

# Logic and Action

Jan van Eijck<sup>1,2</sup>

<sup>1</sup>Centrum Wiskunde & Informatica (CWI)  
Amsterdam

<sup>2</sup>Institute for Logic, Language and Computation (ILLC)  
Amsterdam

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# Abstract

An action is something that takes place in the world, and that makes a difference to what the world looks like. Thus, actions are maps from states of the world to new states of the world. Actions can be of various kinds. The action of spilling coffee changes the state of your trousers. The action of telling a lie to your friend changes your friend's state of mind (and maybe the state of your soul). The action of multiplying two numbers changes the state of certain registers in your computer. Despite the differences between these various kinds of actions, we will see that they can all be covered under the same logical umbrella.

# Outline

Modelling Actions and Reasoning About Actions

Hoare Logic and Propositional Dynamic Logic

Strategic Games: the Prisoner's Dilemma

Key Notions: Best Response, Nash Equilibrium

Voting as a Multi-Agent Game

Group Strategies in Games

Extending PDL to Analyze Strategies in Games

# Action in 'Logic in Action'

<http://www.logicinaction.org/docs/ch6.pdf>

[BvDvEJ13]

# The Pebble Puzzle



An urn contains 70 pebbles; 35 of them are white and 35 are black. There is a pile of black pebbles available outside the urn.

## Pebble Algorithm

- ▶ While there are still enough pebbles in the urn:
  - ▶ pick two pebbles;  
if they have the same colour, put back a black pebble  
otherwise, put back the white pebble.

In every step of the algorithm one pebble gets removed. After 69 steps, there is one pebble left. What is its colour?

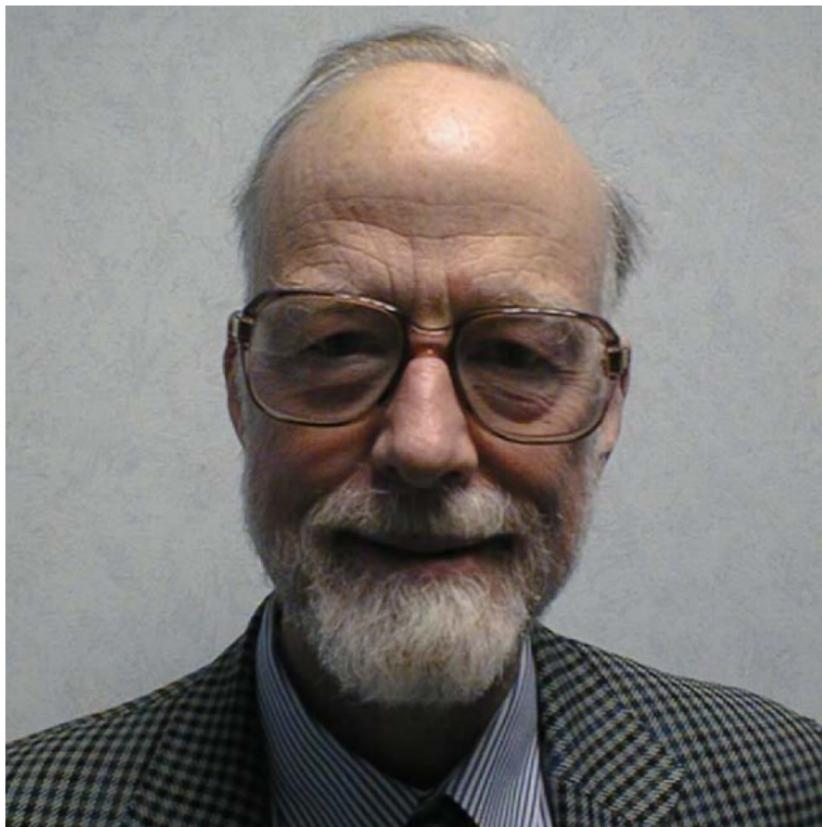
# Pebble Algorithm as a Program

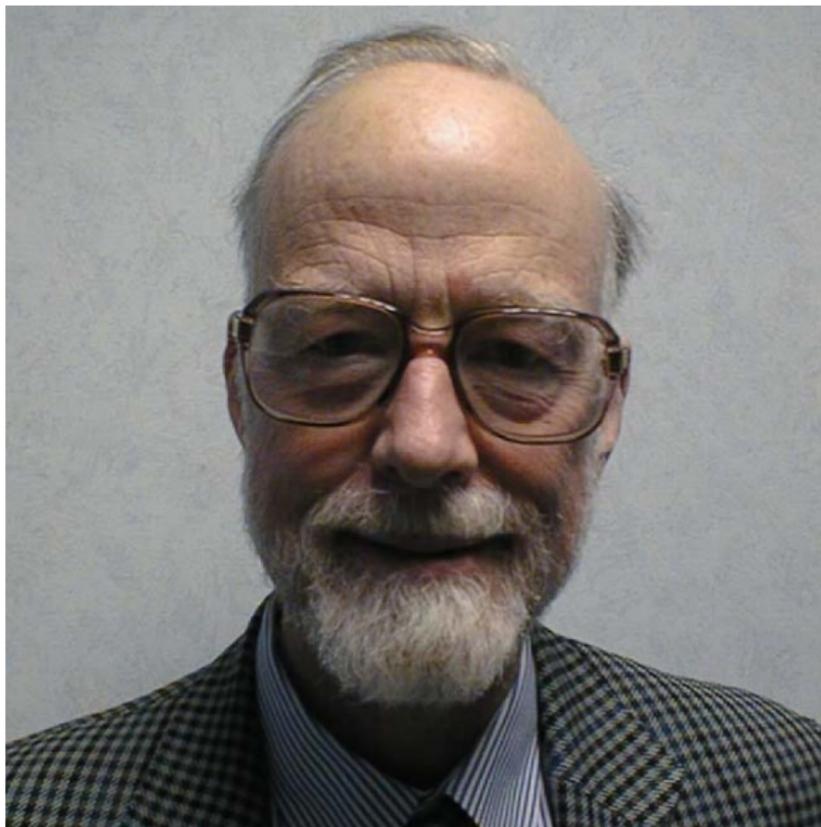
```
module Pebbles where
data Color = W | B deriving (Eq, Show)

drawPebble :: [Color] -> [Color]
drawPebble [] = []
drawPebble [x] = [x]
drawPebble (W:W:xs) = drawPebble (B:xs)
drawPebble (B:B:xs) = drawPebble (B:xs)
drawPebble (W:B:xs) = drawPebble (W:xs)
drawPebble (B:W:xs) = drawPebble (W:xs)

numberW, parityW :: [Color] -> Int
numberW = length . (filter (\x -> x == W))
parityW xs = mod (numberW xs) 2

prop_invariant = \xs ->
  parityW xs == parityW (drawPebble xs)
```





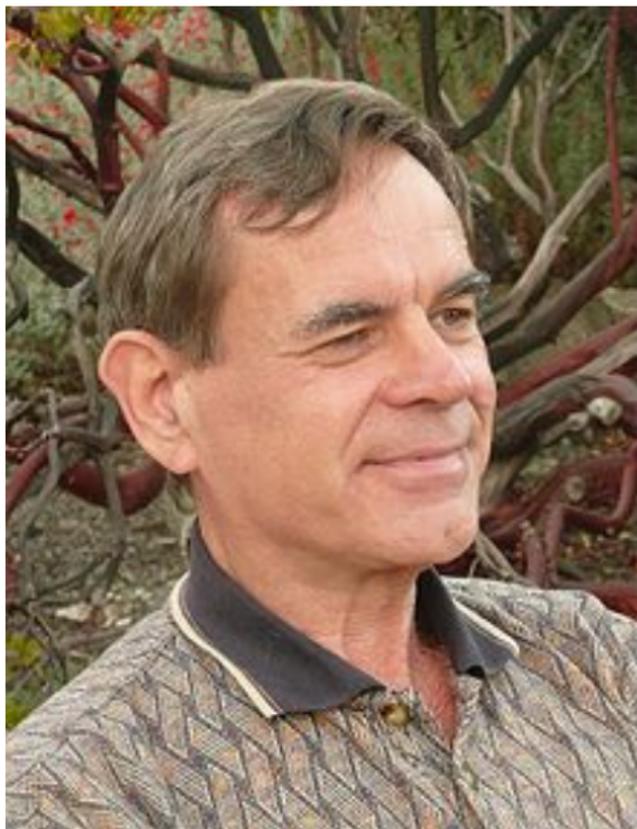
Sir Tony Hoare

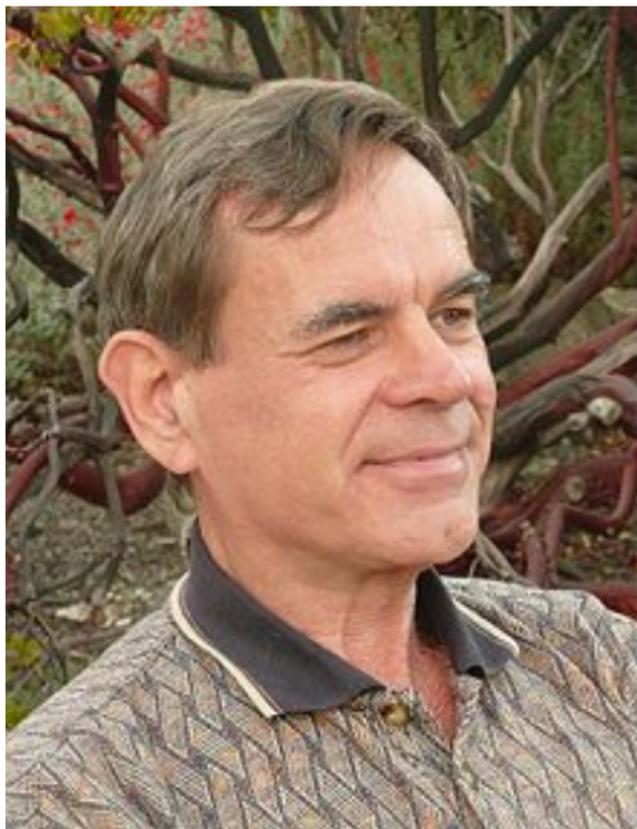
# Formal Specification With Hoare Triples

- ▶  $\{P\} S \{Q\}$
- ▶  $\{ \text{initial state} \} \text{statement} \{ \text{final state} \}$
- ▶ If execution of  $S$  in a state that satisfies  $P$  terminates, then the termination state is guaranteed to satisfy  $Q$ .
- ▶ Triples  $\{P\} S \{Q\}$  are called *Hoare triples* after Tony Hoare [Hoa69].
- ▶ The predicate for the initial state is called the *precondition*, and the predicate for the final state is called the *postcondition*.

# Hoare Calculus

assignment	$\frac{}{\{\phi_a^v\} v := a \{\phi\}}$
skip	$\frac{}{\{\phi\} \text{SKIP} \{\phi\}}$
sequence	$\frac{\{\phi\} C_1 \{\psi\} \quad \{\psi\} C_2 \{\chi\}}{\{\phi\} C_1; C_2 \{\chi\}}$
conditional choice	$\frac{\{\phi \wedge B\} C_1 \{\psi\} \quad \{\phi \wedge \neg B\} C_2 \{\psi\}}{\{\phi\} \text{if } B \text{ then } C_1 \text{ else } C_2 \{\psi\}}$
guarded iteration	$\frac{\{\phi \wedge B\} C \{\phi\}}{\{\phi\} \text{while } B \text{ do } C \{\phi \wedge \neg B\}}$
precondition strengthening	$\frac{\mathbb{N} \models \phi' \rightarrow \phi \quad \{\phi\} C \{\psi\}}{\{\phi'\} C \{\psi\}}$
postcondition weakening	$\frac{\{\phi\} C \{\psi\} \quad \mathbb{N} \models \psi \rightarrow \psi'}{\{\phi\} C \{\psi'\}}$





Vaughan Pratt

# Hoare Logic as a Fragment of Dynamic Logic

- ▶ Hoare logic is a fragment of a more general system of (propositional) dynamic logic.
- ▶ The language of propositional dynamic logic was defined by Pratt in [Pra76, Pra80] as a generic language for reasoning about computation.
- ▶ Axiomatisations were given independently by Segerberg [Seg82], Fisher/Ladner [FL79], and Parikh [Par78].
- ▶ These axiomatisations make the connection between propositional dynamic logic and modal logic very clear.

# PDL Language

- ▶ Let  $p$  range over the set of basic propositions  $P$ , and let  $a$  range over a set of basic actions  $A$ .
- ▶ The formulae  $\phi$  of propositional dynamic logic are given by:

$$\phi ::= \top \mid p \mid \neg\phi \mid \phi_1 \vee \phi_2 \mid \langle \alpha \rangle \phi$$

- ▶ The programs  $\alpha$  of propositional dynamic logic are given by:

$$\alpha ::= a \mid ?\phi \mid \alpha_1; \alpha_2 \mid \alpha_1 \cup \alpha_2 \mid \alpha^*$$

- ▶ Abbreviation:

$[\alpha]\phi$  abbreviates  $\neg\langle \alpha \rangle\neg\phi$ .

# Expressing Hoare Triples in PDL

- ▶ Floyd-Hoare correctness assertions are expressible in PDL.
- ▶ If  $\phi, \psi$  are PDL formulae and  $\alpha$  is a PDL program, then

$$\{\phi\} \alpha \{\psi\}$$

translates into

$$\phi \rightarrow [\alpha]\psi.$$

- ▶  $\{\phi\} \alpha \{\psi\}$  holds in a state in a model iff  $\phi \rightarrow [\alpha]\psi$  is true in that state in that model.

# PDL Axiomatisation

Axioms are all propositional tautologies, plus the following axioms (we give box ( $[\alpha]$ ) versions here, but every axiom has an equivalent diamond ( $\langle \alpha \rangle$ ) version):

- (K)  $\vdash [\alpha](\phi \rightarrow \psi) \rightarrow ([\alpha]\phi \rightarrow [\alpha]\psi)$
- (test)  $\vdash [?\phi_1]\phi_2 \leftrightarrow (\phi_1 \rightarrow \phi_2)$
- (sequence)  $\vdash [\alpha_1; \alpha_2]\phi \leftrightarrow [\alpha_1][\alpha_2]\phi$
- (choice)  $\vdash [\alpha_1 \cup \alpha_2]\phi \leftrightarrow [\alpha_1]\phi \wedge [\alpha_2]\phi$
- (mix)  $\vdash [\alpha^*]\phi \leftrightarrow \phi \wedge [\alpha][\alpha^*]\phi$
- (induction)  $\vdash (\phi \wedge [\alpha^*](\phi \rightarrow [\alpha]\phi)) \rightarrow [\alpha^*]\phi$

and the following rules of inference:

(modus ponens) From  $\vdash \phi_1$  and  $\vdash \phi_1 \rightarrow \phi_2$ , infer  $\vdash \phi_2$ .

(modal generalisation) From  $\vdash \phi$ , infer  $\vdash [\alpha]\phi$ .

## Deriving Hoare Rules in PDL

The Floyd-Hoare inference rules can now be derived in PDL.  
As an example we derive the rule for guarded iteration:

$$\frac{\{\phi \wedge \psi\} \alpha \{\psi\}}{\{\psi\} \text{ WHILE } \phi \text{ DO } \alpha \{\neg\phi \wedge \psi\}}$$

Let the premise  $\{\phi \wedge \psi\} \alpha \{\psi\}$  be given, i.e. assume (1).

$$\vdash (\phi \wedge \psi) \rightarrow [\alpha]\psi. \quad (1)$$

We wish to derive the conclusion

$$\vdash \{\psi\} \text{ WHILE } \phi \text{ DO } \alpha \{\neg\phi \wedge \psi\},$$

i.e. we wish to derive (2).

$$\vdash \psi \rightarrow [(\text{?}\phi; \alpha)^*; \text{?}\neg\phi](\neg\phi \wedge \psi). \quad (2)$$

From (1) by means of propositional reasoning:

$$\vdash \psi \rightarrow (\phi \rightarrow [\alpha]\psi).$$

## Deriving Hoare Rules in PDL, ctd

$$\vdash \psi \rightarrow (\phi \rightarrow [\alpha]\psi).$$

From this, by means of the test and sequence axioms:

$$\vdash \psi \rightarrow [?\phi; \alpha]\psi.$$

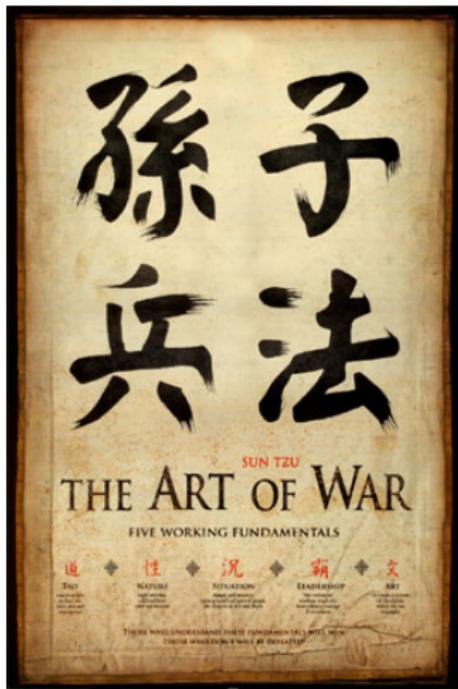
Applying the loop invariance rule gives:

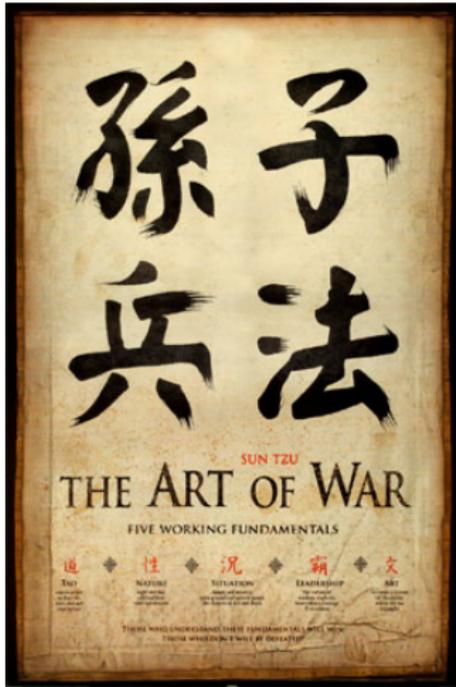
$$\vdash \psi \rightarrow [?(?\phi; \alpha)^*]\psi.$$

Since  $\psi$  is propositionally equivalent with  $\neg\phi \rightarrow (\neg\phi \wedge \psi)$ , we get from this by propositional reasoning:

$$\vdash \psi \rightarrow [?(?\phi; \alpha)^*](\neg\phi \rightarrow (\neg\phi \wedge \psi)).$$

The test axiom and the sequencing axiom yield the desired result (2).





Sun Tzu



Carl von Clausewitz

# Strategic Games: The Prisoner's Dilemma

	cooperate	defect
cooperate	$c, c$	$c, d$
defect	$d, c$	$d, d$

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With output function  $o : \{c, d\}^2 \rightarrow \{x, y, z, u\}^2$ :

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cooperate	$x, x$	$y, z$
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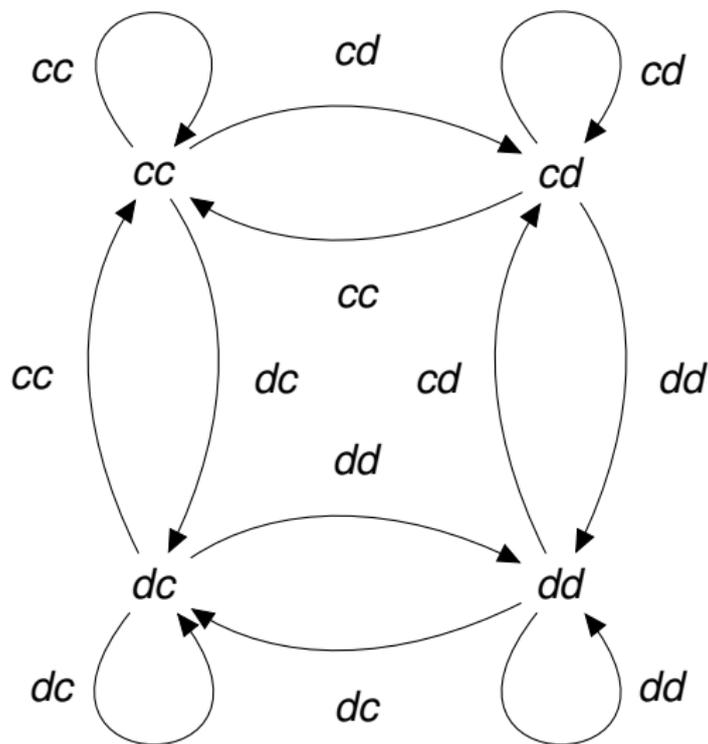
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cooperate	$x, x$	$y, z$
defect	$z, y$	$u, u$

Fixing the preferences of the players:  $z > x > u > y$ .

With numerical utilities:

	cooperate	defect
cooperate	2, 2	0, 3
defect	3, 0	1, 1

# Group Strategies in PD Game are the Strategy Profiles

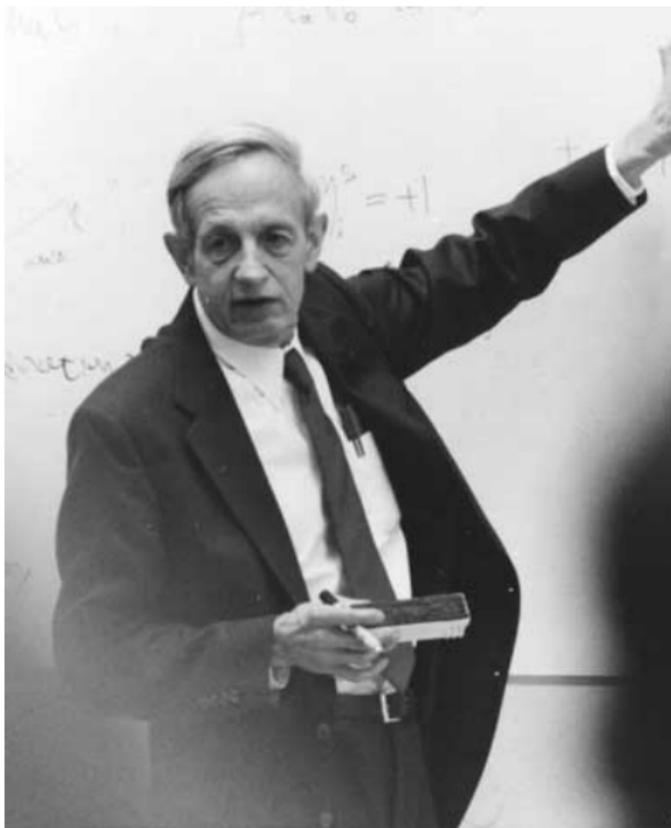


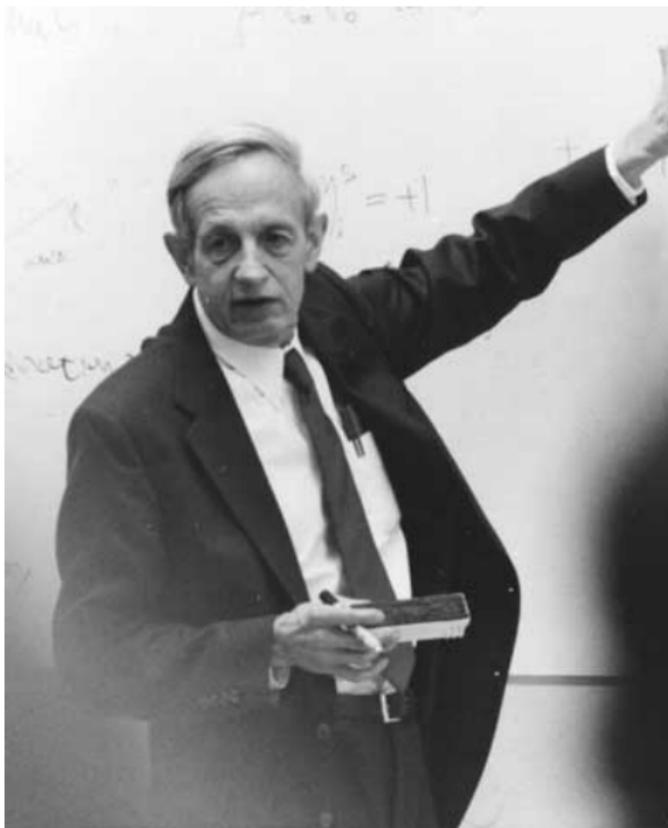
# Key Notions: Best Response

- ▶ Let  $(s'_i, s_{-i})$  be the strategy profile that is like  $s$  for all players except  $i$ , but has  $s_i$  replaced by  $s'_i$ .
- ▶ A strategy  $s_i$  is a *best response* in  $s$  if

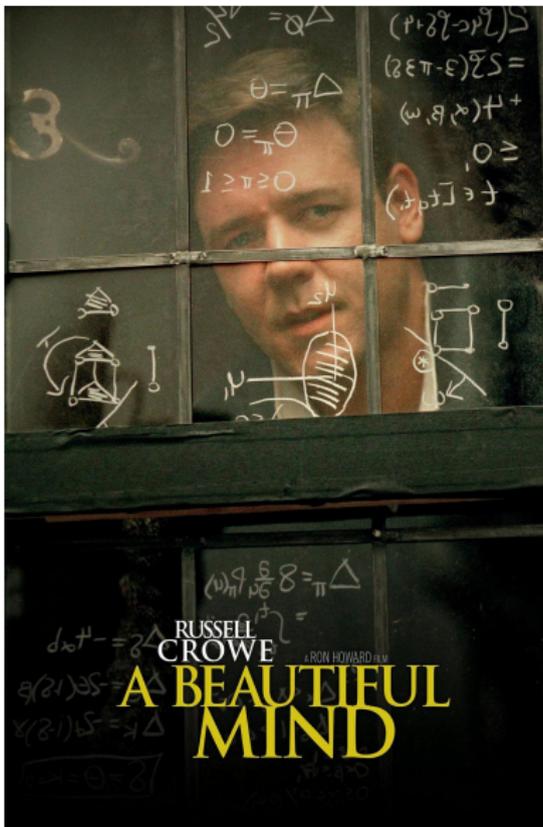
$$\forall s'_i \in S_i \quad u_i(s) \geq u_i(s'_i, s_{-i}).$$

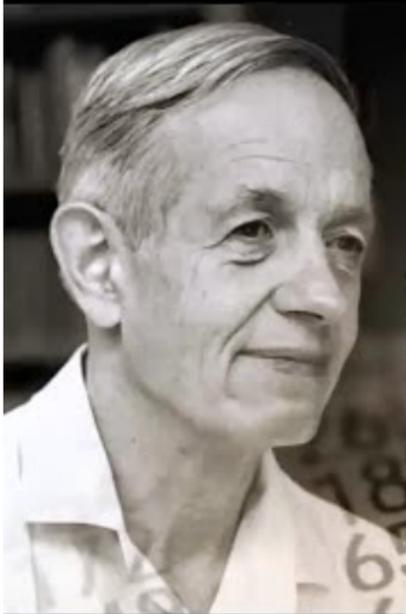
- ▶ Example in PD game. Let  $s = (d, c)$ . The first player defects, the second player cooperates.
- ▶ Is  $d$  a best response for player 1 in  $(d, c)$ ?
- ▶ Yes, because  $(d, c)$  gives payoff 3 for player 1, while the alternative  $(c, c)$  only gives payoff 2. So player 1 cannot do better than play  $d$ .





John Nash





# JOHN NASH

JUNE 13, 1928–MAY 23, 2015



# Key Notions: Pure Nash Equilibrium

- ▶ A strategy profile  $s$  is a (pure) Nash equilibrium if each  $s_i$  is a best response in  $s$ :

$$\forall i \in N \forall s'_i \in S_i \quad u_i(s) \geq u_i(s'_i, s_{-i}).$$

- ▶ A game  $G$  is *Nash* if  $G$  has a (pure) Nash equilibrium.
- ▶  $(d, d)$  is a Nash equilibrium for the PD game, so the PD game is Nash.





Charles Dodgson, also known as Lewis Carroll

# Voting as a Multi-Agent Game

- ▶ Voting can be seen as a form of multi-agent decision making, with the voters as agents [Far69].
- ▶ Voting is the process of selecting an item or a set of items from a finite set  $A$  of alternatives, on the basis of the stated preferences of a set of voters.
- ▶ We assume that the preferences of a voter are represented by a ballot: a linear ordering of  $A$ . Let  $\mathbf{ord}(A)$  be the set of all ballots on  $A$ .
- ▶ If there are three alternatives  $a, b, c$ , and a voter prefers  $a$  over  $b$  and  $b$  over  $c$ , then her ballot is  $abc$ .

# Example

- ▶ Assume there are three voters  $\{1, 2, 3\}$ .
- ▶ Assume there are three alternatives  $\{a, b, c\}$ .
- ▶ Then profiles are vectors of ballots.
- ▶ Example profile where the first voter has ballot  $abc$ , the second voter has ballot  $abc$ , the third voter has ballot  $bca$ , and so on:

$(abc, abc, bca)$ .

- ▶ Absolute majority selects  $a$  as winner, for  $a$  has two votes,  $b$  has one.

# Group Actions in Voting Games

Voters: 1, 2, 3. Alternatives:  $a$ ,  $b$ ,  $c$ . Voting rule: absolute majority.

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Voters: 1, 2, 3. Alternatives:  $a, b, c$ . Voting rule: absolute majority.

1 is the row player, 2 the column player, and 3 the table player

	a	b	c
a:	a	a	a
b:	a	b	a, b, c
c:	a	a, b, c	c

	a	b	c
a:	a	b	a, b, c
b:	b	b	b
c:	a, b, c	b	c

	a	b	c
a:	a	a, b, c	c
b:	a, b, c	b	c
c:	c	c	c

# Payoffs

- ▶ Assume ballot  $abc$ .
- ▶ Then  $a > b > c$ , and plausibly  $a > \{a, b, c\} > c$ .
- ▶ Assume  $\{a, b, c\}$  and  $b$  give the same payoff.
- ▶ Fix the payoff function for voters  $i$  of type  $abc$  as

$$u_i(a) = 2, u_i(b) = u_i(\{a, b, c\}) = 1, u_i(c) = 0.$$

- ▶ If we do similarly for the other voter types, then this fixes the strategic game for voting according to the majority rule over the set of alternatives  $\{a, b, c\}$ .

Suppose 1 has ballot *abc*, 2 has *bca*, and has 3 *cab*

This fixes the strategic game:

	<b>a</b>	<b>b</b>	<b>c</b>	
<b>a:</b>	<b>a</b>	(2, 0, 1)	(2, 0, 1)	(2, 0, 1)
	<b>b</b>	(2, 0, 1)	(1, 2, 0)	(1, 1, 1)
	<b>c</b>	(2, 0, 1)	(1, 1, 1)	(0, 1, 2)
	<b>a</b>	<b>b</b>	<b>c</b>	
<b>b:</b>	<b>a</b>	(2, 0, 1)	(1, 2, 0)	(1, 1, 1)
	<b>b</b>	(1, 2, 0)	(1, 2, 0)	(1, 2, 0)
	<b>c</b>	(1, 1, 1)	(1, 2, 0)	(0, 1, 2)
	<b>a</b>	<b>b</b>	<b>c</b>	
<b>c:</b>	<b>a</b>	(2, 0, 1)	(1, 1, 1)	(0, 1, 2)
	<b>b</b>	(1, 1, 1)	(1, 2, 0)	(0, 1, 2)
	<b>c</b>	(0, 1, 2)	(0, 1, 2)	(0, 1, 2)

# Nash Equilibrium

- ▶ If they all cast their vote according to their true ballot, then 1 votes  $a$ , 2 votes  $b$  and 3 votes  $c$ .
- ▶ Then the outcome is a tie,  $\{a, b, c\}$ , with payoff  $(1, 1, 1)$ .
- ▶ This is a Nash equilibrium: the vote cast by each player is a best response in the strategy profile.

# Changing the Game

- ▶ Now let's change the voting rule slightly, by switching to majority voting with tie breaking, where  $abc$  as the tie breaking order.
- ▶ This changes the majority rule into a resolute voting rule.
- ▶ It also changes the strategic game ...

# New Strategic Game

	<b>a</b>	<b>b</b>	<b>c</b>	
<b>a:</b>	<b>a</b>	(2, 0, 1)	(2, 0, 1)	(2, 0, 1)
	<b>b</b>	(2, 0, 1)	(1, 2, 0)	(2, 0, 1)
	<b>c</b>	(2, 0, 1)	(2, 0, 1)	(0, 1, 2)
	<b>a</b>	<b>b</b>	<b>c</b>	
<b>b:</b>	<b>a</b>	(2, 0, 1)	(1, 2, 0)	(2, 0, 1)
	<b>b</b>	(1, 2, 0)	(1, 2, 0)	(1, 2, 0)
	<b>c</b>	(2, 0, 1)	(1, 2, 0)	(0, 1, 2)
	<b>a</b>	<b>b</b>	<b>c</b>	
<b>c:</b>	<b>a</b>	(2, 0, 1)	(2, 0, 1)	(0, 1, 2)
	<b>b</b>	(2, 0, 1)	(1, 2, 0)	(0, 1, 2)
	<b>c</b>	(0, 1, 2)	(0, 1, 2)	(0, 1, 2)

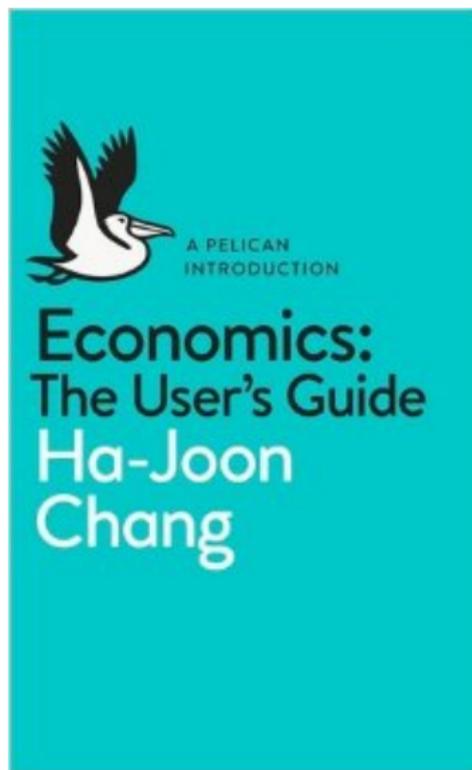
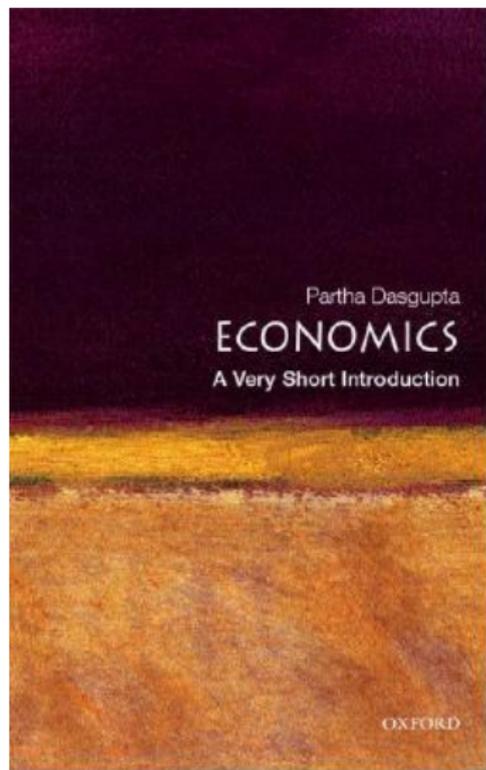
# Coalition Formation

- ▶ If the players all vote according to their true preference, the outcome is  $a$  because of the tie breaking, with payoff given by  $(2, 0, 1)$ .
- ▶ But this is no longer a Nash equilibrium, for player 2 can improve his payoff from 0 to 1 by casting vote  $c$ , which causes the outcome to change into  $c$ , with payoff  $(0, 1, 2)$ .
- ▶ The strategy triple  $(a, c, c)$  is a Nash equilibrium.
- ▶ The voting rule seems to favour voter 1 with ballot  $abc$ , because the tie breaking rule uses this order for tie breaking.
- ▶ Still, the voter with this ballot ends up losing the game, because the other two players have an incentive to form a coalition against player 1.

# Extending PDL

- ▶ PDL can be extended to a language for multi-agent strategic reasoning [Eij13].
- ▶ PDL can also be extended to a language that combines action and knowledge.
- ▶ Analysis of knowledge-based obligation [PPC06].
- ▶ Analysis of claims, duties, liberties in legal reasoning [EJ16].
- ▶ PDL and Deontic Logic: distinguish between *good* and *bad* states. Actions are *good* if they do not change the state from *good* to *bad* . . .

# Analysis of Rational Action: Friends Elsewhere



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