
A Tight High-Order Entropic Quantum Uncertainty Relation with Applications

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1970:

Conjugate Coding *

Stephen Wiesner

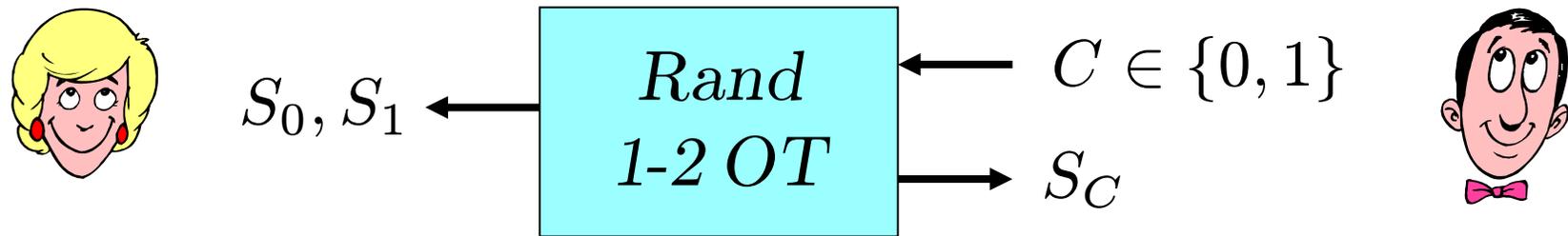
Columbia University, New York, N.Y.

Department of Physics

Example One: A means for transmitting two messages either but not both of which may be received.

The uncertainty principle imposes restrictions on the capacity of certain types of communication channels. This

(Randomized) 1-2 Oblivious Transfer



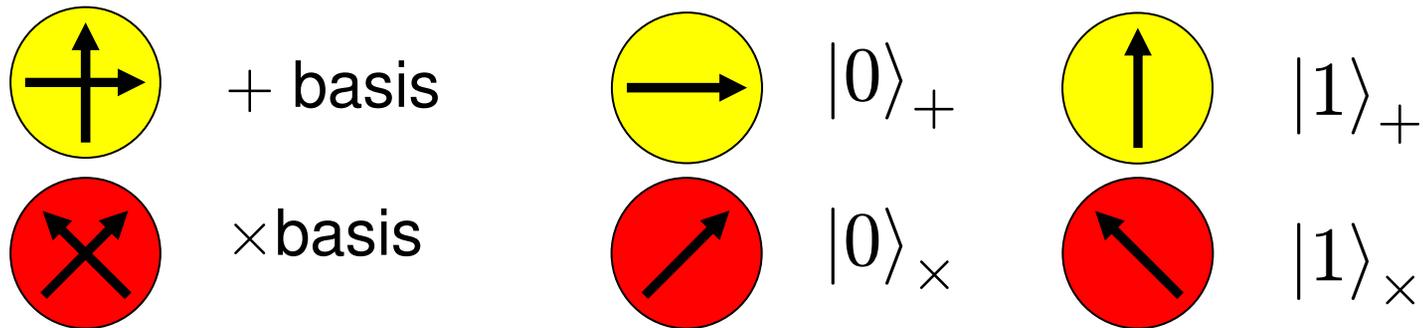
Example One: A means for transmitting two messages either but not both of which may be received.

- complete for 2-party computation
- impossible in the plain (quantum) model
- possible in the Bounded-Quantum-Storage Model

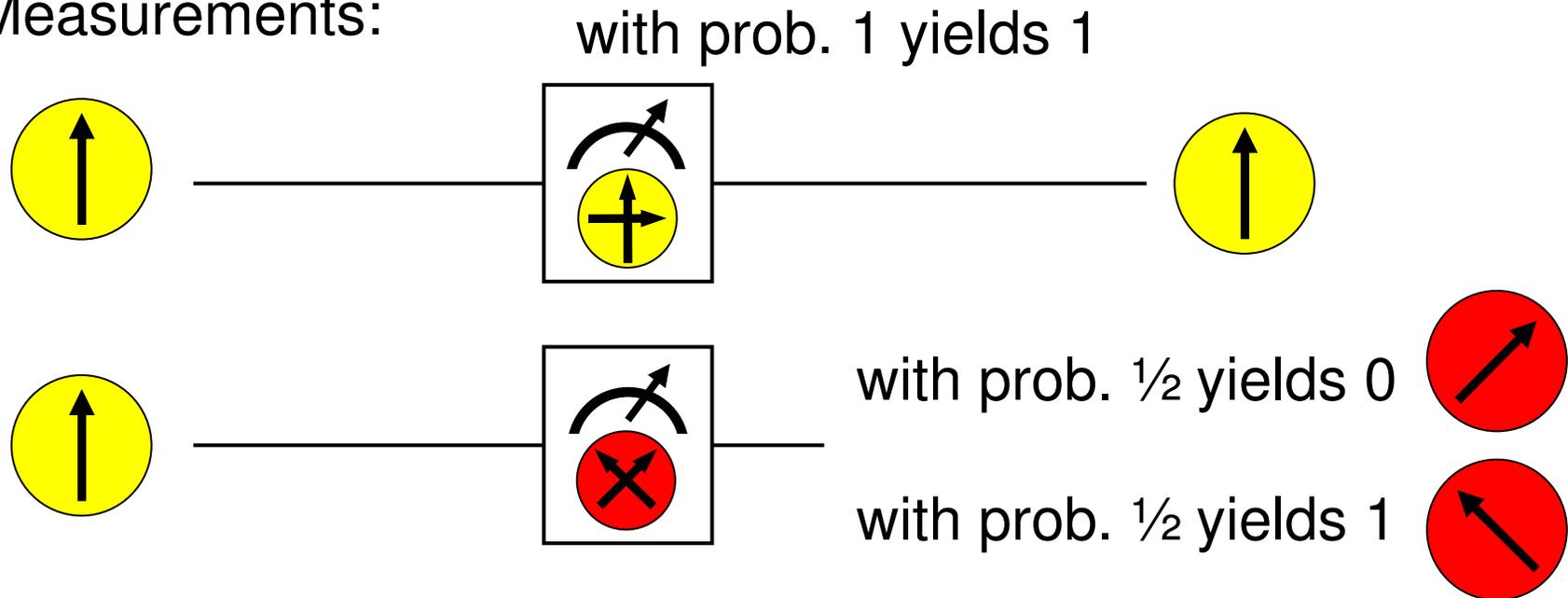
Outline

- Motivation and Notation
- Quantum Uncertainty Relation
- Contributions

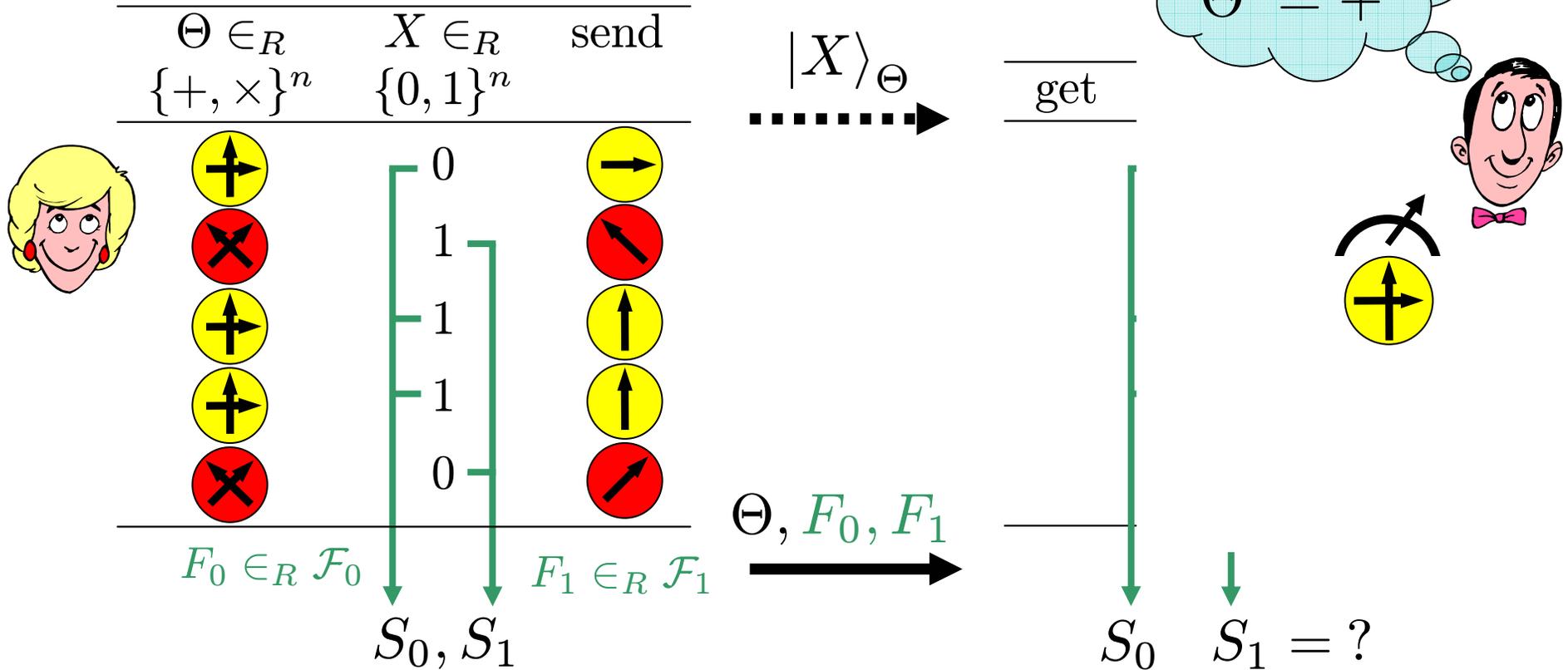
Quantum Mechanics



Measurements:

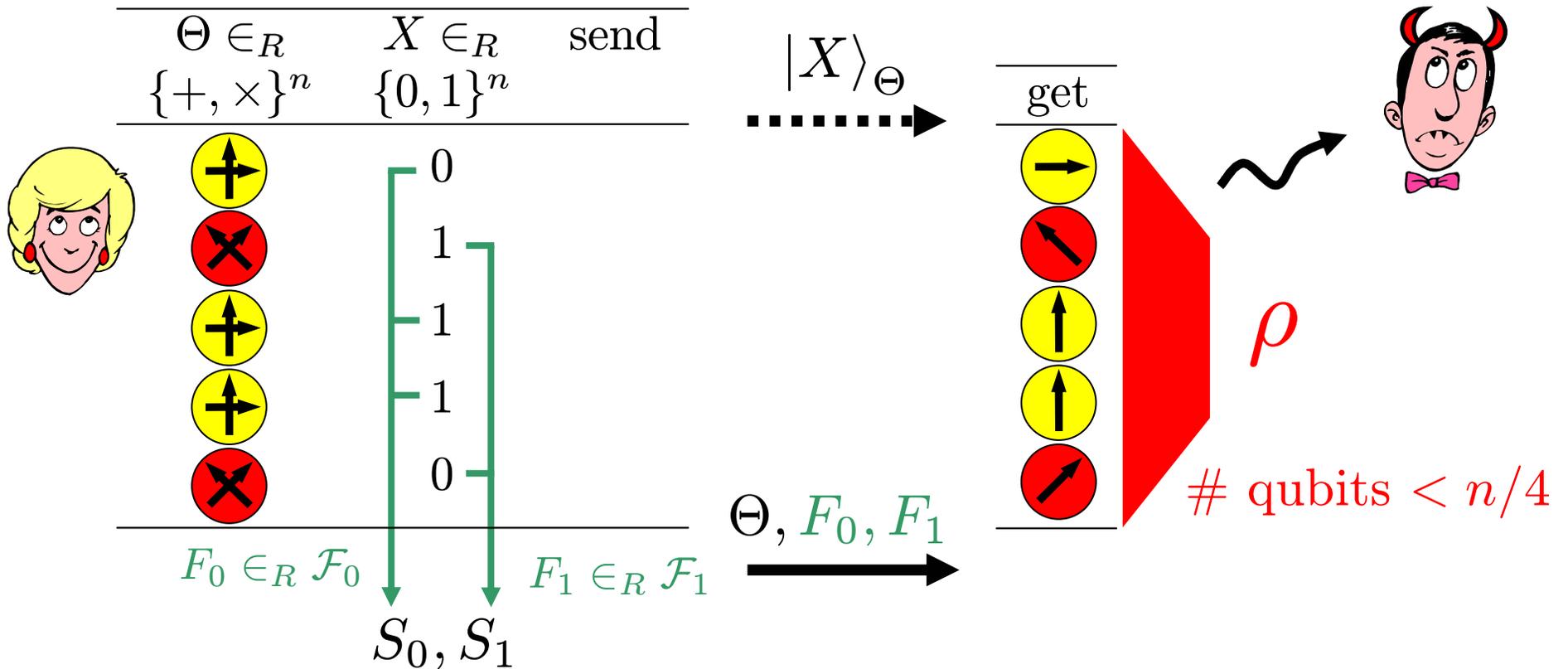


Quantum 1-2 OT Protocol



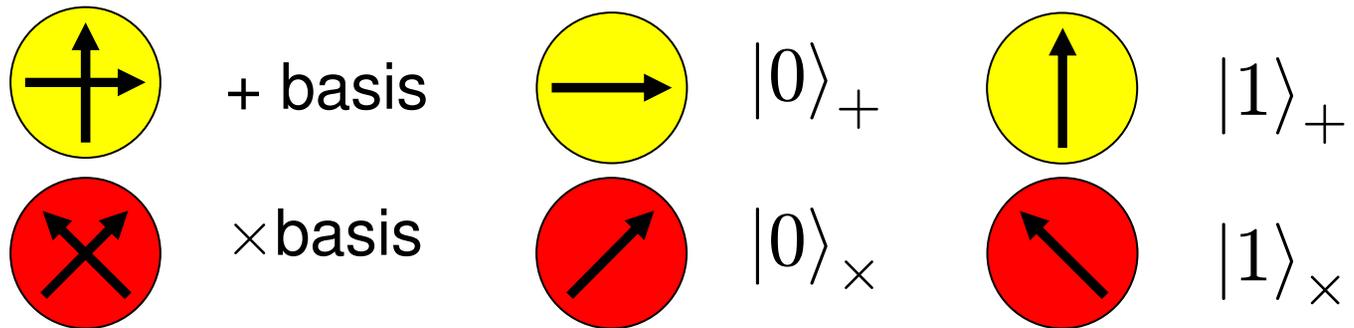
- Correctness ✓
- Receiver-Security against Dishonest Alice ✓

Sender-Security?

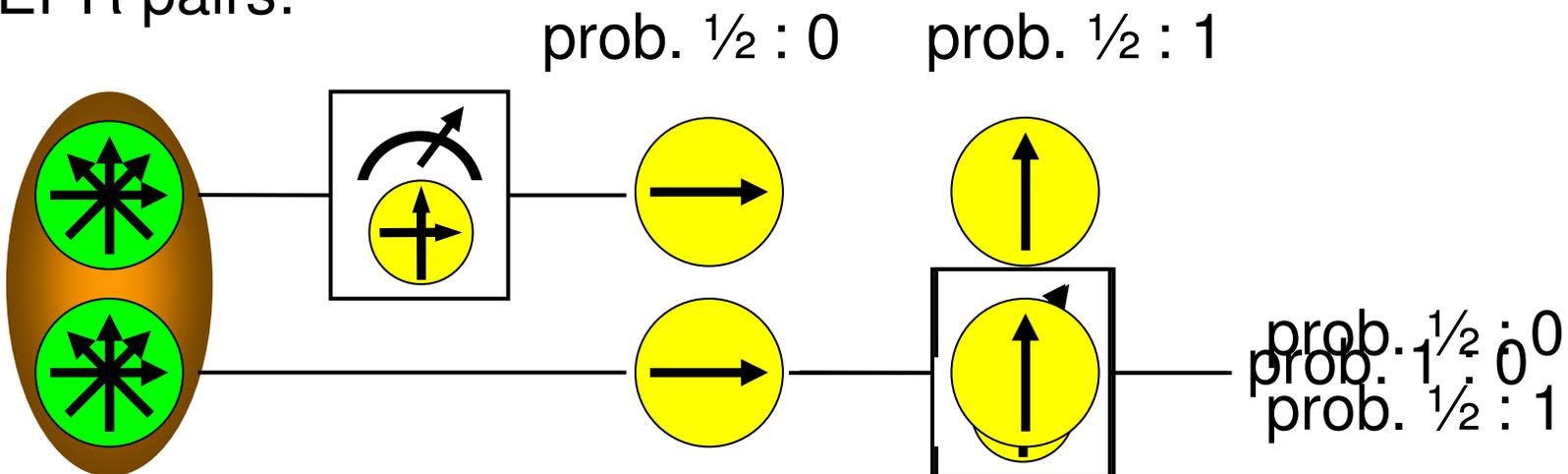


- Sender-Security: one of the strings looks completely random to dishonest Bob

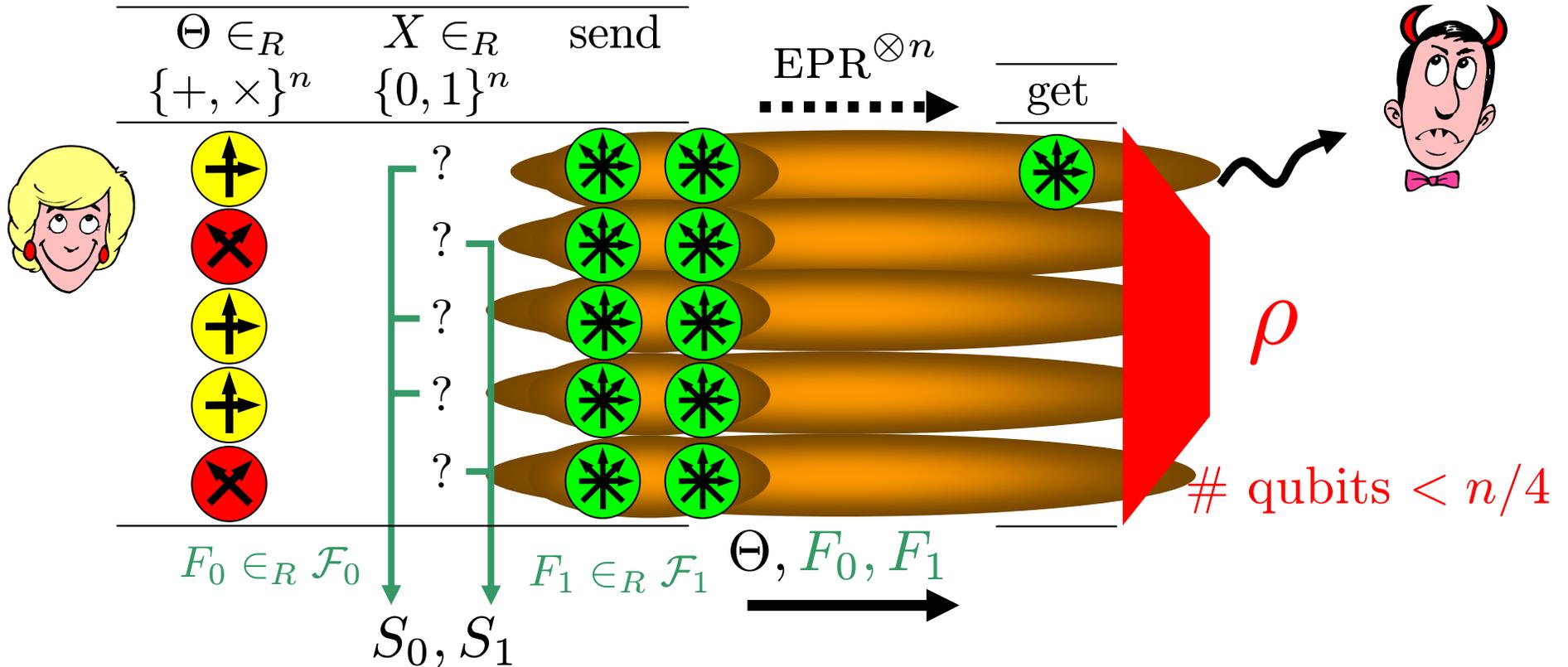
Quantum Mechanics II



EPR pairs:

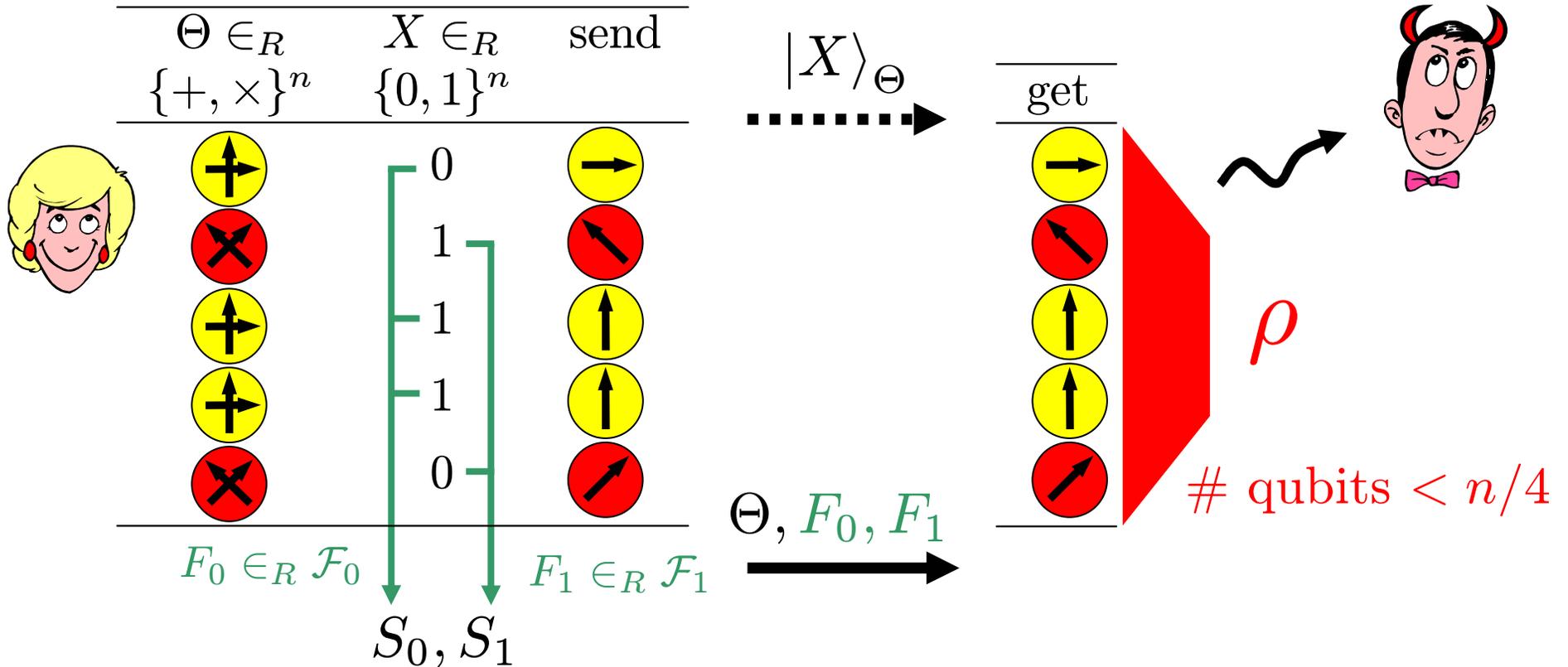


Entanglement-Based Protocol



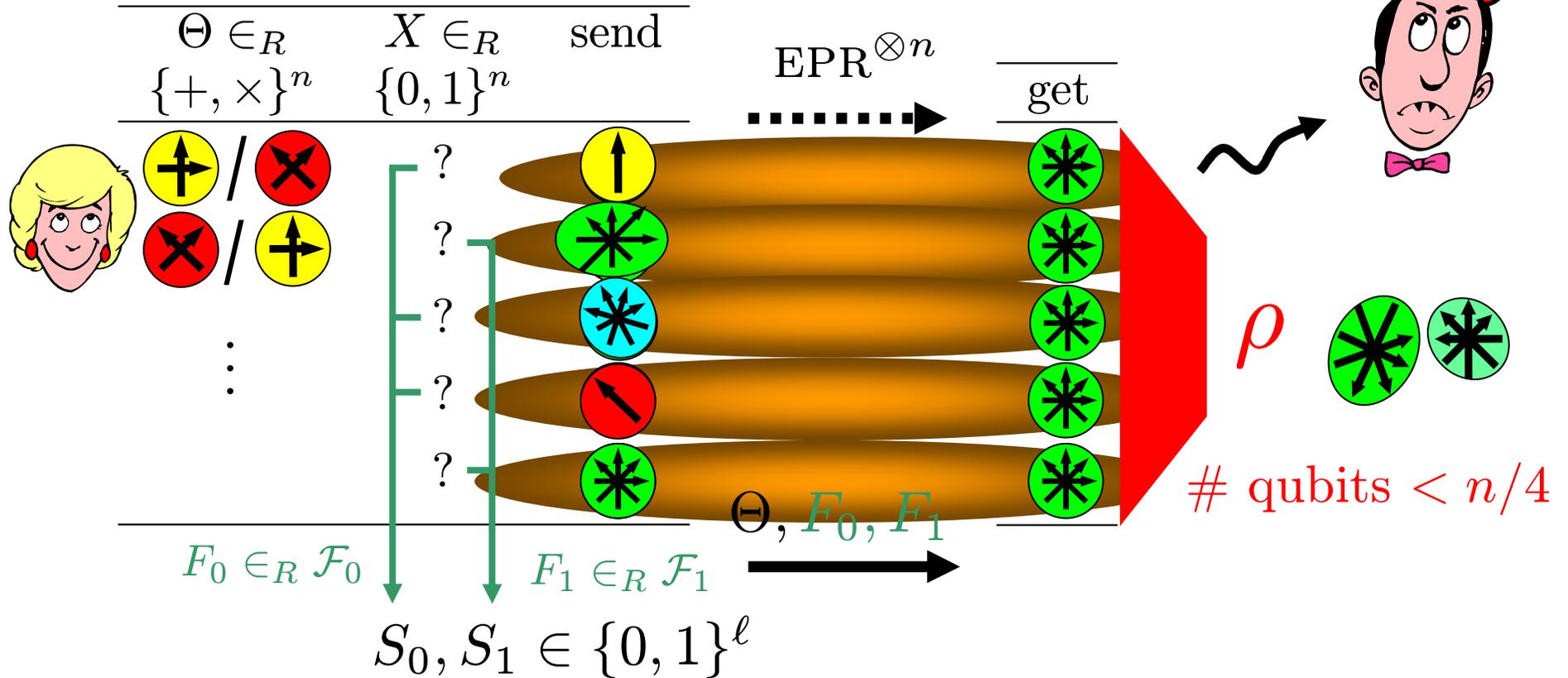
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Entanglement-Based Protocol



- Sender-Security: One of the strings looks completely random to dishonest Bob

Let Bob Act First

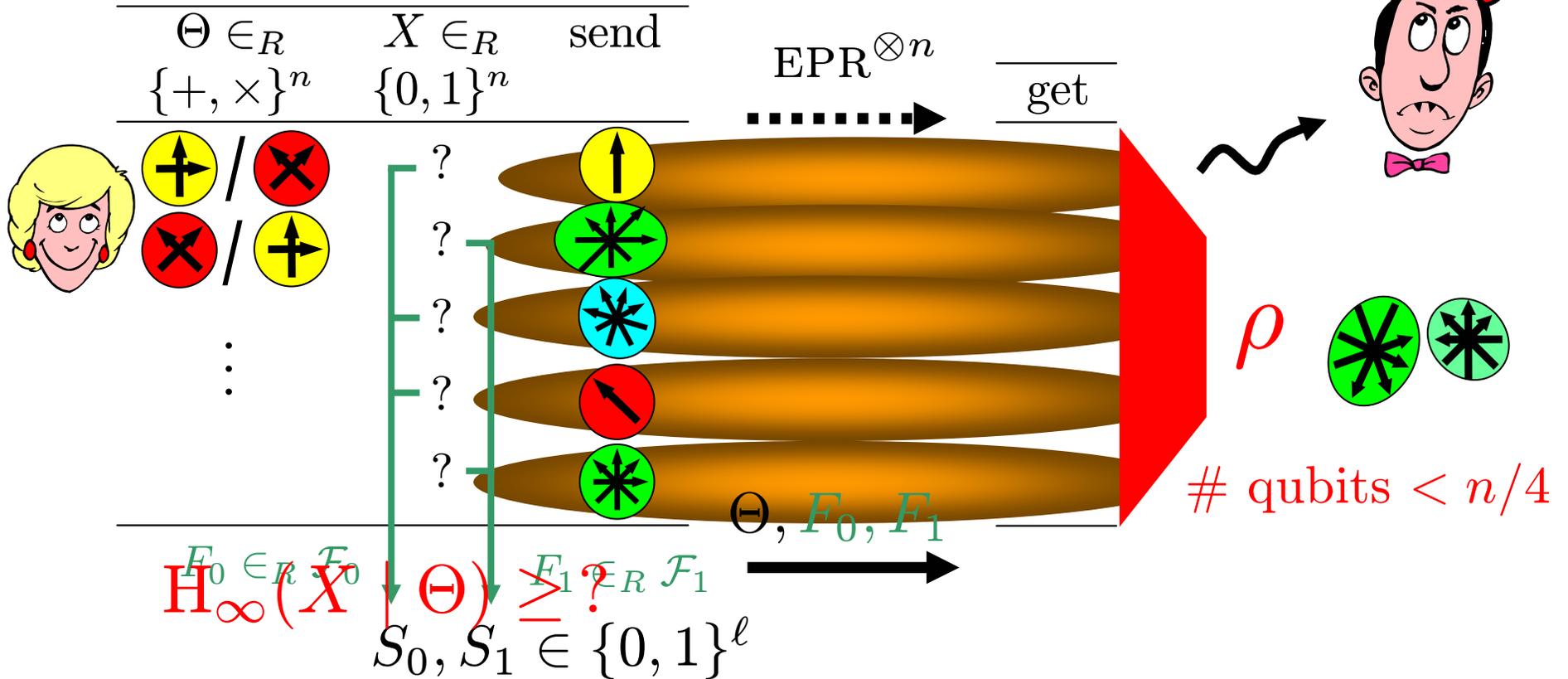


- Sender-Security: One of the strings looks completely random to dishonest Bob

$$PA : 2\ell \approx H_\infty(X \mid \Theta, \rho)$$

[Renner König 05, Renner 06]

Sender-Security \Leftrightarrow Uncertainty Relation



- Sender-Security: One of the strings looks completely random to dishonest Bob

$$\text{PA} : 2\ell \approx H_\infty(X | \Theta, \rho) \geq \underbrace{H_\infty(X | \Theta)}_{\geq ?} - \underbrace{\# \text{ qubits}}_{< n/4}$$

[Renner König 05, Renner 06]

Outline

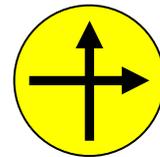
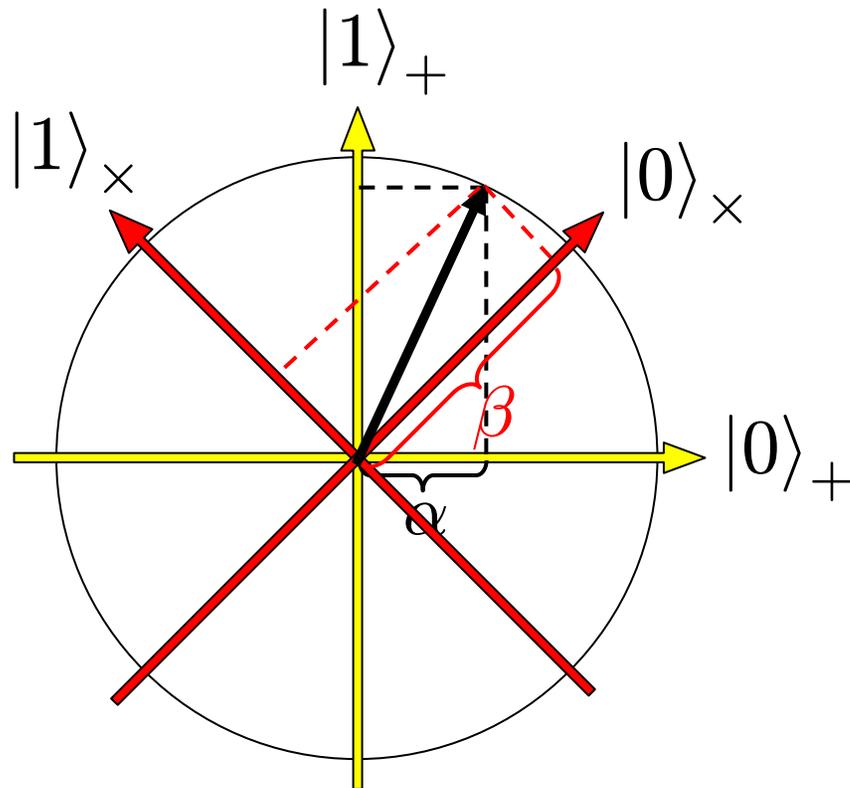
✓ Motivation and Notation

■ Quantum Uncertainty Relation

■ Contributions

Quantum Uncertainty Relation needed

qubit as unit vector in \mathbb{C}^2



$$\Pr[X = 0] = |\alpha|^2$$

$$\Pr[X = 1] = 1 - |\alpha|^2$$



$$\Pr[X = 0] = |\beta|^2$$

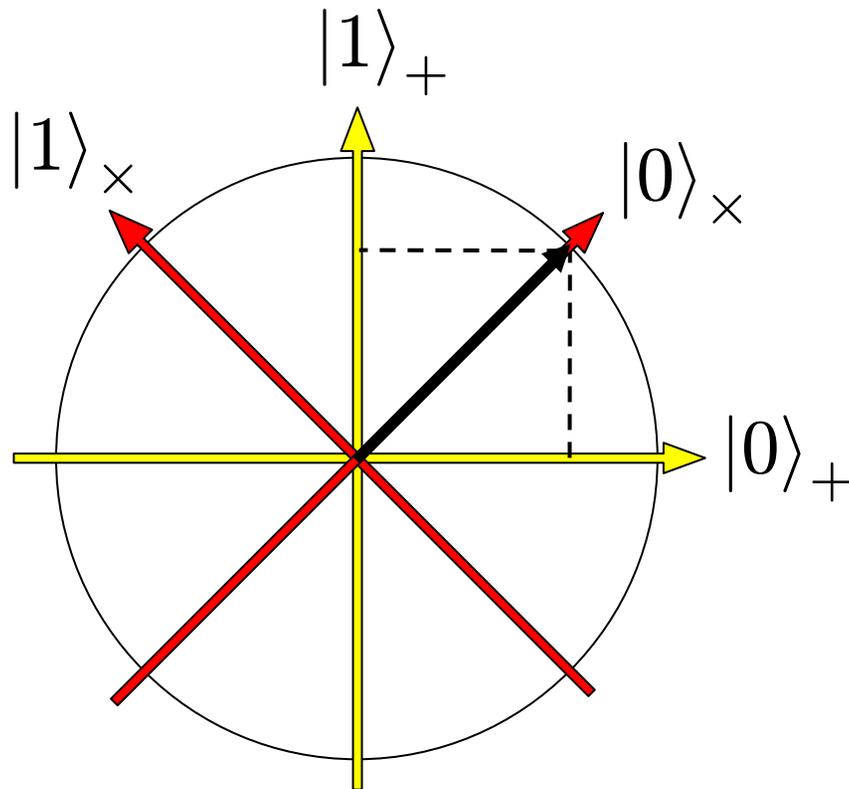
$$\Pr[X = 1] = 1 - |\beta|^2$$

Uncertainty Relation for One Qubit

Maassen Uffink 88: Let ρ_i be a 1-qubit state.

$\Theta_i \in_R \{+, \times\}$, X_i the outcome of measuring ρ_i in basis Θ_i . Then,

$$H(X_i | \Theta_i) = \frac{1}{2} \underbrace{\left(H(X_i | \Theta_i = +) + H(X_i | \Theta_i = \times) \right)}_{\geq 1} \geq \frac{1}{2}.$$



$$\Pr[X = 0] = 1/2$$

$$\Pr[X = 1] = 1/2$$



$$\Pr[X = 0] = 1$$

$$\Pr[X = 1] = 0$$

Quantum Uncertainty Relation needed

Maassen Uffink 88: Let ρ_i be a 1-qubit state.

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$\Theta \in_R$ $\{+, \times\}^n$	state ρ
/	
/	
\vdots	
\vdots	
\vdots	

$$H_\infty(X | \Theta) \geq ?$$

$$H(X_i | \Theta_i) \geq \frac{1}{2}$$

X_i independent

$$\Rightarrow H_\infty^\varepsilon(X^n | \Theta) \stackrel{n \rightarrow \infty}{\approx} n \cdot H(X_i | \Theta_i) \geq n/2$$

except with prob $\leq \varepsilon$

$$X^i := X_1, \dots, X_i$$

$$X := X^n = X_1, \dots, X_n$$

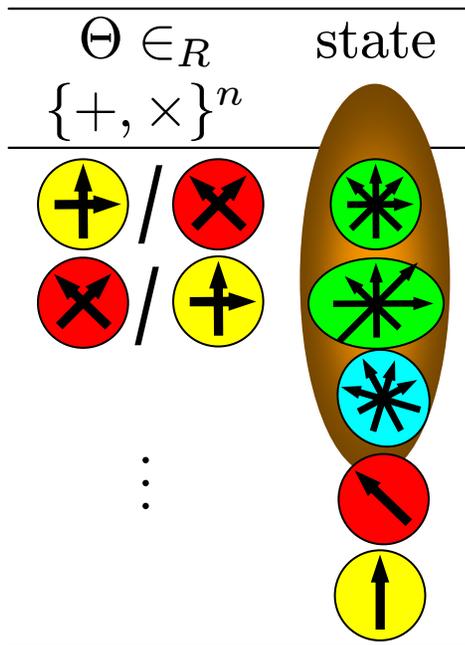
Main Result

Maassen Uffink 88: Let ρ_i be a 1-qubit state.

 $\Theta_i \in_R \{+, \times\}$, X_i the outcome of measuring ρ_i in basis Θ_i . Then,



$$H(X_i | \Theta_i) = \frac{1}{2} \underbrace{\left(H(X_i | \Theta_i = +) + H(X_i | \Theta_i = \times) \right)}_{\geq 1} \geq \frac{1}{2}.$$



$$H(X_i | \Theta_i) \not\geq \frac{1}{2} = x^{i-1}, \Theta^{i-1} = \theta^{i-1} \geq \frac{1}{2}$$

X_i dependent

Quantum Uncertainty Relation: Let $X = (X_1, \dots, X_n)$ be the outcome. Then,

$$H_\infty^\varepsilon(X | \Theta) \gtrsim n/2$$

with ε negligible in n .

$$H_\infty(X | \Theta) \geq ?$$

Main Technical Lemma

Z_1, \dots, Z_n (dependent) random variables
with $H(Z_i \mid Z^{i-1} = z^{i-1}) \geq h$.

Then, $H_\infty^\varepsilon(Z) \gtrsim n \cdot h$ with ε negligible in n

Proof:

- information theory
- generalized Chernoff bound (**Azuma inequality**)

Proof of Quantum Uncertainty Relation

Thm: $H(Z_i | Z^{i-1} = z) \geq h \Rightarrow H_\infty^\varepsilon(Z^n) \gtrsim hn$

MU: ρ 1-qubit state: $H(X_0 | \Theta_0) \geq \frac{1}{2}$ 

$$Z_i := (X_i, \Theta_i)$$

$$\begin{aligned} H(Z_i | Z^{i-1} = z) &= H(X_i | \Theta_i, Z^{i-1} = z) + H(\Theta_i | Z^{i-1} = z) \\ &\geq \frac{1}{2} + 1 =: h. \end{aligned}$$

$$H_\infty^\varepsilon(X | \Theta) \approx H_\infty^\varepsilon(Z^n) - H_0(\Theta) \gtrsim n/2 + n - n.$$

□

$\Theta \in_R$ $\{+, \times\}^n$	state ρ
 / 	
 / 	
	
	

Quantum Uncertainty Relation: *Let*
 $X = (X_1, \dots, X_n)$ *be the outcome. Then,*

$$H_\infty^\varepsilon(X | \Theta) \gtrsim n/2$$

with ε negligible in n .

Tight?

MU: ρ 1-qubit state: $H(X_0 | \Theta_0) \geq \frac{1}{2}$



$$H(X | \Theta) = \frac{1}{2} \left(\underbrace{H(X | \Theta = +)}_{=0} + \underbrace{H(X | \Theta = \times)}_{=1} \right) = \frac{1}{2}.$$



For the pure state $|0\rangle^{\otimes n}$, the X are **independent** and we know that $H_\infty^\epsilon(X | \Theta) \stackrel{n \rightarrow \infty}{\approx} H(X | \Theta) = n/2$.

$\Theta \in_R \{+, \times\}^n$	state ρ
/	
/	

Quantum Uncertainty Relation: Let $X = (X_1, \dots, X_n)$ be the outcome. Then,

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Outline

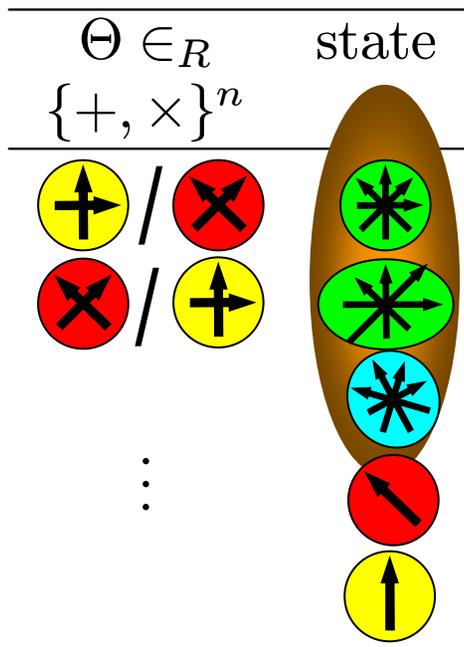
- ✓ Motivation and Notation
- ✓ Quantum Uncertainty Relation
- Contributions

Contributions I: Uncertainty Relations

- classical general lemma:

$$H(Z_i | Z^{i-1} = z) \geq h \Rightarrow H_\infty^\epsilon(Z^n) \gtrsim hn$$

- instantiate it for various quantum codings:



- conjugate coding / BB84:

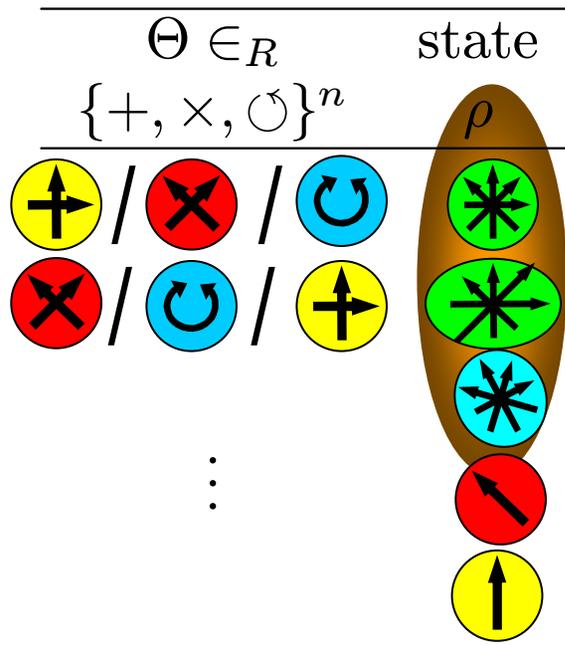
$$H_\infty^\epsilon(X | \Theta) \geq n/2$$

Contributions I: Uncertainty Relations

- classical general lemma:

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- instantiate it for various quantum codings:



- conjugate coding / BB84:

$$H_\infty^\varepsilon(X | \Theta) \geq n/2$$

- three bases / six-state:

$$H_\infty^\varepsilon(X | \Theta) \geq \frac{2}{3}n$$

- ...

Contributions II: Applications

- **Bounded-Quantum-Storage Model:** Non-interactive, practical protocols for 1-2 OT and BC secure according new composable security definitions.
- **Quantum Key Distribution:** Security proofs in realistic setting of a quantum-memory bounded eavesdropper. Tolerate higher error rates than against unbounded adversaries.
- **Composition of certain Quantum Ciphers:** key-uncertainty adds up in terms of min-entropy.

Entropies

Z random variable over $\{0, 1\}^n$

name definition

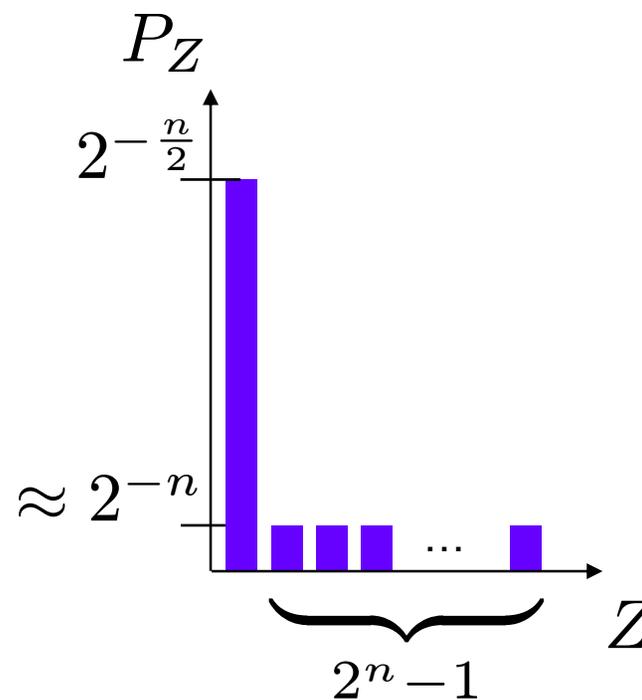
$$H_0(Z) \quad \log |\{z \mid P_Z(z) > 0\}|$$

$$H(Z) \quad - \sum_z P_Z(z) \log(P_Z(z))$$

$$H_\infty(Z) \quad - \log(\max_z P_Z(z))$$

$$H_\infty \leq H \leq H_0$$

lowerbound on $H \not\Rightarrow$ lowerbound on H_∞



Smooth Min-Entropy

Z random variable over $\{0, 1\}^n$

name definition

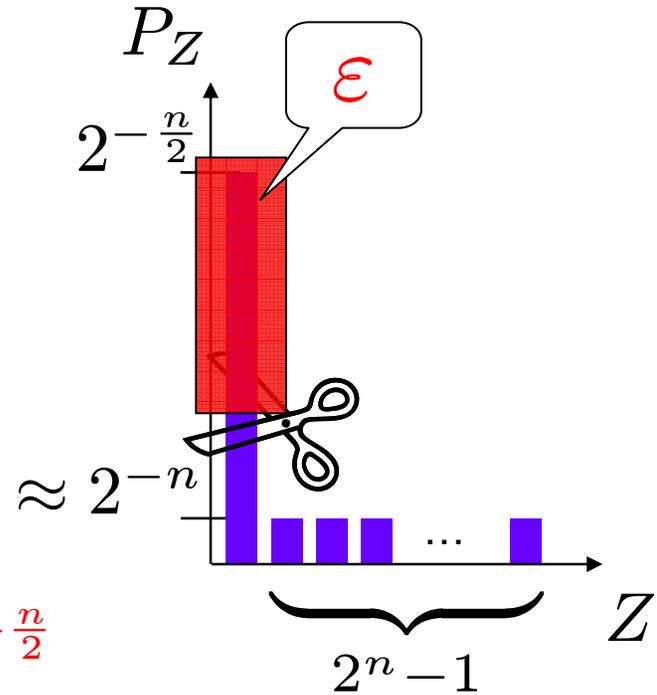
$H_0(Z)$ $\log |\{z \mid P_Z(z) > 0\}|$ n

$H(Z)$ $-\sum_z P_Z(z) \log(P_Z(z)) \approx n$

$H_\infty(Z)$ $-\log(\max_z P_Z(z))$ $n/2$

$H_\infty^\epsilon(Z)$ $\max_{\Pr[\mathcal{E}] \geq 1-\epsilon} H_\infty(Z\mathcal{E})$

for $\epsilon = 2^{-\frac{n}{2}}$



Open Questions

- **two-way** post processing
- QKD with **more bases**
- in **higher-dimensional** (non-binary) systems
- using **less randomness**: avoid sifting stage

Smooth Min-Entropy [Renner Wolf 05]

Z random variable over $\{0, 1\}^n$

$$H_{\infty}^{\varepsilon}(Z) := \max_{\Pr[\mathcal{E}] \geq 1-\varepsilon} H_{\infty}(Z\mathcal{E})$$

$$Z^i := Z_1, \dots, Z_i$$

- many Shannon-like properties: chain rule, sub-additivity, monotonicity, e.g. $H_{\infty}^{\varepsilon}(Z | V) \approx H_{\infty}^{\varepsilon}(ZV) - H_0(V)$
- for Z_i iid: $H_{\infty}^{\varepsilon}(Z^n) \stackrel{n \rightarrow \infty}{\approx} H(Z^n) = H(Z_i) \cdot n$
- Privacy Amplification: $H_{\infty}^{\varepsilon}(Z | V)$ is the *optimal* amount of extractable randomness

Comparison to Previous Bound

$\Theta \in_R$	state
$\{+^n, \times^n\}$	ρ
	
	
	
	
	
	

Previous: *There exists an event \mathcal{E} with $\Pr[\mathcal{E}] \gtrsim \frac{1}{2}$ such that*

$$H_\infty(X | \mathcal{E}, \Theta) \geq n/2.$$

$\Theta \in_R$	state
$\{+, \times\}^n$	ρ
 / 	
 / 	
	
	

New: $H_\infty^\varepsilon(X | \Theta) \gtrsim n/2$ with negligible ε

$$\forall \theta \in \{+, \times\}^n$$

\exists event \mathcal{E}_θ with $2^{-n} \sum_\theta \Pr[\mathcal{E}_\theta] \approx 1$ and

$$H_\infty(X | \mathcal{E}_\theta, \Theta = \theta) \gtrsim n/2.$$