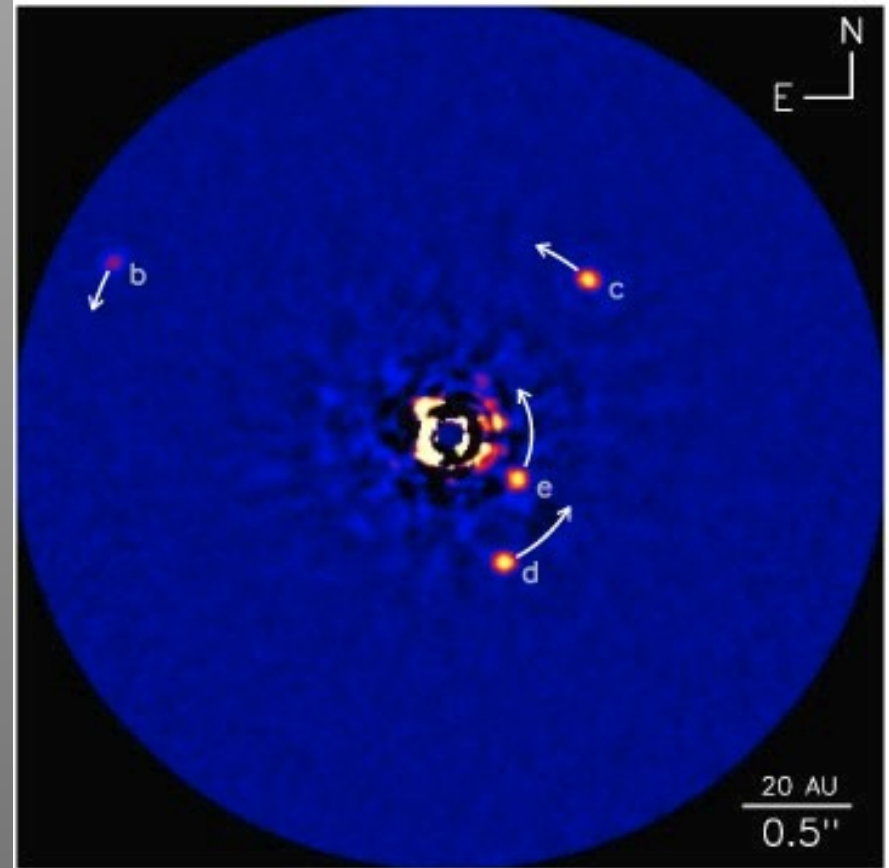


# Gravitational instability & planetesimal formation



67P/Churyumov-Gerasimenko



HR8799  
Marois et al. 2010

# Gravitational instability and planetesimal formation

- Dispersion relation
  - for thin disks, Toomre- $Q$
  - giant planet formation
  - planetesimal formation: Goldreich-Ward (GW) mechanism
- Collective effects
  - Collective particle velocities, Kelvin-Helmholtz instability, Streaming instability

# From last week...

Sticking of **micron**-size grains ✓

- low  $\Delta v$
- large  $v_{\text{stick}}$

Sticking of **mm/cm**-size pebbles ?

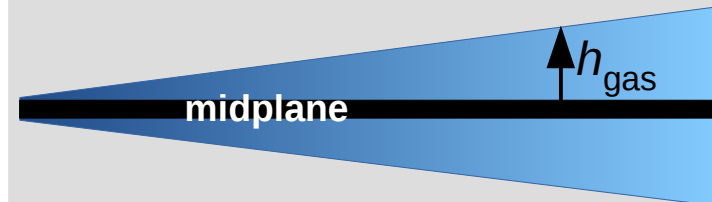
- $\Delta v$  increases (turbulence, drift)
- $v_{\text{stick}}$  decrease

**Meter-size** boulders unlikely to stick

- “meter size” ( $\tau_p \sim 1$ ) barrier
- caveat: fractal growth (?)

Perhaps growth by sticking stalls (bouncing, fragmentation)

However: (even small) particles can settle into a very thin midplane



The *dust-dominated* midplane may become gravitationally-unstable and collapses (fragments) into planetesimals!

# Blackboard

– dispersion relation for thin disks:

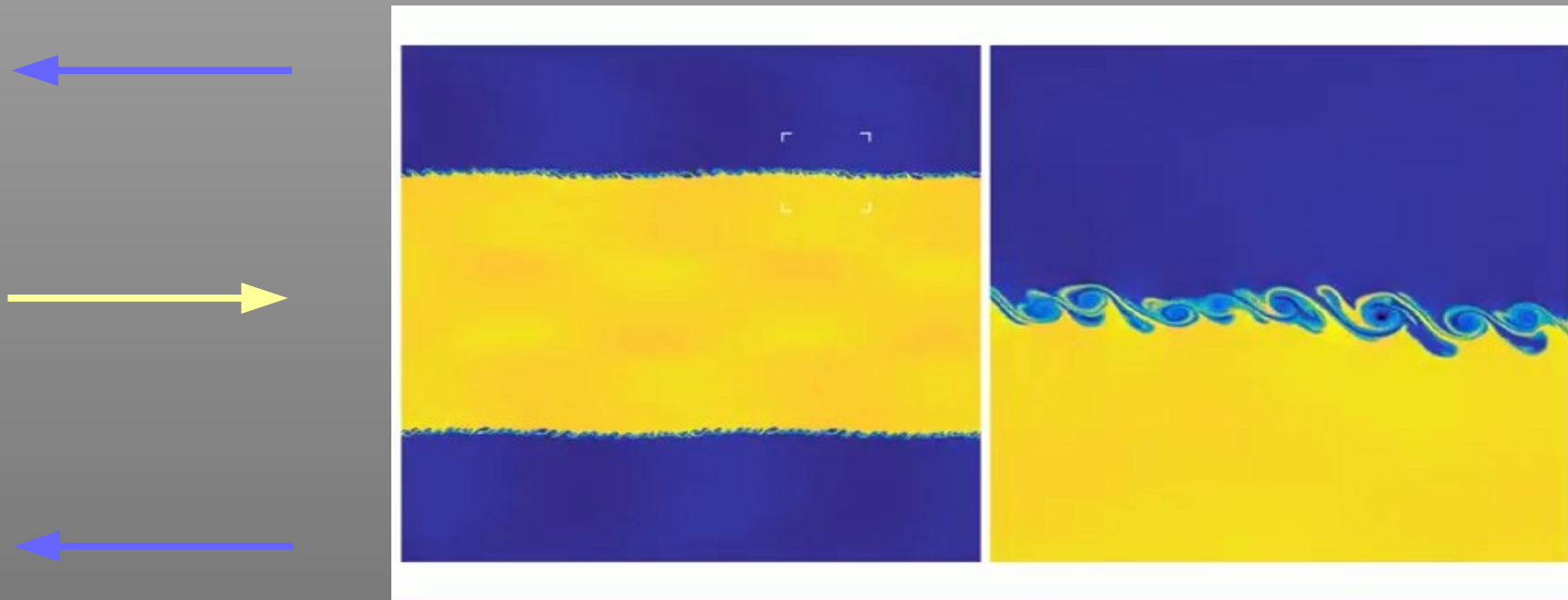
$$\omega^2 = \kappa^2 - 2\pi G \Sigma k + k^2 c_s^2$$

- giant planet formation
- planetesimal formation

# Dispersion relation results

	Gas	Solids
Name	Disk instability	Goldreich-Ward mechanism
Important scales	$\lambda_c = 2c_s^2/G\Sigma_{\text{gas}}$ (most unstable $\lambda$ )	$\lambda_c = 4\pi^2\Sigma_p/\Omega^2$ ( $\lambda > \lambda_c$ unstable)
Condition instability	$Q_T < 1$ Also: cooling gas	$h_p < \lambda_c$
Outcome	Gas giants	Planetesimals
Problem:	<ul style="list-style-type: none"> <li>– Need massive disk</li> <li>– rapid cooling</li> <li>– too massive planets?</li> </ul>	<b>Kelvin-Helmholtz (KH) turbulence</b>

# Kelvin-Helmholtz turbulence



Kevin Schaal/youtube



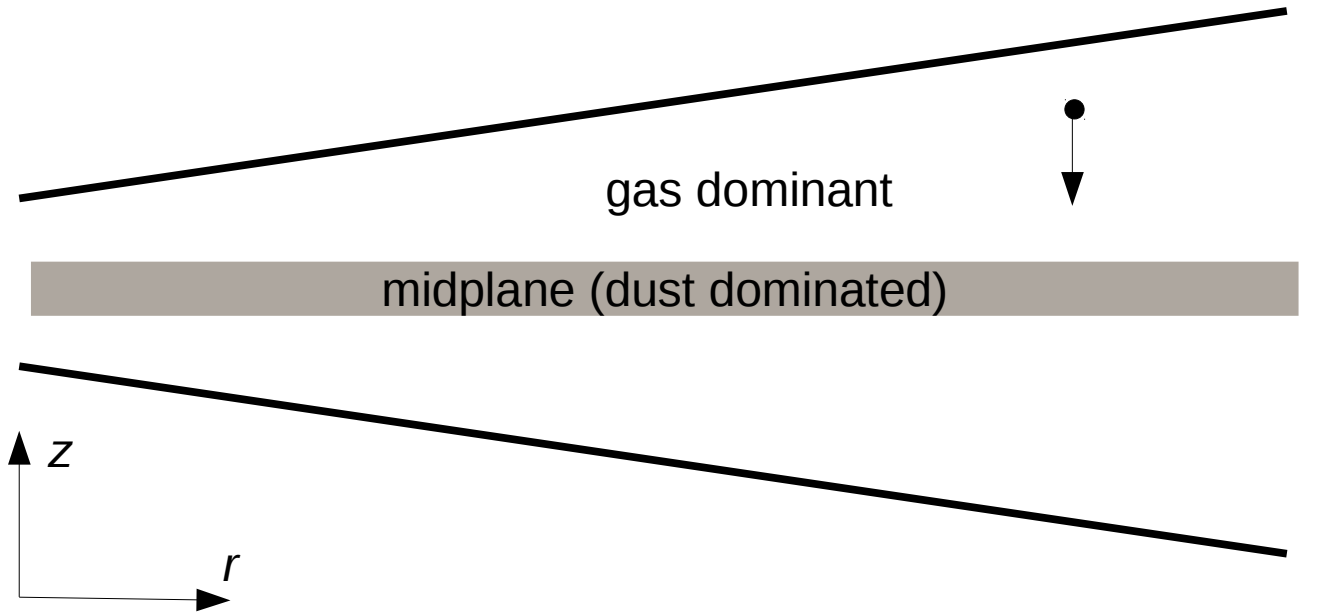








# Dust-dominant layer



## Settling

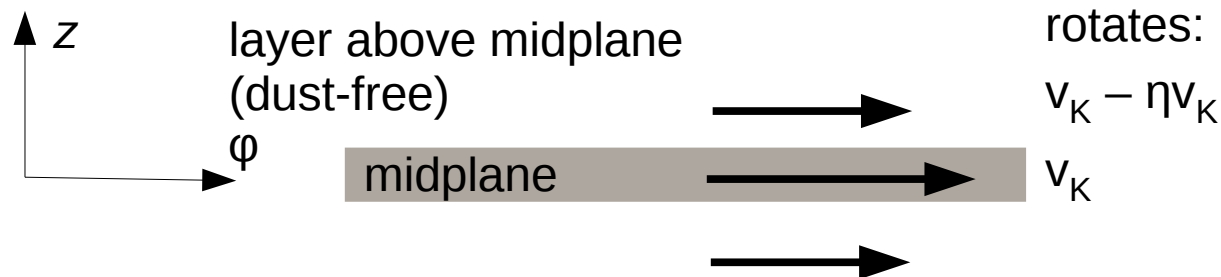
Particles end up near  $z \sim 0$ .

Collectively their density exceeds that of the gas:  
 $\rho_p > \rho_{\text{gas}}$  (in midplane)

dust dominate the dynamics  $\rightarrow$

midplane tends to Keplerian rotation and drags gas along

Difference of  $\sim \eta v_K$  triggers KH-instability





# Driving equations

(Sometimes referred to as NSH-solutions, after Nagakawa et al. 1986)

$$\begin{aligned} \text{solids: } \frac{D\mathbf{v}}{Dt} &= -\frac{\mathbf{v} - \mathbf{u}}{t_{\text{stop}}} - 2\boldsymbol{\Omega}_K \times \mathbf{v} + \mathbf{F}_{\text{Euler-dust}} & (1.34a) \\ \text{gas: } \frac{D\mathbf{u}}{Dt} &= \frac{\rho_p}{\rho_g} \frac{\mathbf{v} - \mathbf{u}}{t_{\text{stop}}} - 2\boldsymbol{\Omega}_K \times \mathbf{u} + \mathbf{F}_{\text{Euler-gas}} + \mathbf{F}_{\text{pres}} & (1.34b) \end{aligned}$$

net acceleration  
in rotating frame  
(approximate 0)

Back reaction  
(Newton's 3<sup>rd</sup> law)

Pressure gradient  
(involves  $\eta v_K$ )

4 equations, 4 unknown  
→ solve  $u_r, u_\phi, v_r, v_\phi$   
as function of  $\rho_p, t_{\text{stop}}$

For the KH-instability  
we are interested in  $u_\phi$  at midplane

Q: Instability when:    A)  $u_\phi = 0$   
                                  B)  $u_\phi = -\eta v_K$

# Solution

## Solids

$$v_r = - \frac{2\tau_p}{\tau_p^2 + (1 + Z)^2} \eta v_K$$
$$v_\phi = - \frac{1 + Z}{\tau_p^2 + (1 + Z)^2} \eta v_K$$

## Gas

$$u_r = \frac{2Z\tau_p}{\tau_p^2 + (1 + Z)^2} \eta v_K$$
$$u_\phi = - \frac{1 + Z + \tau_p^2}{\tau_p^2 + (1 + Z)^2} \eta v_K$$

$Z$  :dust-to-gas ratio “metallicity”  
 $\tau_p$  :dimensionless  $t_{\text{stop}}$   
 $\eta$  :pressure gradient parameter

### HW 1.10

interpret limits

$$Z \rightarrow 0$$

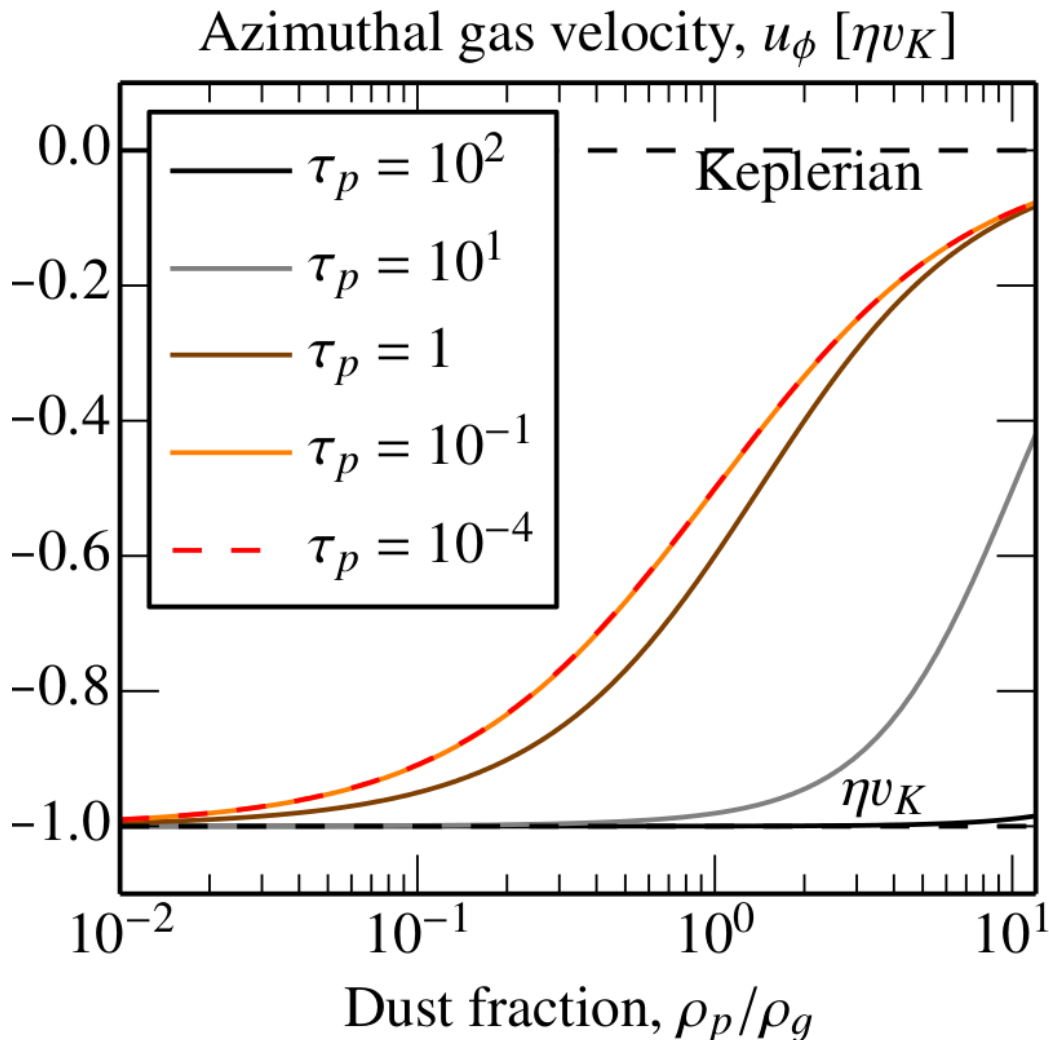
$$\tau_p \rightarrow 0, \infty$$

For the KHI  $u_\phi$  is the most relevant

$u_\phi \rightarrow 0$ : midplane rotates Keplerian,  
vertical shear (KHI)

$u_\phi \rightarrow -\eta v_K$ : midplane rotates  
subKeplerian, no vertical shear

# NSH solution



Whether or not the KHI is triggered depends on the *Richardson number*:

$$\text{Ri} = \frac{-(g_z/\rho)(\partial\rho/\partial z)}{(\partial u_\phi/\partial z)^2} < \text{Ri}_{\text{crit}}$$

**nominator**

buoyancy (stabilizing)

**denominator**

shear (destabilizing)

**$\text{Ri}_{\text{crit}}$**

critical Richardson number; around unity;  $\text{Ri} > \text{Ri}_{\text{crit}}$  for stability

# Recapitulate...

**Goal:** planetesimal formation

**particle collisions**  
uncertain ( $\Delta v \sim 10$  m/sec)

**GI of a dense solid layer**  
a.k.a. Goldreich-Ward  
mechanism

$$\text{Ri} = \frac{-(g_z/\rho)(\partial\rho/\partial z)}{(\partial u_\phi/\partial z)^2} < \text{Ri}_{\text{crit}}$$

**Requires:**  
a very thin particle disk  
( $h_p < \lambda_c$ )

**Problem:**  
very thin particle layers will force  
the gas to move Keplerian and  
can trigger KHI when  $\text{Ri} < 1$

**No KHI:**  
Disks avoid triggering KHI  
→ GW-mechanism viable  
  
Planetesimals!

**KHI triggered:**  
→ Turbulence lofts particle  
back up ( $h_p > \lambda_c$ ); no GI  
  
No planetesimals



# Streaming instability

## **KH-stable?**

In HW 1.11 you will assess w/r or not the GW-mechanism is viable

A: only for very massive disks

## **Alternative:**

One can conduct a linear perturbation analysis to the (KH-stable) NSH-solutions for the 2-fluid (dust+gas) mixture!

It turns out that the 2-fluid harbors exponentially-growing modes for  $\rho_p$ , especially for  $\tau_p \sim 1$  particles. This is known as the **streaming instability** (Youdin & Goodman 2005)

$$\text{Ri} = \frac{-(g_z/\rho)(\partial\rho/\partial z)}{(\partial u_\phi/\partial z)^2} < \text{Ri}_{\text{crit}}$$

# Streaming instability

## Streaming instability (SI)

*Linear* perturbation analysis (Youdin & Goodman 2005) quite technical.

SI occurs even in absence of self-gravity!

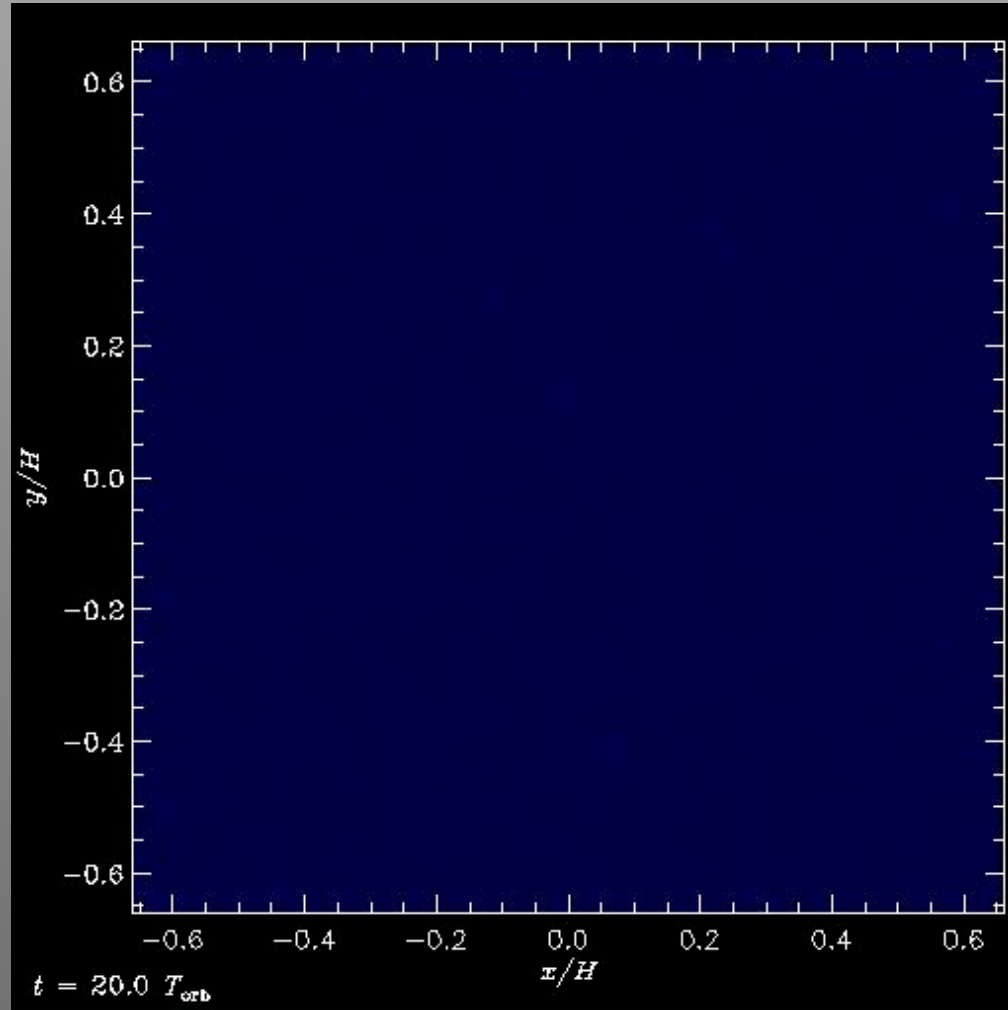
Best analogies are clusters of cyclists or geese that organize themselves in the optimal way to deal with the headwind!

*Nonlinear* effects occur when perturbations gets large; can best be investigated by hydrodynamical simulations

... and *bound* clumps when gravity is accounted for



# Streaming instability



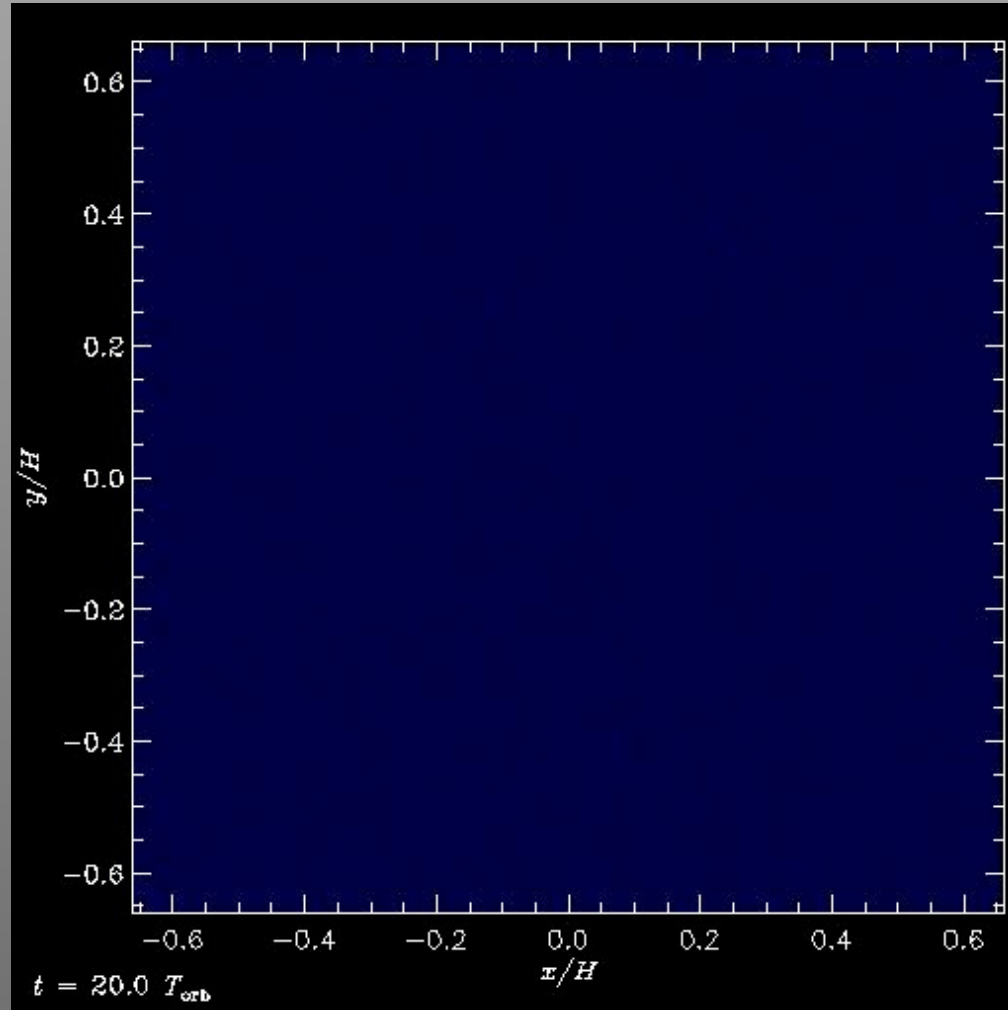
Initial condition: dense-layer of pebble-size particles:  
 $\tau_p \sim 0.25-1$

Unit of mass is Ceres  
(already 1,000 km)

Very big planetesimals form,  
but this may be a question of  
resolution

Johansen, Klahr, & Henning  
(2011)

# Streaming instability



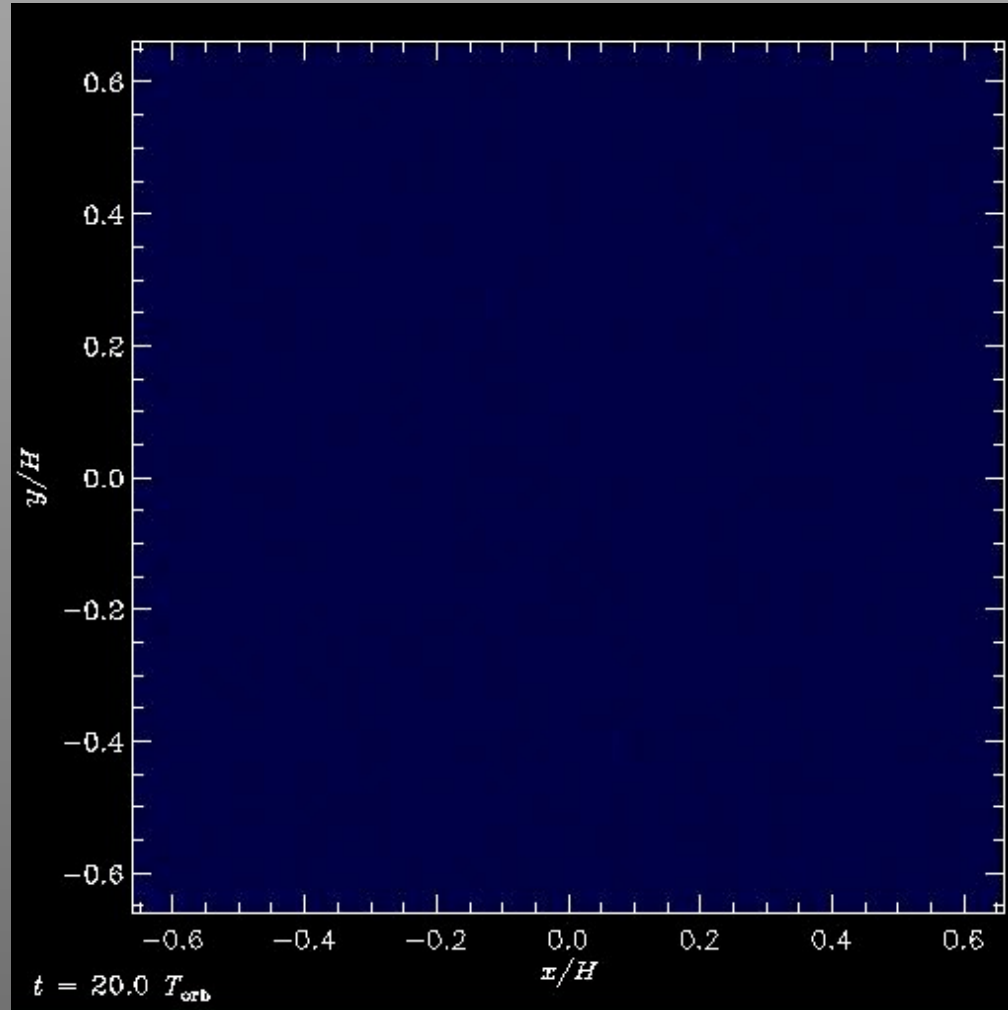
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Johansen, Klahr, & Henning  
(2011)

# Homework set changes

- New deadline: **Tuesday 13:00** (sharp! no delays/exceptions!)
- No more scans!
- Bonus questions (updated problem set on BB)

1.2  
a  $t_{\text{sett}} \approx \frac{\Omega_k^{-1}}{\tau_p}$  for small particles ( $\tau_p < 1$ )  $v_T = D_p = \alpha C_s h_{\text{gas}}$   
 $\sqrt{t} D_p = h_p$ , if  $t = t_{\text{diff}} \Rightarrow \frac{\Omega_k^{-1}}{\tau_p} \frac{h_p^2}{\alpha C_s h_{\text{gas}}} \Rightarrow h_p = \sqrt{\frac{\Omega_k^{-1} \alpha C_s h_{\text{gas}}}{\tau_p}}$ ,  $C_s = h_{\text{gas}} \Omega_k$ ,  
 $h_p = h_{\text{gas}} \sqrt{\frac{\alpha}{\tau_p}}$   
b  $h_p$  is defined as being between the midplane and  $h_{\text{gas}}$ .  
Therefore,  $h_p$  cannot be larger than  $h_{\text{gas}}$ .



# Project (2 more weeks)

# Communicate!

# Exercise 1.9

## Exercise 1.9:

(a) From Equation (1.31), show that the scale most 'vulnerable' to instability is  $\lambda_c = 2c_s^2/G\Sigma$  (where we switched to a spatial scale  $\lambda = 2\pi/k$ ) and that instability is triggered when

$$Q_T \equiv \frac{c_s \Omega}{\pi G \Sigma} < 1, \quad (1.32)$$

where  $Q_T$  is the *Toomre-Q parameter*.

(b) A physically-intuitive way to obtain  $Q_T$  approximately is to compare the total internal, rotational, and gravitational energies. When

$$\left| \frac{E_{\text{therm}}}{E_{\text{grav}}} \right| \times \left| \frac{E_{\text{rot}}}{E_{\text{grav}}} \right| < 1 \quad (1.33)$$

the gravitational energy dominates over the combined rotational and thermal energies, leading to instability. Show that the above estimate results in  $Q_T$ , barring a factor of unity.

(c) Take a disk with a solar-mass star and, sound speed  $c_s = 1 \text{ km s}^{-1} \times r_{\text{AU}}^{-1/4}$  and  $\Sigma = 10^3 \text{ g cm}^{-2} \times r_{\text{AU}}^{-1}$ . Where does the disk become unstable and what is the corresponding disk mass?

(d) What is the mass associated with the scale  $\lambda_c$ ?

## Bonus HW

compare the (specific) energy across a scale  $\lambda$

e.g.  $E_{\text{therm}} \sim \lambda^2 c_s^2$

only Toomre-Q criterion

# Exercise 1.10 (HW)

$$v_r = -\frac{2\tau_p}{\tau_p^2 + (1+Z)^2} \eta v_K$$
$$v_\phi = -\frac{1+Z}{\tau_p^2 + (1+Z)^2} \eta v_K$$

$$u_r = \frac{2Z\tau_p}{\tau_p^2 + (1+Z)^2} \eta v_K$$
$$u_\phi = -\frac{1+Z + \tau_p^2}{\tau_p^2 + (1+Z)^2} \eta v_K$$

**Exercise 1.10:** It is interesting to consider the limiting expressions of Equation (1.35). Verify that:

- (a)  $\tau_p \gg 1$  (big rocks):  $v_r = u_r = v_\phi = 0$  and  $u_\phi = -\eta v_K$ .
- (b)  $Z = 0$  (negligible dust): solutions are the same as the individual solutions of Equations (1.11) and (1.12)
- (c)  $Z \gg 1$  (dust-dominated):  $v_r = v_\phi = u_r = u_\phi = 0$
- (d)  $\tau_p \ll 1$  and  $Z \ll 1$  (tracer dust):  $v_r = u_r = 0$  and a reduced gas headwind velocity with an "effective"  $\eta \rightarrow \eta/(1+Z)$ .

Explain these limits physically.

(a)–(c) You get kudos only for the *physical interpretation!* (“What does it mean”)

(d) More challenging. Take  $\tau_p = 0$  and show that this effectively lowers  $\eta$ . Consider the definition of  $\eta$  to see why you get a reduced headwind?

# Exercise 1.11 (HW)

**Exercise 1.11:** Give an order-of-magnitude expression for  $Ri$ , by evaluating Equation (1.36) at  $z = h_p$ , the particle scaleheight. Assume that the dust has settled such that dust dominates  $\rho$  up to  $\sim h_p$ . For  $g_z$  consider both the self-gravity limit (*i.e.*  $g_z$  is determined by the dust) and the stellar gravity limit ( $g_z = g_{\star,z}$ ). Taking a critical Richardson number of  $Ri_{\text{crit}} = \frac{1}{4}$ , what is the smallest scaleheight into which the dust can settle? How does this height compare to the critical wavelength  $\lambda_c$  of the GW-model? (Note that  $h_p < \lambda_c$  is required for the GW-instability.)

This is a challenging exercise. See HW-notes for instructions!

You should “estimate” the gradients involved in the definition of  $Ri$ , e.g.,

$$d\rho \rightarrow \Delta\rho = \dots$$

$$dz \rightarrow \Delta z = \dots$$

$$du_\phi \rightarrow \Delta u_\phi = \dots$$

$$Ri = \frac{-(g_z/\rho)(\partial\rho/\partial z)}{(\partial u_\phi/\partial z)^2} < Ri_{\text{crit}}$$

# Exercise 1.12 (HW)

**Exercise 1.12:** Consider a test body of mass  $M$  immersed in a sea of smaller bodies of mass  $m$ . Assume that the  $M$ -body is on a circular orbit at semi-major axis  $a$  and orbital frequency  $\Omega_K$ , while the  $m$ -bodies are in Kepler orbits with eccentricity  $e$  and inclinations  $i \simeq e$ .

(a) Ignoring (for the moment) gravitational focusing, show that the growth timescale of the  $M$ -body is:

$$t_{\text{growth}} \equiv \frac{M}{dM/dt} \simeq \frac{R\rho_{\bullet}}{\Sigma_m\Omega_K} \quad (\text{no focusing}) \quad (1.37)$$

where  $R$  is the radius corresponding to  $M$ ,  $\rho_{\bullet}$  the internal density of the material and  $\Sigma_m$  the surface density in  $m$ -bodies.

(b) How long would it take to form an Earth-mass planet at: 0.1, 1, and 10 AU?

(c) How much shorter are growth times in the 2D case ( $i = 0$ )?

This is another  $n^*\sigma^*\Delta v$  exercise.

You can assume that  $\Delta v$  is given by the eccentricity of the planetesimals (dispersion-dominated regime)