

Information Theory Exercise Sheet #6

(Channel Capacities)

University of Amsterdam, Master of Logic, Fall 2015

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1. **Capacity of Multiple Channel Uses** Prove Lemma 1 below stating that the capacity per transmission is not increased if we use a discrete memoryless channel many times. For inspiration, look again at the proof of the converse of Shannon's noisy-channel coding theorem.

Lemma 1 (Lemma 7.9.2 in [CT]) *Let Y^n be the result of passing X^n through a discrete memoryless channel of capacity C . Then, $I(X^n; Y^n) \leq nC$ for all P_{X^n} .*

Does your proof also work for the feedback case (i.e. where X_{i+1} is allowed to depend on $X^i Y^i$)? If not, point out the steps in your proof where you use that there is no feedback.

2. **Symmetric Channels.** Consider the channel with transition matrix

$$P_{Y|X} = \begin{bmatrix} 0.3 & 0.2 & 0.5 \\ 0.5 & 0.3 & 0.2 \\ 0.2 & 0.5 & 0.3 \end{bmatrix}.$$

In a transition matrix, the entry in the x th row and y th column denotes the conditional probability $P_{Y|X}(y|x)$ that y is received when x has been sent.

Definition 1 *A channel is said to be symmetric if the rows of the channel transition matrix $P_{Y|X}$ are permutations of each other and the columns are permutations of each other. A channel is said to be weakly symmetric if every row of the transition matrix is a permutation of every other row and all the column sums $\sum_x P_{Y|X}(y|x)$ are equal.*

For instance, the channel $P_{Y|X}$ above is symmetric and the channel

$$Q_{Y|X} = \begin{bmatrix} \frac{1}{3} & \frac{1}{6} & \frac{1}{2} \\ \frac{1}{3} & \frac{1}{2} & \frac{1}{6} \end{bmatrix}$$

is weakly symmetric but not symmetric.

- (a) Find the optimal input distribution and channel capacity of $Q_{Y|X}$.
- (b) Give a general strategy how to compute the capacity for weakly symmetric channels. What is the optimal input distribution?
3. **Zero-error vs non-zero-error Shannon capacity:** Let $P_{Y|X}$ be a discrete memoryless channel with confusability graph G and capacity $C = \max_{P_X} I(X; Y)$.
- (a) Show that $\log(\alpha(G)) \leq C$.
- (b) Show that for any $n \geq 1$, $\log(\alpha(G^{\boxtimes n})) \leq \max_{P_{X^n}} I(X^n; Y^n)$, where the Y^n are obtained by using the channel n times, i.e. $P_{Y^n|X^n}(y^n|x^n) = \prod_{i=1}^n P_{Y|X}(y_i|x_i)$ for all x^n, y^n .
- (c) Conclude that the zero-error Shannon capacity of G is at most the channel capacity C .
4. **Additive noise channel.** Find the channel capacity of the following discrete memoryless channel. On input X from $\mathcal{X} = \{0, 1\}$, the output Y is obtained by adding (over the reals) another real random variable Z , i.e. $Y = X + Z$ with distribution $P_Z(0) = P_Z(a) = \frac{1}{2}$ independent of X . Compute the channel capacity for all possible values of $a \in \mathbb{R}$.
5. **Tall, fat people.** Suppose that the average height of people in a room is 1.5m. Suppose that the average weight is 50kg.
- (a) Argue that no more than one third of the population is 4.5m tall.
- (b) Find an upper bound on the fraction of people who are simultaneously tall (say, at least 3m) and fat (say, at least 150kg).
6. **Erasures and errors in a binary channel** Consider a channel with binary inputs that has both erasures and errors. Let the probability of error be ε and the probability of erasure be α , so the channel is as described in Figure 1.
- (a) Find the channel capacity of this channel.
- (b) Specialize to the case of the binary symmetric channel ($\alpha = 0$).
- (c) Specialize to the case of the binary erasure channel ($\varepsilon = 0$).

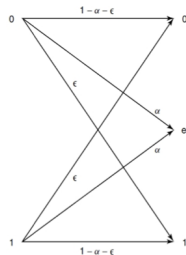


Figure 1: Erasures and errors in a binary channel.

7. **Encoder and decoder as part of the channel.** Consider a binary symmetric channel (BSC) $P_{Y|X}$ with crossover probability $\epsilon = 0.1$. A possible coding scheme for this channel with two codewords of length 3 is to encode message w_1 as 000 and w_2 as 111. The decoder uses majority vote. With this coding scheme, we can consider the combination of encoder, channel, and decoder as forming a new BSC $Q_{Y|X}$, with two inputs w_1 and w_2 and two outputs w_1 and w_2 .

- Calculate the crossover probability of this new channel $Q_{Y|X}$.
- What is the capacity of this new channel in bits per transmission of the original channel $P_{Y|X}$?
- What is the capacity of the original BSC $P_{Y|X}$ with crossover probability $\epsilon = 0.1$. Compare the two capacities.
- Prove the following general result: For any channel, considering the encoder, channel, and decoder together (as a new channel from message W to estimated messages \hat{W}) will not increase the capacity in bits per transmission of the original channel.

Homework is exercises 2,3,4,6.