

An analytic proof system for Common Knowledge Logic over S5

Jan Rooduijn¹ Lukas Zenger²

¹ILLC, University of Amsterdam

²Institute of Computer Science, University of Bern

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- 1 Introduction to S5-CKL.
- 2 Some earlier proof systems
- 3 Our analytic proof system
- 4 Soundness
- 5 Completeness
- 6 Future work

Multi-agent epistemic logic

- A countable set P of **propositional variables**.
- A finite set \mathcal{A} of **agents**.

$$\varphi ::= p \mid \neg\varphi \mid \varphi \wedge \varphi \mid \Box_i\varphi$$

$\Box_i\varphi$ expresses that agent $i \in \mathcal{A}$ *knows* that φ .

Epistemic principles

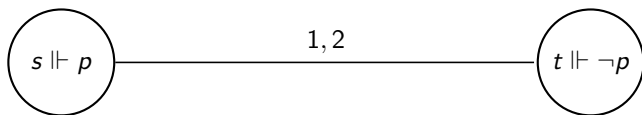
Principle	Axiom	Formula	Frame condition
Epistemic closure	K	$\Box_i(\varphi \rightarrow \psi) \rightarrow (\Box_i\varphi \rightarrow \Box_i\psi)$	-
Veridicality	T	$\Box_i\varphi \rightarrow \varphi$	Reflexivity
Positive introspection	4	$\Box_i\varphi \rightarrow \Box_i\Box_i\varphi$	Transitivity
Negative introspection	5	$\neg\Box_i\varphi \rightarrow \Box_i\neg\Box_i\varphi$	Euclideaness

- Negative introspection is philosophically controversial, but standard in applications in computer science and game theory.
- We assume all axioms above.

Definition

An *epistemic Kripke model* is a tuple $\mathbb{S} = (S, \{R_i \mid i \in \mathcal{A}\}, V)$ where

- S is a non-empty set;
- R_i is an equivalence relation on S for each $i \in \mathcal{A}$;
- V is a function $S \rightarrow \mathcal{P}(P)$.



$$s \Vdash p \wedge \neg \Box_1 p \wedge \neg \Box_2 p.$$

Common knowledge logic

$$\varphi ::= p \mid \neg\varphi \mid \varphi \wedge \varphi \mid \Box_i\varphi \mid \underline{\boxtimes}\varphi$$

$$\Box\varphi := \varphi_1 \wedge \dots \wedge \varphi_n \quad (\text{everybody knows } \varphi)$$

$$\boxtimes\varphi \equiv \varphi \wedge \Box\varphi \wedge \Box\Box\varphi \wedge \dots \quad (\text{it is common knowledge that } \varphi)$$

$s \Vdash \Box\varphi \Leftrightarrow t \Vdash \varphi$ for every t such that $sR_i t$ with $i \in \mathcal{A}$.

$s \Vdash \boxtimes\varphi \Leftrightarrow t \Vdash \varphi$ for every path $s = s_0 R_{i_1} s_1 R_{i_2} \dots R_{i_m} s_m = t$ with each i_k in \mathcal{A} .

- **Standard axioms and rules for basic multi-modal logic K_n**
- **Axioms for $S5_n$**
 - (T) $\Box_i p \rightarrow p$.
 - (5) $\neg \Box_i p \rightarrow \Box_i \neg \Box_i p$
- **Axiom and rule for \boxtimes**
 - (fix) $\boxtimes \varphi \leftrightarrow \varphi \wedge \Box \boxtimes \varphi$
 - (ind)
$$\frac{\varphi \rightarrow (\Box \varphi \wedge \psi)}{\varphi \rightarrow \boxtimes \psi}$$

W. van der Hoek H. van Ditmarsch J.Y. Halpern and B. Kooi. “An Introduction to Logics of Knowledge and Belief”. In: *Handbook of Epistemic Logic*. College Publications, 2015. Chap. 1, pp. 1–51.

A Gentzen-style reformulation

- All sequent rules for propositional logic (including w_L and w_R)

- Modal rules

$$\Box_T \frac{\Gamma, \varphi \Rightarrow \Delta}{\Gamma, \Box_i \varphi \Rightarrow \Delta}$$

$$\Box_{S5} \frac{\Box_i \Gamma \Rightarrow \varphi, \Box_i \Delta}{\Box_i \Gamma \Rightarrow \Box_i \varphi, \Box_i \Delta}$$

- Fixpoint rules

$$\boxtimes_L \frac{\Gamma, \varphi, \Box \boxtimes \varphi \Rightarrow \Delta}{\Gamma, \boxtimes \varphi \Rightarrow \Delta}$$

$$\boxtimes_R \frac{\Gamma \Rightarrow \varphi, \Delta \quad \Gamma \Rightarrow \Box \boxtimes \varphi, \Delta}{\Gamma \Rightarrow \boxtimes \varphi, \Delta}$$

- Induction rule

$$\text{ind} \frac{\varphi \Rightarrow \Box \varphi \quad \varphi \Rightarrow \psi}{\varphi \Rightarrow \boxtimes \psi, \Delta}$$

- Cut rule

$$\text{cut} \frac{\Gamma \Rightarrow \varphi, \Delta \quad \Gamma, \varphi \Rightarrow \Delta}{\Gamma \Rightarrow \Delta}$$

Luca Alberucci and Gerhard Jäger. “About cut elimination for logics of common knowledge”. In: *Annals of Pure and Applied Logic* 133.1-3 (2005), pp. 73–99.

Definition

The *Fischer-Ladner closure* of a formula φ is the smallest set of formulas $CI(\varphi)$ which contains φ and is closed under the following conditions:

- $\neg\psi \in CI(\varphi)$ implies $\psi \in CI(\varphi)$;
- $\psi_1 \wedge \psi_2 \in CI(\varphi)$ implies $\psi_k \in CI(\varphi)$ for each $k \in \{1, 2\}$;
- $\Box_i\psi \in CI(\varphi)$ implies $\psi \in CI(\varphi)$;
- $\boxtimes\psi \in CI(\varphi)$ implies $\psi \in CI(\varphi)$ and $\Box\boxtimes\varphi \in CI(\varphi)$.

Moreover, for A a set of formulas, we define:

$$CI(A) := \bigcup \{CI(\varphi) \mid \varphi \in A\}.$$

The closure of a finite set of formulas is always finite.

The Alberucci-Jäger calculus is not analytic

- The induction rule does not stay within $CI(\varphi)$:

$$\text{ind} \frac{\varphi \Rightarrow \Box\varphi \quad \varphi \Rightarrow \psi}{\varphi \Rightarrow \boxtimes\psi, \Delta}$$

- Cut-restriction has only been proven to the 'conjunctive closure' of $CI(\varphi)$, which is exponentially larger than $CI(\varphi)$ itself.

$$\text{cut} \frac{\Gamma \Rightarrow \varphi, \Delta \quad \Gamma, \varphi \Rightarrow \Delta}{\Gamma \Rightarrow \Delta}$$

Some remarks on cut-elimination for $S5_n$

- Recall the modal rule $\Box_{S5} \frac{\Box_i \Gamma \Rightarrow \varphi, \Box_i \Delta}{\Box_i \Gamma \Rightarrow \Box_i \varphi, \Box_i \Delta}$.

- The following sequent is valid: $\Rightarrow \Box_i \neg \Box_i p, p$.

- However, the only way to derive it would be by applying the modal rule:

$$\Box_{S5} \frac{\Rightarrow \neg \Box_i p, \Box_i \neg \Box_i p}{\Rightarrow \Box_i \neg \Box_i p, p}$$

of which the premiss is not valid.

-
- Ordinary sequents for $S5_n$ require analytic cuts.
 - Hypersequents satisfy cut-elimination for $S5_1$.
 - For cut-elimination for $S5_n$ one needs an even more expressive framework (e.g. nested sequents)

Masao Ohnishi and Kazuo Matsumoto. "Gentzen method in modal calculi". In: *Osaka Mathematical Journal* 9.2 (1957), pp. 113–130.

The Hill-Poggiolesi calculus

- Labelled hypersequent calculus
- Syntactic cut-elimination
- Induction rule of the form:

$$\text{ind}_2 \frac{\Gamma \Rightarrow \Delta, \varphi \quad \varphi \Rightarrow \psi \quad \varphi \Rightarrow \Box\varphi}{\Gamma \Rightarrow \Delta, \Box\psi}$$

Brian Hill and Francesca Poggiolesi. “Common knowledge: a finitary calculus with a syntactic cut-elimination procedure”. In: *Logique et Analyse* (2015), pp. 279–306.

Definition

An *annotated formula* is a pair (φ, a) , usually written φ^a , where φ is a formula and $a \in \{u, f\}$. The annotation u indicates that φ is *unfocussed* and f indicates that φ is *in focus*.

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Definition

A *sequent* is an ordered pair (Γ, Δ) , usually written $\Gamma \Rightarrow \Delta$, where Γ, Δ are sets of annotated formulas.

Definition

A *CKL-sequent* is a sequent $\Gamma \Rightarrow \Delta$ which satisfies the following properties:

- 1 Every formula in Γ is unfocussed.
- 2 At most one formula in Δ is in focus.
- 3 If $\varphi^f \in \Delta$, then $\varphi = \boxtimes\psi$ or $\varphi = \square_i\boxtimes\psi$.

The sequent calculus sCKL_f

$$\boxtimes_L \frac{\Gamma, \varphi^u, \{\Box_i \boxtimes \varphi^u\}_{i=1}^n \Rightarrow \Delta}{\Gamma, \boxtimes \varphi^u \Rightarrow \Delta}$$

$$\boxtimes_R \frac{\Gamma \Rightarrow \varphi^u, \Delta \quad \{\Gamma \Rightarrow \Box_i \boxtimes \varphi^a, \Delta\}_{i=1}^n}{\Gamma \Rightarrow \boxtimes \varphi^a, \Delta}$$

$$\Box_T \frac{\Gamma, \varphi^u \Rightarrow \Delta}{\Gamma, \Box_i \varphi^u \Rightarrow \Delta}$$

$$\Box_{S5} \frac{\Box_i \Gamma \Rightarrow \varphi^a, \Box_i \Delta}{\Box_i \Gamma \Rightarrow \Box_i \varphi^a, \Box_i \Delta}$$

$$U \frac{\Gamma \Rightarrow \Delta^u}{\Gamma \Rightarrow \Delta}$$

$$F \frac{\Gamma \Rightarrow \varphi^f, \Delta^u}{\Gamma \Rightarrow \varphi^u, \Delta^u}$$

$$\text{cut} \frac{\Gamma \Rightarrow \varphi^u, \Delta \quad \Gamma, \varphi^u \Rightarrow \Delta}{\Gamma \Rightarrow \Delta}$$

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 - 1 every sequent in ρ has a formula in focus, and
 - 2 ρ passes through an application of the rule \boxtimes_R where the principal formula is in focus.

Examples of derivations and proofs

$$\Box_{S5} \frac{\Box_i p^u \Rightarrow q^u, \Box_i q^u}{\Box_i p^u \Rightarrow q^u, \Box_i q^u}$$

$$\frac{\begin{array}{c} \vdots \\ p^u, q^u \Rightarrow p \wedge q^u \\ \hline \Box p^u, \Box q^u \Rightarrow p \wedge q^u \end{array} \Box_L, \mathbf{w}_L \quad \frac{\frac{\Box p^u, \Box q^u \Rightarrow \Box(p \wedge q)^f}{\Box_i \Box p^u, \Box_i \Box q^u \Rightarrow \Box_i \Box(p \wedge q)^f} \Box_{S5}, \Box_T, \mathbf{w}_L}{\Box p^u, \Box q^u \Rightarrow \Box_i \Box(p \wedge q)^f} \Box_L, \mathbf{w}_L}{\Box p^u, \Box q^u \Rightarrow \Box(p \wedge q)^f} \Box_R$$

Lemma (Weak local soundness)

Let $r \frac{\sigma_1 \cdots \sigma_n}{\sigma}$ be any rule application of sCKL_f . If σ is invalid, then so is one of the premisses.

Definition

Let σ be a sequent that has a formula in focus, i.e. for $j \in \{0, 1\}$ the right-hand side Δ of σ contains a formula of the form $\Box_j^i \Box \psi^f$. We denote by $\sigma(n)$ the sequent obtained by adding the formula $\Box_j^i \Box^n \psi^u$ to Δ . For any invalid sequent σ that has a formula in focus, we define $\mu(\sigma) := \min\{n \in \omega : \sigma(n) \text{ is invalid}\}$.

Lemma (Strong local soundness)

Let $r \frac{\sigma_1 \cdots \sigma_n}{\sigma}$ be any rule application of sCKL_f . If σ is invalid, then there is an invalid premiss σ_i such that, if σ and σ_i both have a formula in focus, then $\mu(\sigma_i) \leq \mu(\sigma)$, and, if moreover $r = \boxtimes_R$ and the principal formula is in focus, this inequality is strict.

Proof of strong local soundness

Lemma (Strong local soundness)

Let $r \frac{\sigma_1 \cdots \sigma_n}{\sigma}$ be any rule application of sCKL_f . If σ is invalid, then there is an invalid premiss σ_i such that, if σ and σ_i both have a formula in focus, then $\mu(\sigma_i) \leq \mu(\sigma)$, and, if moreover $r = \boxtimes_R$ and the principal formula is in focus, this inequality is strict.

Sketch.

- If either σ , or all of the σ_i , have no formula in focus, the statement reduces to weak local soundness.
- If the formula in focus in σ is *not* the principal formula, every premiss σ_i has a formula in focus and

$$r \frac{\sigma_1(\mu(\sigma)) \cdots \sigma_n(\mu(\sigma))}{\sigma(\mu(\sigma))}$$

is a valid rule application. Thus we can apply weak local soundness.

Proof of strong local soundness (continued)

Lemma (Strong local soundness)

Let $r \frac{\sigma_1 \cdots \sigma_n}{\sigma}$ be any rule application of sCKL_f . If σ is invalid, then there is an invalid premiss σ_i such that, if σ and σ_i both have a formula in focus, then $\mu(\sigma_i) \leq \mu(\sigma)$, and, if moreover $r = \boxtimes_R$ and the principal formula is in focus, this inequality is strict.

Sketch.

- In the remaining case the principal formula in σ is in focus.
- This can only be the case if $r \in \{\text{w}_R, \square_{S5}, \boxtimes_R\}$.
- Suppose $r = \boxtimes_R$. Let $n := \mu(\sigma)$ and let \mathbb{S}, s be such that $\mathbb{S}, s \not\vdash \sigma(n)$. Then $\mathbb{S}, s \not\vdash \boxtimes\varphi$, where $\boxtimes\varphi^f$ is the principal formula. If $n = 0$, then $\mathbb{S}, s \not\vdash \varphi$ and thus the leftmost premiss is invalid and forms a witness to the statement, as it has no formula in focus. If $n > 0$, then $\mathbb{S}, s \not\vdash \square_i \square^{n-1} \varphi$, for some $i \in \mathcal{A}$. This means that there is an invalid premiss σ_k with $\mu(\sigma_k) = n - 1$, as required.
- The other cases are similar. □

Theorem

If a sequent σ has a sCKL_f -proof, then σ is valid.

Proof.

Suppose, towards a contradiction, that an invalid sequent σ is the root of some sCKL_f -proof π . Repeatedly applying strong local soundness, we obtain an upward path

$$\rho = \sigma_0, \sigma_1, \dots, \sigma_n$$

through π such that $\sigma_0 = \sigma$ and σ_n labels a leaf of π . Since σ_n is invalid by construction, this leaf cannot be an axiom. Therefore, there there must be some $k < n$ such that $\langle \sigma_k, \sigma_n \rangle$ is a successful repetition. Observe that this implies that $\sigma_k = \sigma_n$. However, by the fact that we constructed this path using strong local soundness, it holds that $\mu(\sigma_k) < \mu(\sigma_n)$, a contradiction. \square

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Proof idea: We show analytic completeness via a canonical model construction, where applications of cut are restricted to *analytic* cuts.

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Let Σ be a finite and closed set of formulas.

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- A sequent $\Gamma \Rightarrow \Delta$ is Σ -provable if there exists a proof of $\Gamma \Rightarrow \Delta$ in which only Σ -sequents occur.
- A Σ -sequent $\Gamma \Rightarrow \Delta$ is called *saturated* if it is Σ -unprovable and $\Gamma^- \cup \Delta^- = \Sigma$.

Definition

Let Σ be a non-empty, finite and closed set of formulas. The *canonical model* \mathbb{S}^Σ of Σ is given by:

$$S^\Sigma := \{\Gamma^- \mid \Gamma \Rightarrow \Delta \text{ is a saturated } \Sigma\text{-sequent}\}$$

$$AR_i^\Sigma B :\Leftrightarrow \Box_i \Box_i^{-1} A = \Box_i \Box_i^{-1} B$$

$$V^\Sigma(A) := \{p \in P \mid p \in A\}$$

Lemma (Truth Lemma)

For every $\varphi \in \Sigma$: $\mathbb{S}^\Sigma, A \Vdash \varphi$ if and only if $\varphi \in A$.

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This implies that for all B with $AR_i^\Sigma B$:

$$\mathcal{S}^\Sigma, B \Vdash \psi \text{ and } \mathcal{S}^\Sigma, B \Vdash \boxtimes\psi$$

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In particular $\mathcal{S}^\Sigma, A \Vdash \psi$ and so $\psi \in A$, by the induction hypothesis. □

Let $\Gamma \Rightarrow \Delta$ be a saturated Σ -sequent with $\Gamma^- = A$.

$$\boxtimes_R \frac{\begin{array}{c} \pi \\ \Gamma \Rightarrow \psi^u, \Delta \end{array} \quad \begin{array}{c} \pi_1 \\ \Gamma \Rightarrow \square_1 \boxtimes \psi^f, \Delta \end{array} \quad \dots \quad \begin{array}{c} \pi_n \\ \Gamma \Rightarrow \square_n \boxtimes \psi^f, \Delta \end{array}}{\Gamma \Rightarrow \boxtimes \psi^f, \Delta}$$

Completeness

$$\begin{array}{c}
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 \pi' \quad \pi'_1 \quad \dots \quad \pi'_n \\
 \sigma' \quad \sigma'_1 \quad \dots \quad \sigma'_n
 \end{array} \\
 \boxtimes R \frac{}{\square_i \square_i^{-1} \Gamma \Rightarrow \boxtimes \psi^f, \square_i \square_i^{-1} \Delta} \\
 \square S5 \frac{}{\square_i \square_i^{-1} \Gamma \Rightarrow \square_i \boxtimes \psi^f, \square_i \square_i^{-1} \Delta} \\
 WL \frac{}{\vdots} \\
 WL \frac{\Gamma \Rightarrow \square_i \boxtimes \psi^f, \square_i \square_i^{-1} \Delta}{\vdots} \\
 WR \frac{}{\Gamma \Rightarrow \square_i \boxtimes \psi^f, \Delta}
 \end{array}$$

- 1 $\sigma' = \Box_i \Box_i^{-1} \Gamma \Rightarrow \psi^u, \Box_i \Box_i^{-1} \Delta$ must be provable. (If not, extend it into a saturated sequent $\Gamma' \Rightarrow \Delta'$. By construction $AR_i^\Sigma B = \Gamma'$ and $S^\Sigma, B \not\vdash \psi$.)

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- 2 For $\sigma'_k = \Box_i \Box_i^{-1} \Gamma \Rightarrow \Box_k \boxtimes \psi^f, \Box_i \Box_i^{-1} \Delta$ we apply cut repeatedly until every leaf is either saturated or provable.

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- 2 For $\sigma'_k = \Box_i \Box_i^{-1} \Gamma \Rightarrow \Box_k \boxtimes \psi^f, \Box_i \Box_i^{-1} \Delta$ we apply cut repeatedly until every leaf is either saturated or provable.
 - 1 For provable sequents, append their respective proofs.
 - 2 For saturated sequents, observe that we have met a repetition in our procedure.

\Rightarrow Repeat argument until - by the pigeonhole principle - every leaf is an axiom or a successful repetition.

- A Σ -sequent σ has an analytic proof if and only if Prover has a winning strategy in \mathcal{G}_σ .
- The game \mathcal{G}_σ is a *parity game*.
- Positional determinacy of parity games \Rightarrow the *bounded proof property*.
- Decision procedure for parity games gives an EXPTIME decision procedure for sCKL_f-provability.

- Syntactic cut-reduction
- Extension to Dynamic Epistemic Logic
- Interpolation?
- Realisation theorem for Justification Logic
- Extension to larger fragments of the modal μ -calculus.
- A cut-free cyclic system

Thank you!

-  Alberucci, Luca and Gerhard Jäger. “About cut elimination for logics of common knowledge”. In: *Annals of Pure and Applied Logic* 133.1-3 (2005), pp. 73–99.
-  H. van Ditmarsch J.Y. Halpern, W. van der Hoek and B. Kooi. “An Introduction to Logics of Knowledge and Belief”. In: *Handbook of Epistemic Logic*. College Publications, 2015. Chap. 1, pp. 1–51.
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-  Ohnishi, Masao and Kazuo Matsumoto. “Gentzen method in modal calculi”. In: *Osaka Mathematical Journal* 9.2 (1957), pp. 113–130.

Propositional rules

$$\text{id} \frac{}{\varphi^u \Rightarrow \varphi^a}$$

$$\text{w}_L \frac{\Gamma \Rightarrow \Delta}{\Gamma, \varphi^u \Rightarrow \Delta}$$

$$\text{w}_R \frac{\Gamma \Rightarrow \Delta}{\Gamma \Rightarrow \varphi^u, \Delta}$$

$$\neg_L \frac{\Gamma \Rightarrow \varphi^u, \Delta}{\Gamma, \neg \varphi^u \Rightarrow \Delta}$$

$$\neg_R \frac{\Gamma, \varphi^u \Rightarrow \Delta}{\Gamma \Rightarrow \neg \varphi^u, \Delta}$$

$$\wedge_L \frac{\Gamma, \varphi^u, \psi^u \Rightarrow \Delta}{\Gamma, (\varphi \wedge \psi)^u \Rightarrow \Delta}$$

$$\wedge_R \frac{\Gamma \Rightarrow \varphi^u, \Delta \quad \Gamma \Rightarrow \psi^u, \Delta}{\Gamma \Rightarrow (\varphi \wedge \psi)^u, \Delta}$$

Strong local soundness for $r = \Box_{S5}$

Suppose $r = \Box_{S5}$: Then σ is of the form:

$$\Box_i \Gamma \Rightarrow \Box_i \boxtimes \psi^f, \Box_i \Delta.$$

Let $n := \mu(\sigma)$. By the definition of μ , there is an epistemic Kripke model \mathbb{S} , and a state s of \mathbb{S} such that $\mathbb{S}, s \not\models \sigma(n)$. In particular, it holds that

$$\mathbb{S}, s \not\models \Box_i \Box^n \psi.$$

It follows that there is a state t in \mathbb{S} such that $sR_i t$ and $\mathbb{S}, t \not\models \Box^n \psi$. Clearly this also means that $\mathbb{S}, t \not\models \boxtimes \psi$. We claim that, in fact,

$$\mathbb{S}, t \not\models \Box_i \Gamma \Rightarrow \boxtimes \psi^f, \Box^n \psi^u, \Box_i \Delta,$$

which gives the required result.

By the fact that R_i is transitive, it holds for all φ such that $\mathbb{S}, s \Vdash \Box_i \varphi$, that $\mathbb{S}, t \Vdash \Box_i \varphi$. It follows that $\mathbb{S}, t \Vdash \Box_i \varphi$ for each $\Box_i \varphi^u \in \Box_i \Gamma$. Moreover, suppose that $\Box_i \psi^a \in \Box_i \Delta$. Then $\mathbb{S}, s \not\models \Box_i \psi$. Thus there is a state r in \mathbb{S} such that $sR_i r$ and $\mathbb{S}, r \not\models \psi$. By symmetry and transitivity, we get $tR_i s$, whence $\mathbb{S}, t \not\models \Box_i \psi$, as required.

A proof search game

Definition

Let σ be a Σ -sequent. The *proof search game* \mathcal{G}_σ associated to σ has the following ownership function and admissible moves:

Position	Owner	Admissible moves
σ	Prover	$\left\{ r \frac{\sigma_1 \cdots \sigma_n}{\sigma} : \sigma_1, \dots, \sigma_n \text{ are } \Sigma \text{ sequents} \right\}$
$r \frac{\sigma_1 \cdots \sigma_n}{\sigma}$	Refuter	$\{\sigma_i \mid 1 \leq i \leq n\}$

The positions are given the following priorities:

- 1 Every position of the form $\Gamma \Rightarrow \Delta^u$ has priority 3;
- 2 Every position of the form $\boxtimes_R \frac{\sigma_1 \cdots \sigma_n}{\sigma}$ where the principal formula is in focus has priority 2;
- 3 Every other position has priority 1.

An infinite match is won by Prover (Refuter) if the highest priority encountered infinitely often is even (odd).