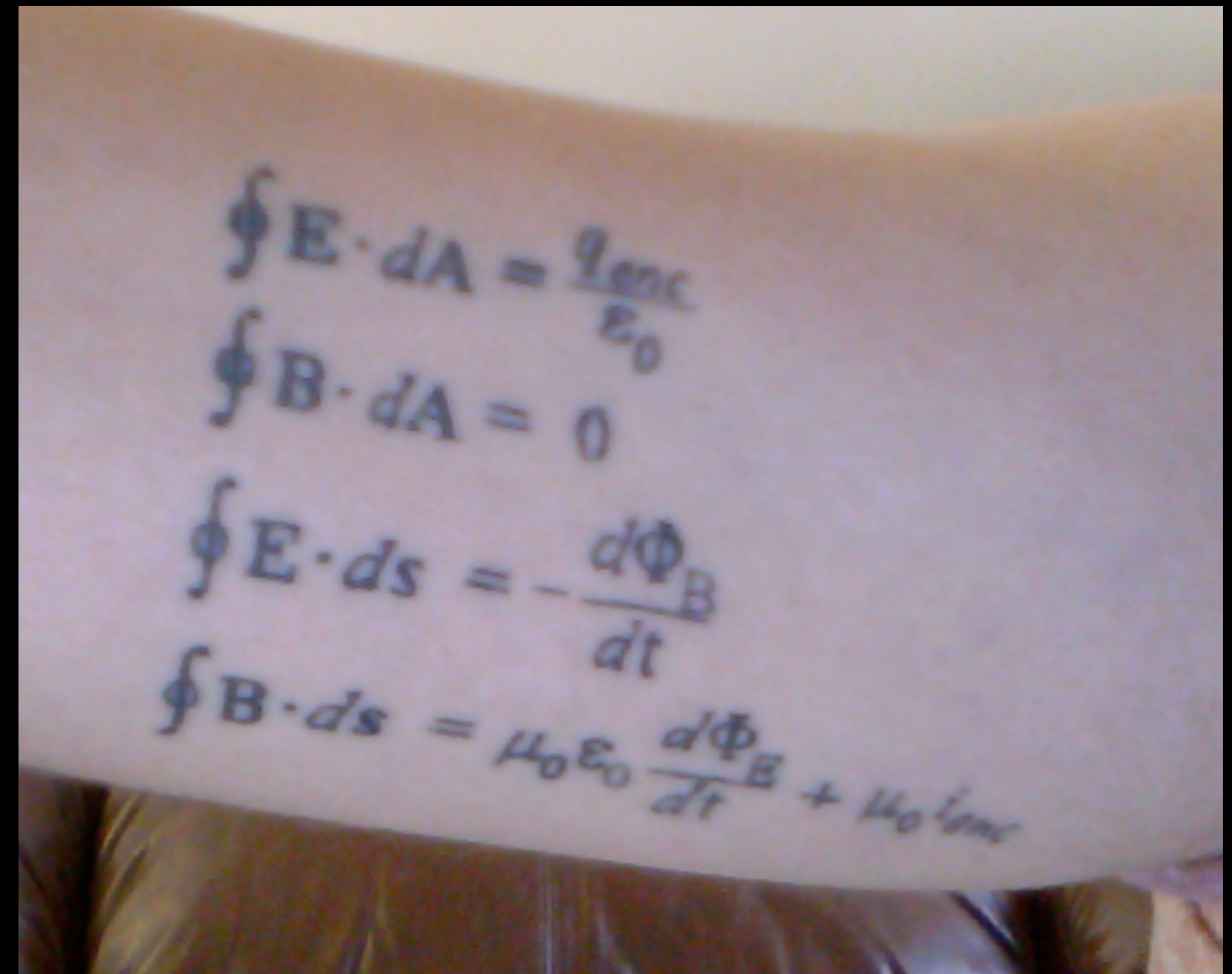


# History of (wireless) communication

- Smoke signals
- 1861: Maxwell's equations

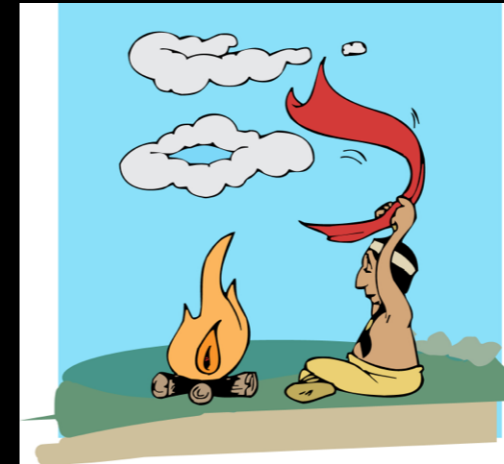


$$\oint \mathbf{E} \cdot d\mathbf{A} = \frac{q_{enc}}{\epsilon_0}$$
$$\oint \mathbf{B} \cdot d\mathbf{A} = 0$$
$$\oint \mathbf{E} \cdot d\mathbf{s} = -\frac{d\Phi_B}{dt}$$
$$\oint \mathbf{B} \cdot d\mathbf{s} = \mu_0 \epsilon_0 \frac{d\Phi_E}{dt} + \mu_0 i_{enc}$$



# History of (wireless) communication

- Smoke signals
- 1861: Maxwell's equations
- 1900: Guglielmo Marconi demonstrates wireless telegraph

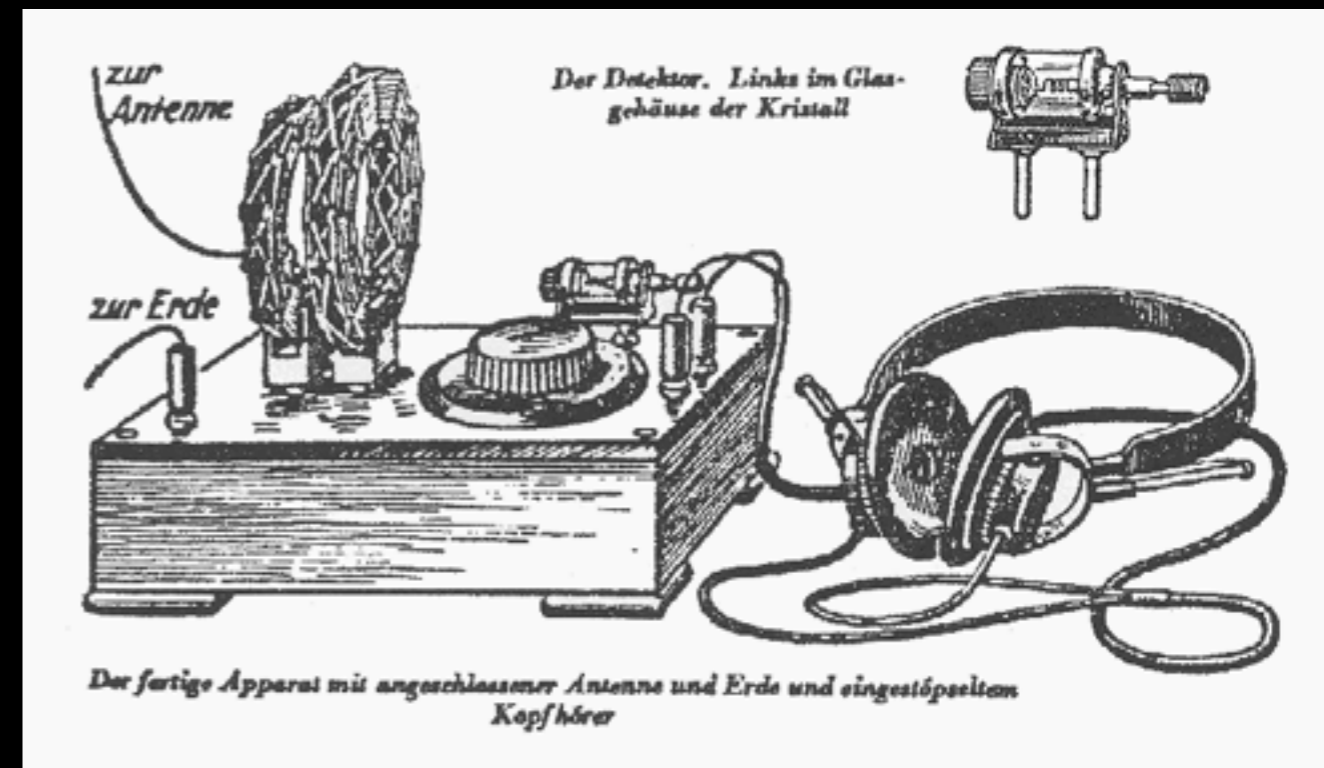


$$\oint \mathbf{E} \cdot d\mathbf{A} = \frac{q_{enc}}{\epsilon_0}$$

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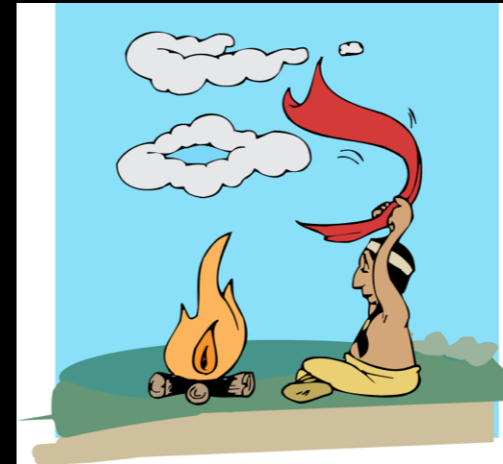
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# History of (wireless) communication

- Smoke signals
- 1861: Maxwell's equations
- 1900: Marconi demonstrates wireless telegraph
- 1920s: Edwin Howard Armstrong demonstrates FM radio

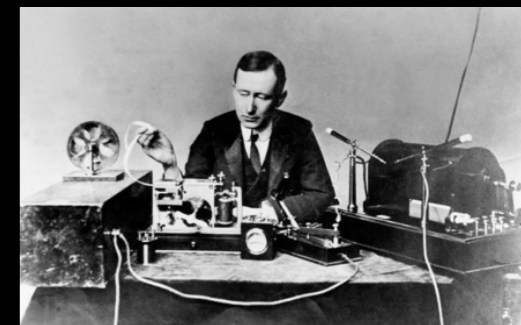


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# Big Open Questions

- mostly analog
- ad-hoc engineering, tailored to each application
- is there a general methodology for designing communication systems?
- can we communicate reliably in noise?
- how fast can we communicate?



# Claude Elwood Shannon

1916 - 2001



- Father of Information Theory
- Graduate of MIT 1940:  
“An Algebra for Theoretical Genetics”
- 1941-1972: Scientist at Bell Labs
- 1958: Professor at MIT:  
When he returned to MIT in 1958, he continued to threaten corridor-walkers on his unicycle, sometimes augmenting the hazard by juggling. No one was ever sure whether these activities were part of some new breakthrough or whether he just found them amusing. He worked, for example, on a motorized pogo-stick, which he claimed would mean he could abandon the unicycle so feared by his colleagues ...
- juggling, unicycling, chess
- ultimate machine

# History of (wireless) communication

- BITS !
- arguably, first to really define and use “bits”
- *"He's one of the great men of the century. Without him, none of the things we know today would exist. The whole digital revolution started with him."* -Neil Sloane, AT&T Fellow



# Information Theory

THE CHIEF DIFFICULTY ALICE FOUND AT FIRST WAS IN  
BRAGGING HER FLAMINGO: SHE SUCCEEDED IN  
GETTING ITS BODY LIKE A A, COMFORTABLY  
ENOUGH, UNDER HER ARM, WITH ITS LEGS HANGING  
DOWN, BUT BENEATH, JUST AS SHE HAD NOT ITS  
BACK NEARLY STRAIGHTENED, AND WAS BRINGING  
THE TIME THE HEDGEHOG WILL WITH ITS HEAD, WHICH  
WOULD TWIST ITSELF ABOUT AN ANKLE IN HER  
ARM, BUT UNDER A ZIPPED EMBROIDERED  
SHE COULD NOT BE SINGING A H:  
AND WHEN SHE WAS DOING, SHE  
WAS GOING TO BE IN, SHE WAS  
O O T T E G D  
R L F, H

██████████ ██████████

# The Bell System Technical Journal

*Vol. XXVII*

*July, 1948*

*No. 3*

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**A Mathematical Theory of Communication**

By C. E. SHANNON



- Introduced a new field: Information Theory

What is  
communication?

What is  
information?

How much can  
we compress  
information?

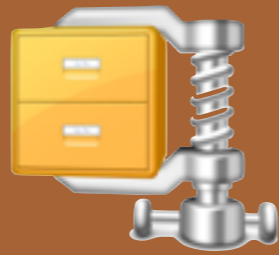
How fast can  
we  
communicate?



# Main Contributions of Inf Theory

## Source coding

- source = random variable
- ultimate data compression limit is the source's entropy  $H$



## Channel coding

- channel = conditional distributions
- ultimate transmission rate is the channel capacity  $C$

Reliable communication possible  $\Leftrightarrow H < C$

# Reactions to This Theory

- Engineers in disbelief
- stuck in analogue world



Error free communication in noise eh?

How to approach the predicted limits?

Shannon says: can transmit at rates up to say 4Mbps over a certain channel without error. How to do it?

# Applications

- Communication Theory
- Computer Science (e.g. in cryptography)
- Physics (thermodynamics)
- Philosophy of Science (Occam's Razor)
- Economics (investments)
- Biology (genetics, bio-informatics)

# Topics Overview

- Entropy and Mutual Information
- Entropy Diagrams
- Perfectly Secure Encryption
- Data Compression
- Coding Theory
- Channel-Coding Theorem
- Zero-Error Information Theory
- Noisy-Channel Theorem
- Application to Machine Learning

Questions ?

# Example: Letter Frequencies

$i$	$a_i$	$p_i$	
1	a	0.0575	a
2	b	0.0128	b
3	c	0.0263	c
4	d	0.0285	d
5	e	0.0913	e
6	f	0.0173	f
7	g	0.0133	g
8	h	0.0313	h
9	i	0.0599	i
10	j	0.0006	j
11	k	0.0084	k
12	l	0.0335	l
13	m	0.0235	m
14	n	0.0596	n
15	o	0.0689	o
16	p	0.0192	p
17	q	0.0008	q
18	r	0.0508	r
19	s	0.0567	s
20	t	0.0706	t
21	u	0.0334	u
22	v	0.0069	v
23	w	0.0119	w
24	x	0.0073	x
25	y	0.0164	y
26	z	0.0007	z
27	-	0.1928	-

Figure 2.1. Probability distribution over the 27 outcomes for a randomly selected letter in an English language document (estimated from *The Frequently Asked Questions Manual for Linux*). The picture shows the probabilities by the areas of white squares.

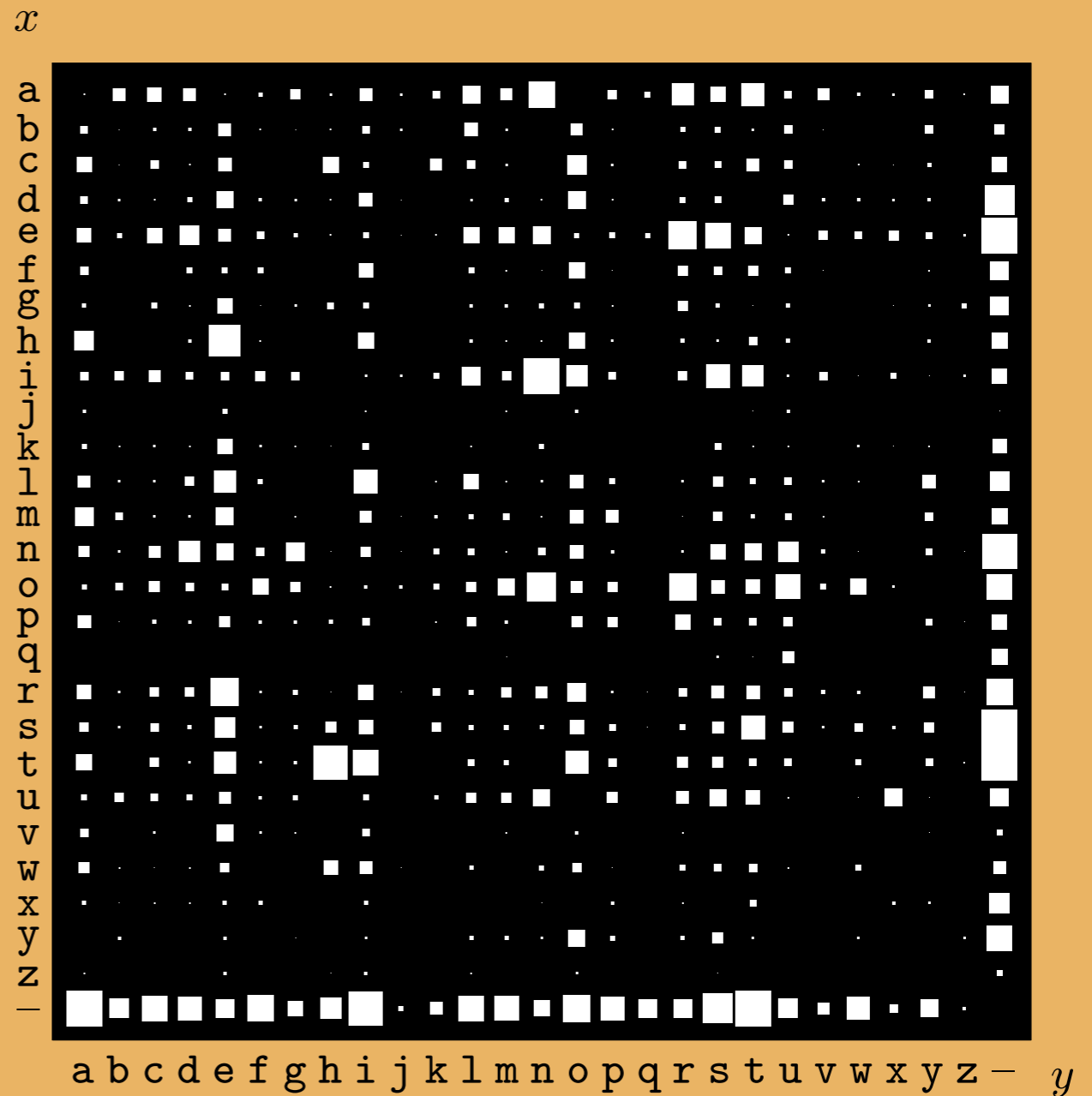


Figure 2.2. The probability distribution over the  $27 \times 27$  possible bigrams  $xy$  in an English language document, *The Frequently Asked Questions Manual for Linux*.

# Example: Surprisal Values

from <http://www.umsl.edu/~fraundorfp/egsurpri.html>

situation	probability $p = 1/2^{\text{\#bits}}$	surprisal $\text{\#bits} = \ln_2[1/p]$
one equals one	1	0 bits
wrong guess on a 4-choice question	3/4	$\ln_2[4/3] \sim 0.415$ bits
correct guess on true-false question	1/2	$\ln_2[2] = 1$ bit
correct guess on a 4-choice question	1/4	$\ln_2[4] = 2$ bits
seven on a pair of dice	$6/6^2 = 1/6$	$\ln_2[6] \sim 2.58$ bits
snake-eyes on a pair of dice	$1/6^2 = 1/36$	$\ln_2[36] \sim 5.17$ bits
random character from the 8-bit ASCII set	1/256	$\ln_2[2^8] = 8$ bits = 1 byte
N heads on a toss of N coins	$1/2^N$	$\ln_2[2^N] = N$ bits
harm from a smallpox vaccination	$\sim 1/1,000,000$	$\sim \ln_2[10^6] \sim 19.9$ bits
win the UK Jackpot lottery	1/13,983,816	$\sim 23.6$ bits
RGB monitor choice of one pixel's color	$1/256^3 \sim 5.9 \times 10^{-8}$	$\ln_2[2^{8 \cdot 3}] = 24$ bits
<a href="#">gamma ray burst</a> mass extinction event TODAY!	$< 1/(10^9 \cdot 365) \sim 2.7 \times 10^{-12}$	hopefully $> 38$ bits
availability to reset 1 gigabyte of random access memory	$1/2^{8E9} \sim 10^{-2.4E9}$	$8 \times 10^9$ bits $\sim 7.6 \times 10^{-14}$ J/K
choices for $6 \times 10^{23}$ Argon atoms in a 24.2L box at 295K	$\sim 1/2^{1.61E25} \sim 10^{-4.8E24}$	$\sim 1.61 \times 10^{25}$ bits $\sim 155$ J/K
one equals two	0	$\infty$ bits

$i$	$a_i$	$p_i$	$h(p_i)$
1	a	.0575	4.1
2	b	.0128	6.3
3	c	.0263	5.2
4	d	.0285	5.1
5	e	.0913	3.5
6	f	.0173	5.9
7	g	.0133	6.2
8	h	.0313	5.0
9	i	.0599	4.1
10	j	.0006	10.7
11	k	.0084	6.9
12	l	.0335	4.9
13	m	.0235	5.4
14	n	.0596	4.1
15	o	.0689	3.9
16	p	.0192	5.7
17	q	.0008	10.3
18	r	.0508	4.3
19	s	.0567	4.1
20	t	.0706	3.8
21	u	.0334	4.9
22	v	.0069	7.2
23	w	.0119	6.4
24	x	.0073	7.1
25	y	.0164	5.9
26	z	.0007	10.4
27	-	.1928	2.4

$$\sum_i p_i \log_2 \frac{1}{p_i} \quad 4.1$$

Table 2.9. Shannon information contents of the outcomes a–z.