



4/2016, Oxford

Independent Set Count &
Independent Transversal Connectedness

Ross Kang



2/2026, Oxford

Vraagstuk XXVIII.

K 13 a. Er zijn eenige punten gegeven waarvan geen vier in een zelfde vlak liggen. Hoeveel rechten kan men hoogstens tusschen die punten trekken zonder driehoeken te vormen? (W. MANTEL.)

Mantel's theorem (1907)

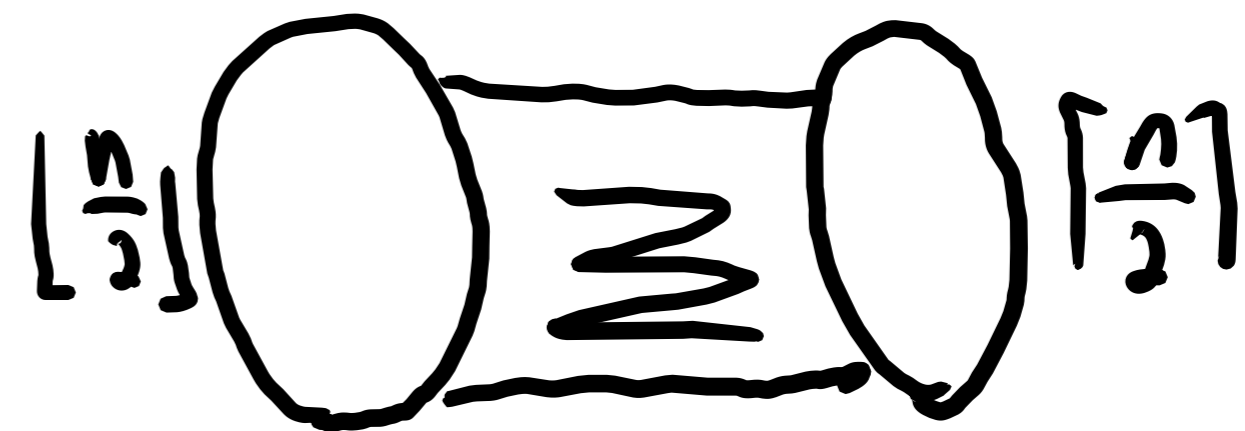
Any graph with n vertices and $\lfloor \frac{n^2}{4} \rfloor + 1$ edges must contain a triangle.

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ON A PROBLEM OF FORMAL LOGIC

By F. P. RAMSEY.

Ramsey's theorem (a special case)

There is some (smallest) integer $n = R(3, k)$ such that every graph on n vertices contains a triangle or its complement contains K_k

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$$\text{Known(!): } \frac{k^2}{2 \log k} \lesssim R(3, k) \lesssim \frac{k^2}{\log k}$$

Once you have ONE

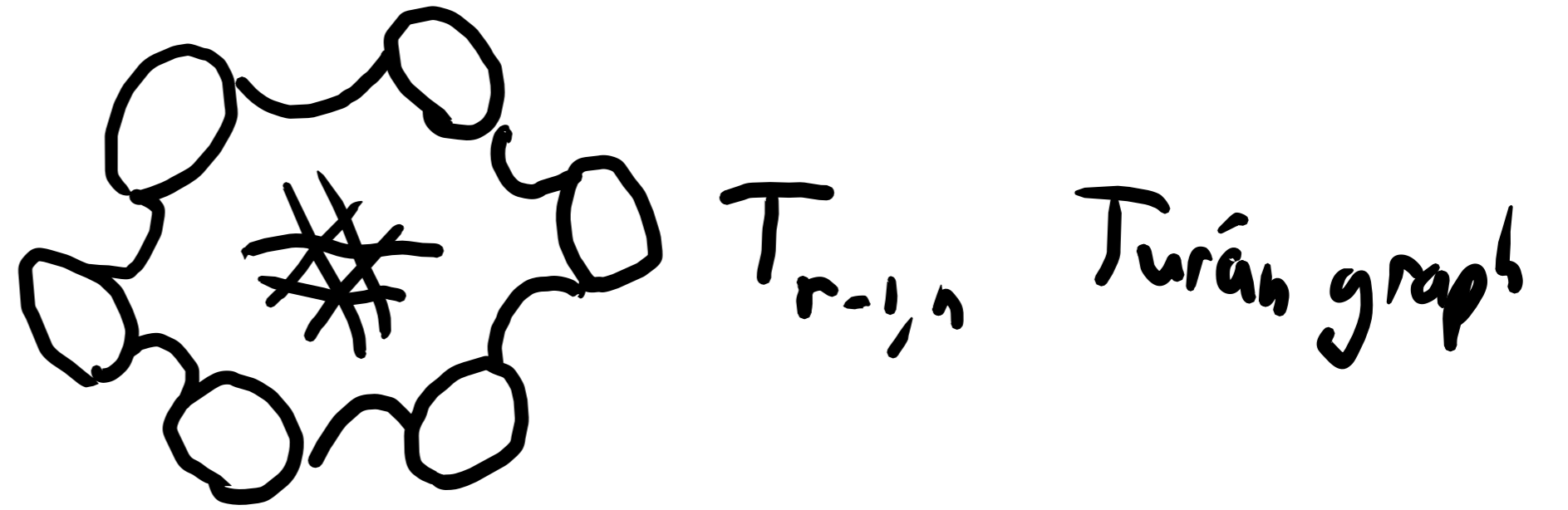
Once you have ONE
You have MANY

Turán 1941:

Any graph on n vertices with more edges than the balanced complete $(r-1)$ -partite graph $T_{r-1,n}$ on n vertices must contain a K_r

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NB: Complement of $T_{r-1, n}$ is balanced disjoint union of cliques

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Larger guarantee if we exclude \nearrow (i.e. cliques)?

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Does sparsity induce structure?

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Shearer 1983, after Ajtai, Komlós, Szemerédi 1980/1:

Any triangle-free graph on n vertices of average degree d contains an independent set of size $(1 + o(1)) \frac{n}{d} \log d$

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NB: There are triangle-free graphs on n vertices of avg deg d with independent sets of size no larger than

$$(2 + o(1)) \frac{n}{d} \log d$$

Hard-core model

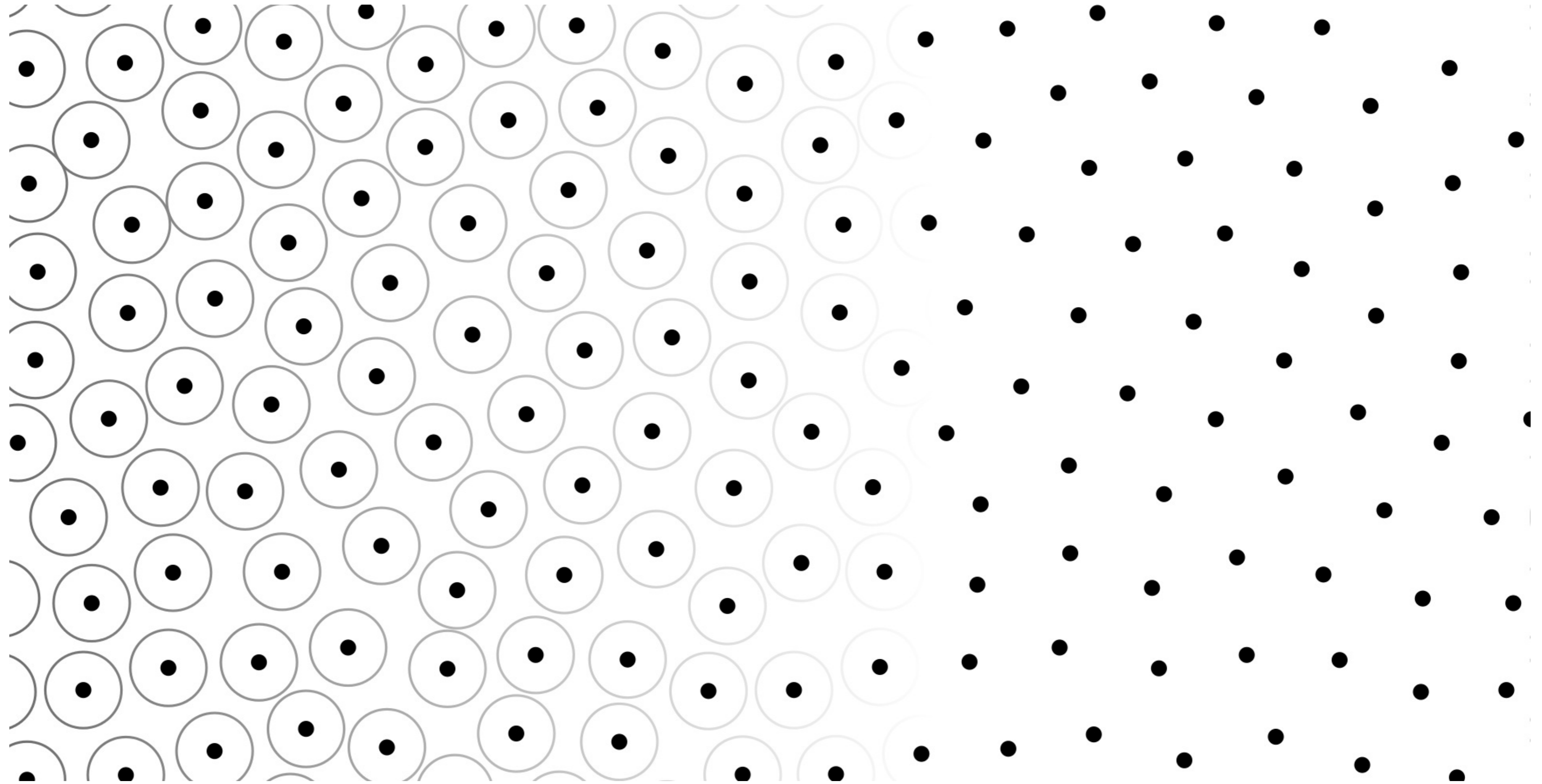


Image: Wiki/Graph-uh

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Davies, Jenssen, Perkins, Roberts 2018:

Any triangle-free graph on n vertices of maximum degree d
has average independent set size at least $(1 + o(1)) \frac{n}{d} \log d$

partition function $Z_G(\lambda) = \sum_{\lambda \in \tilde{\Lambda}} \lambda^{|\lambda|}$

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prob method

$\Rightarrow R(3, k) \leq (1 + o(1)) \frac{k^2}{\log k}$ (stuck since 1983)

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NB: There are triangle-free d -regular graphs on n vertices with log. independent set count $\log Z_G(\lambda)$ no larger than $(1 + o(1)) \frac{n}{2d} (\log d)^2$

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Buys, vd Heuvel, Kang 2025+

Any triangle-free graph G on n vertices of average degree d
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Buys, vd Heuvel, Kang 2025+

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Shearer's induction for independence number α

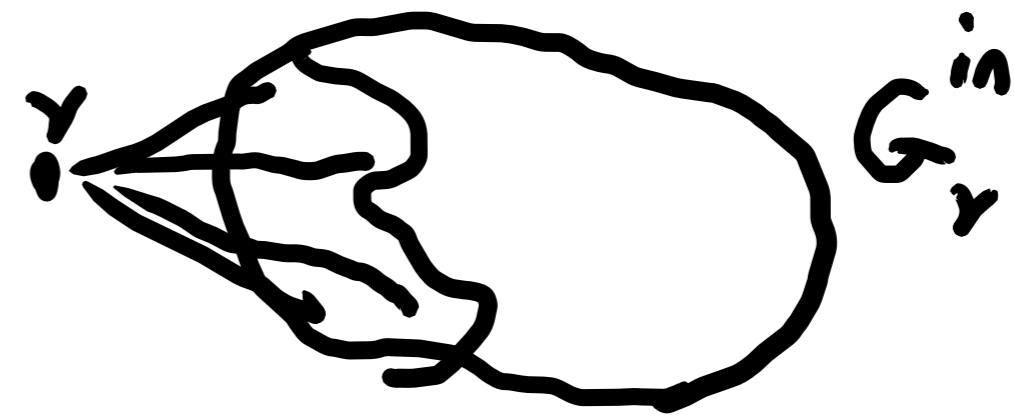
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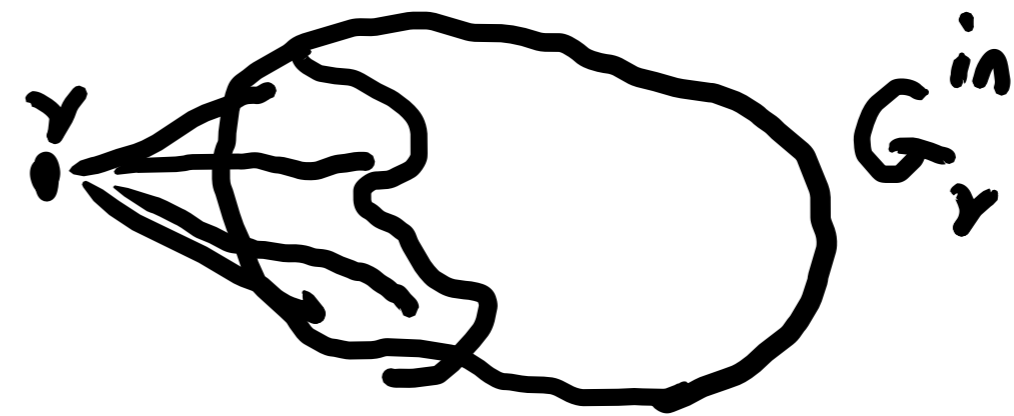


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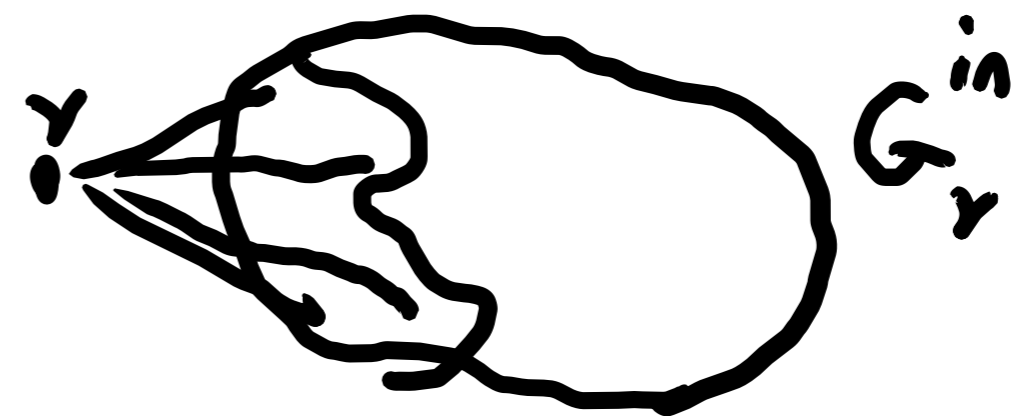
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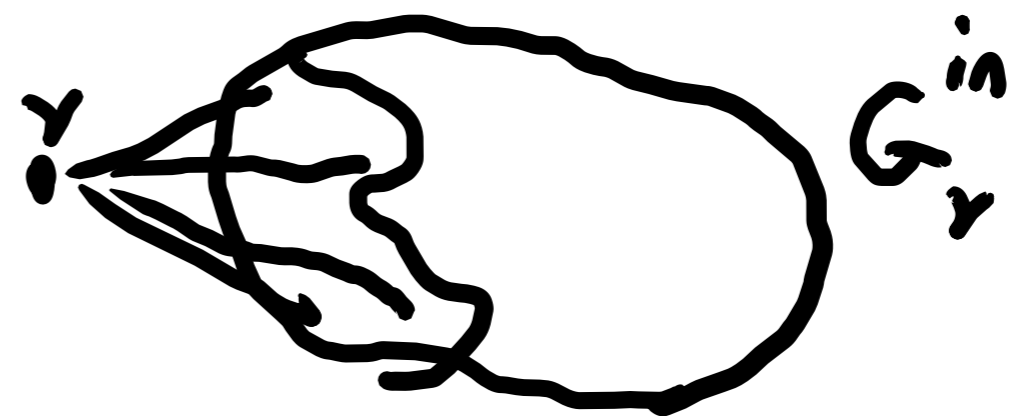
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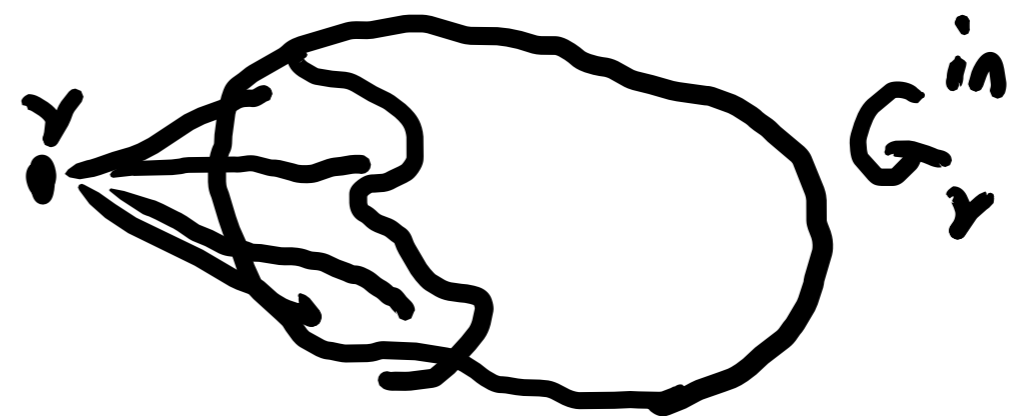
$$\stackrel{\text{induction}}{\geq} 1 + f(d(G_v^{in})) \cdot n(G_v^{in})$$

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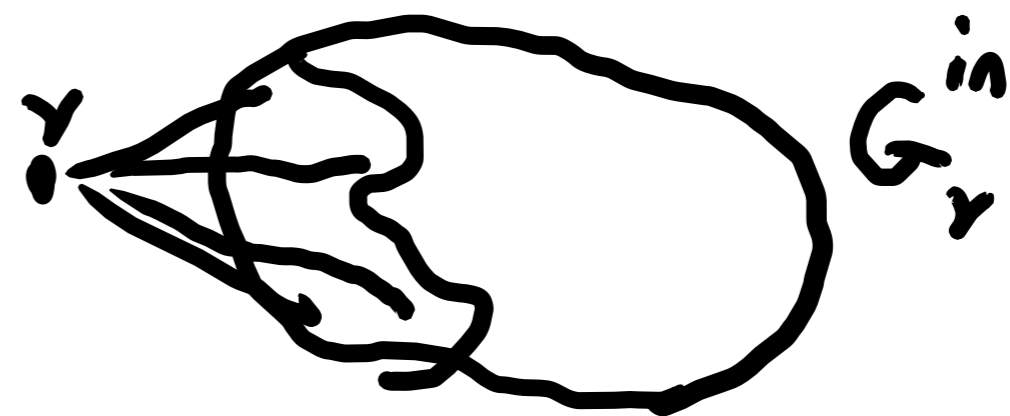
Uniform random v , writing $d = d(G)$,

$$\mathbb{E}(\text{RHS}) \stackrel{\Delta\text{-free}}{\geq}$$

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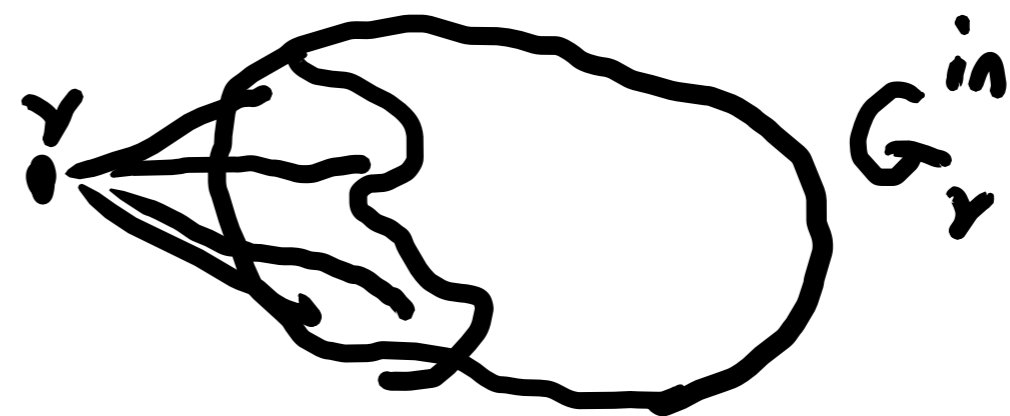
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reduces the problem to finding some $f: \mathbb{R}^t \rightarrow \mathbb{R}$

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$$\bullet 1 + (x - x^2) f'(x) \geq (1 + x) f(x)$$

$$\Rightarrow \alpha \geq n f(d) \quad \text{where} \quad f(d) = \frac{d \log d - d + 1}{(d-1)^2}$$

Shearer-style induction for indep set count

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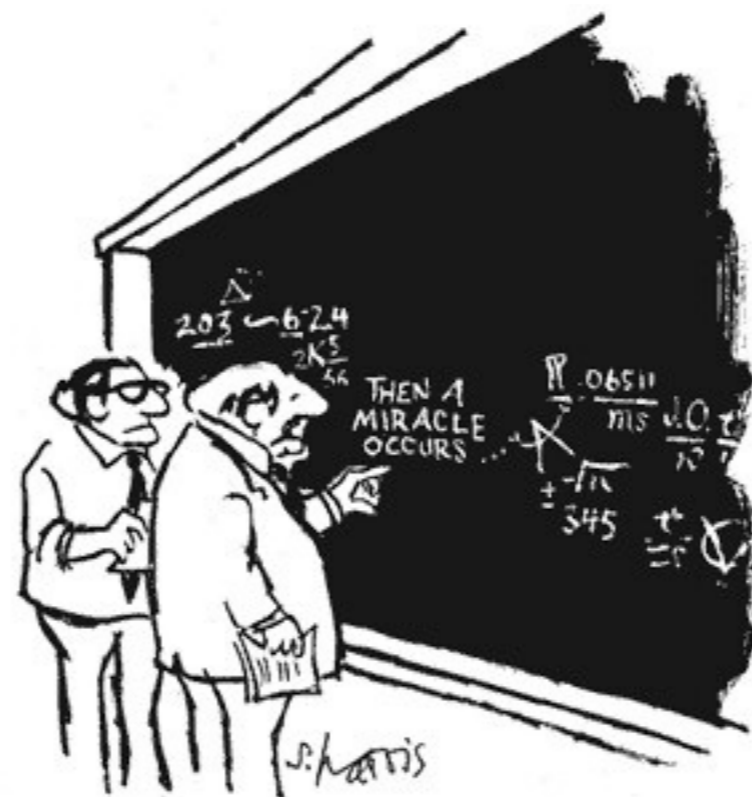
- $e^{-x} f'_\lambda(x) - f_\lambda(x) + \lambda e^{(x-x^2)} f'_\lambda(x) - (x+1) f_\lambda(x) \geq 1$

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"I THINK YOU SHOULD BE MORE EXPLICIT HERE IN STEP TWO."

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Buys, vd Heuvel, Kang 2025+

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Andy Warhol

COMBINATORICS

Edited by D.J.A. Welsh
and
D.R. Woodall

THE INSTITUTE OF
MATHEMATICS AND ITS APPLICATIONS

3rd BCC
in Oxford

Open problem session, 1972

Woodall: A Hall-type problem . . .

Open problem session, 1972

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Problem (see Bollobás, Erdős, Szemerédi 1975)

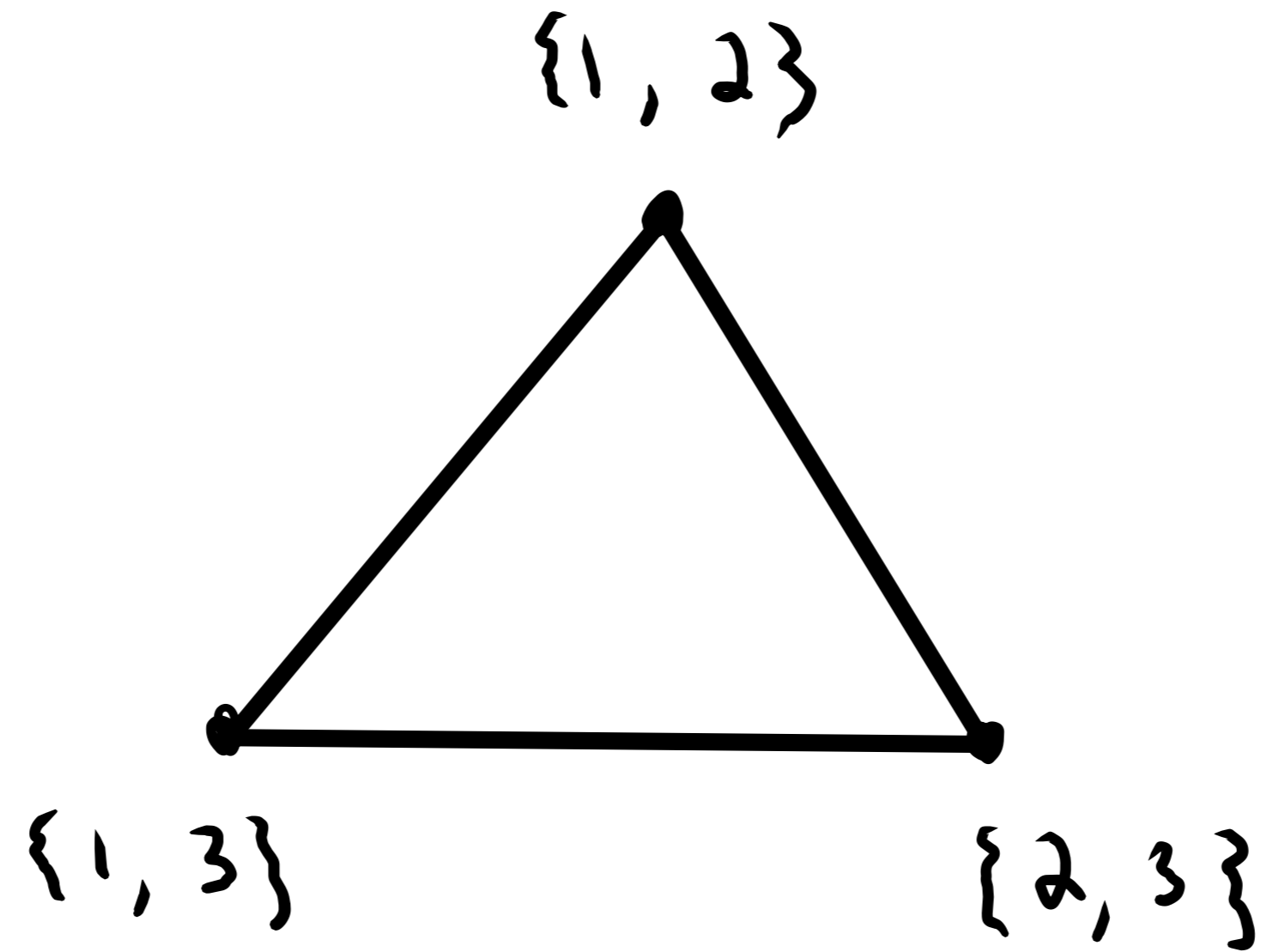
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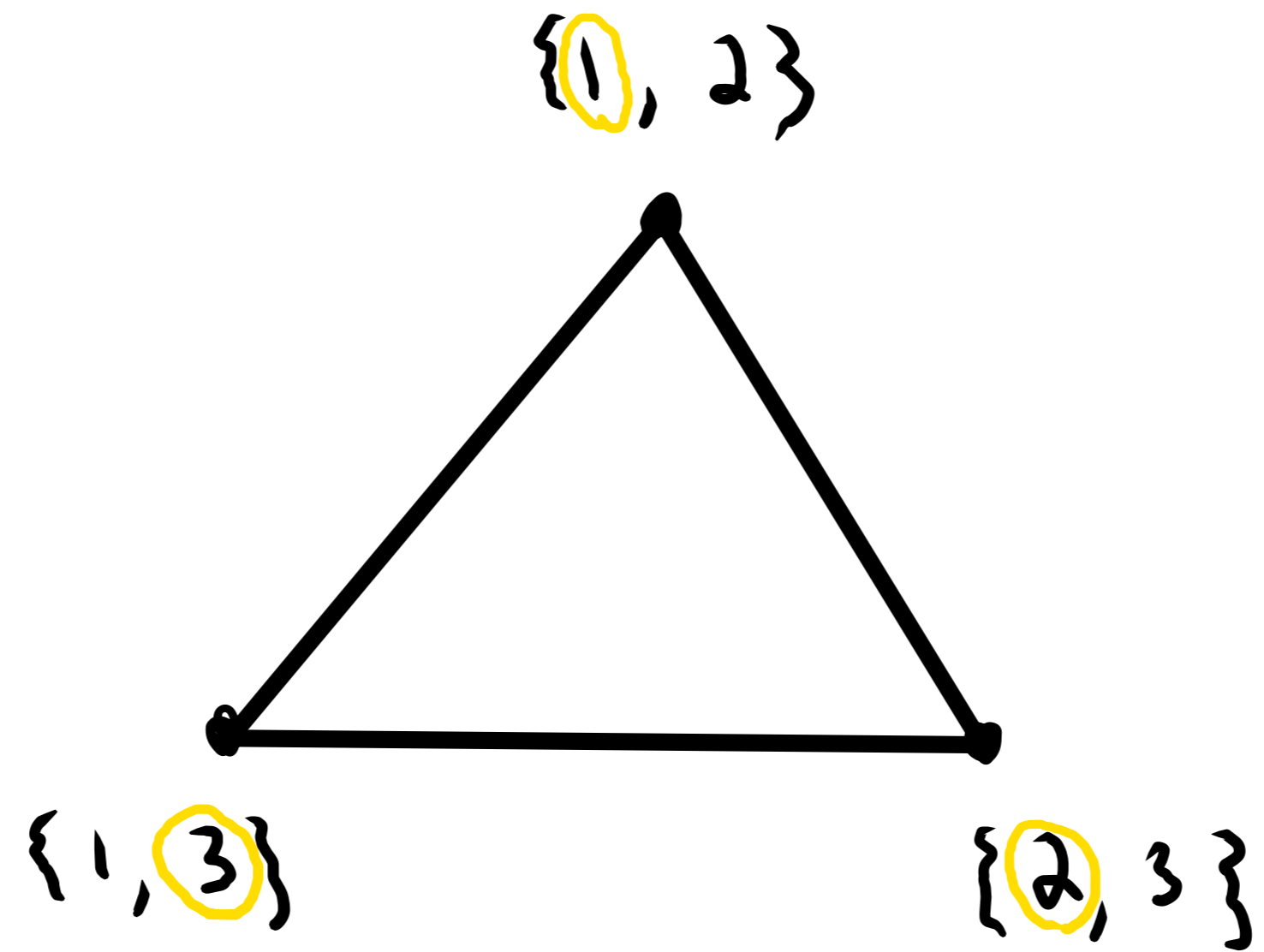
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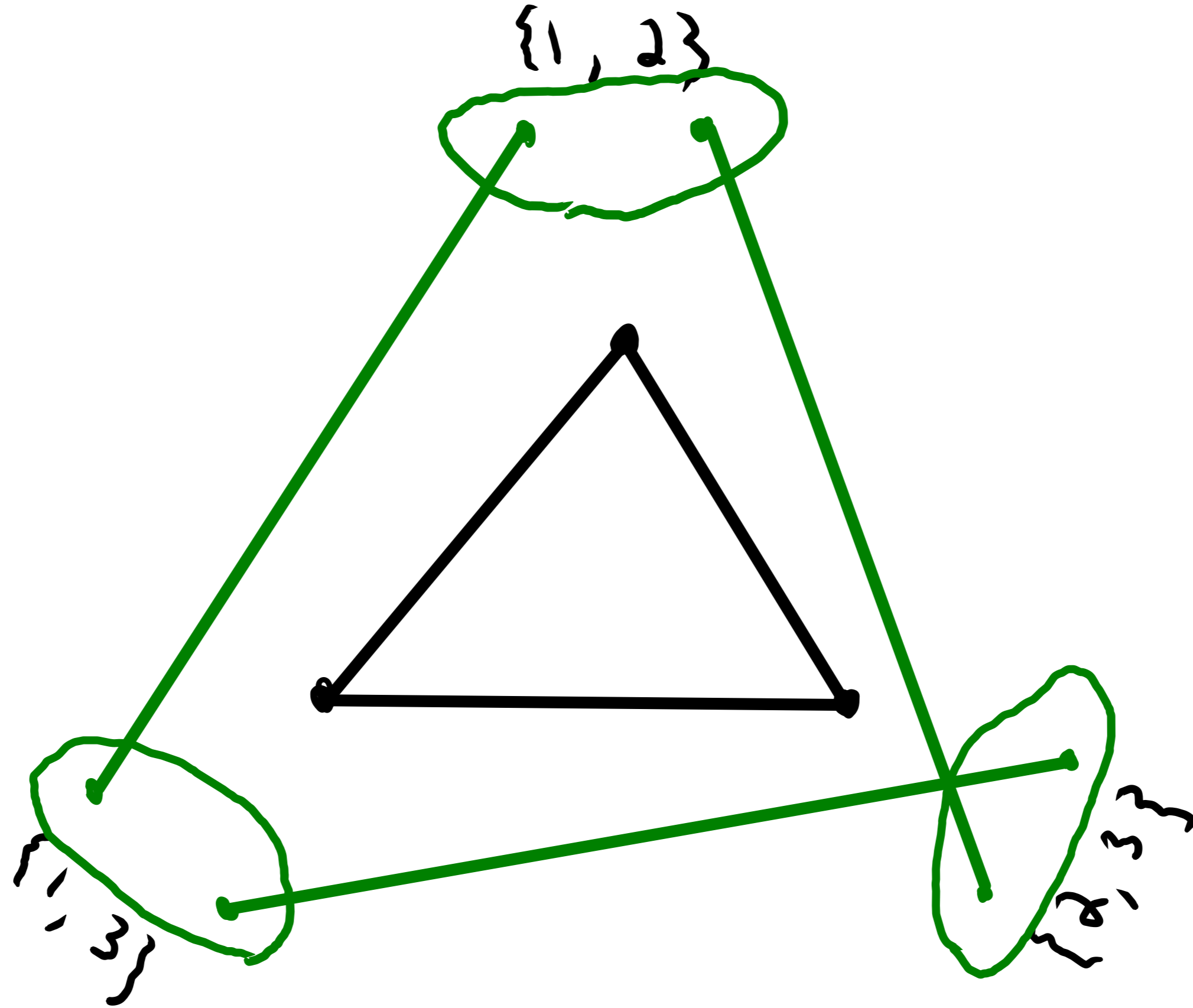
Example: list colouring



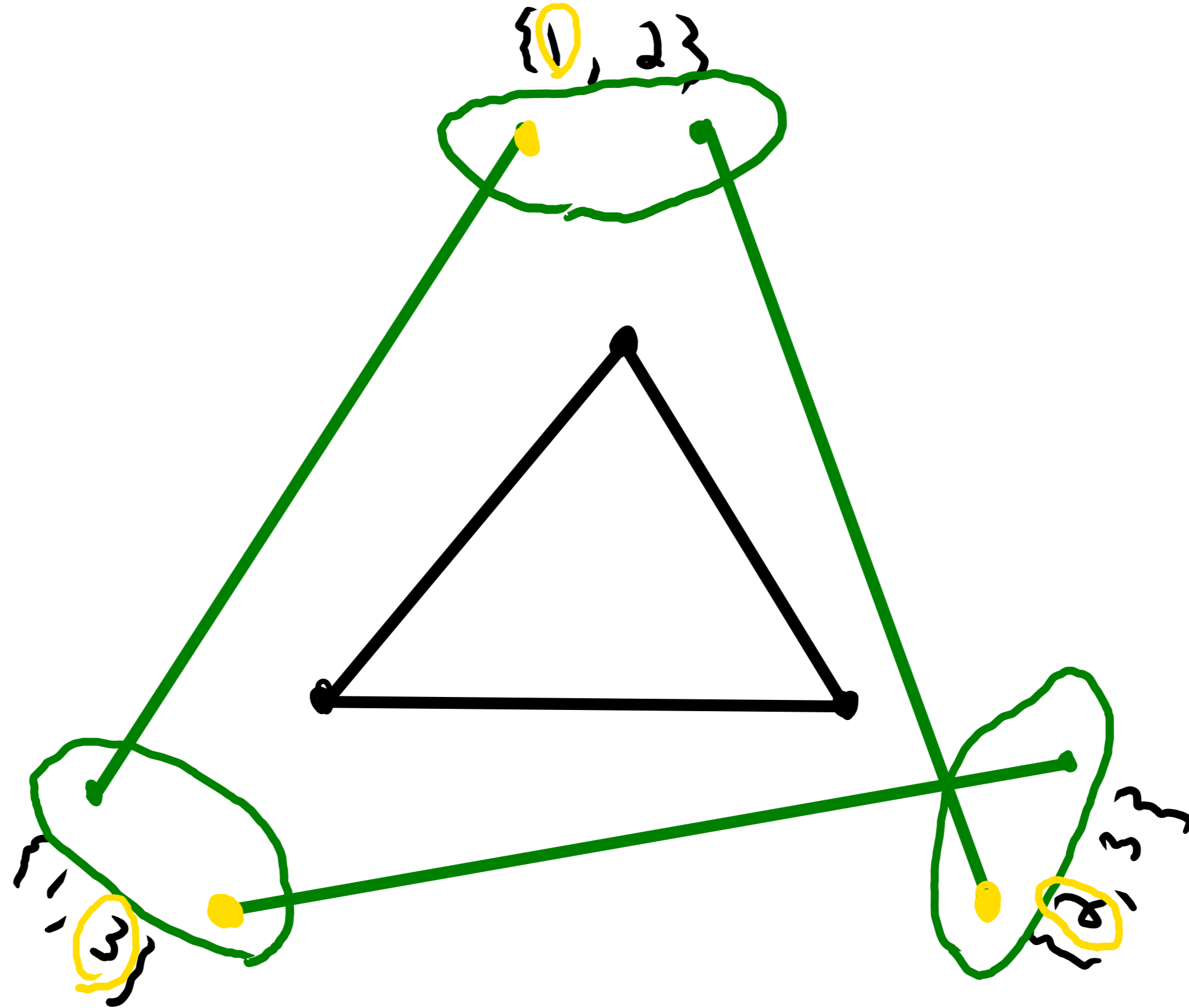
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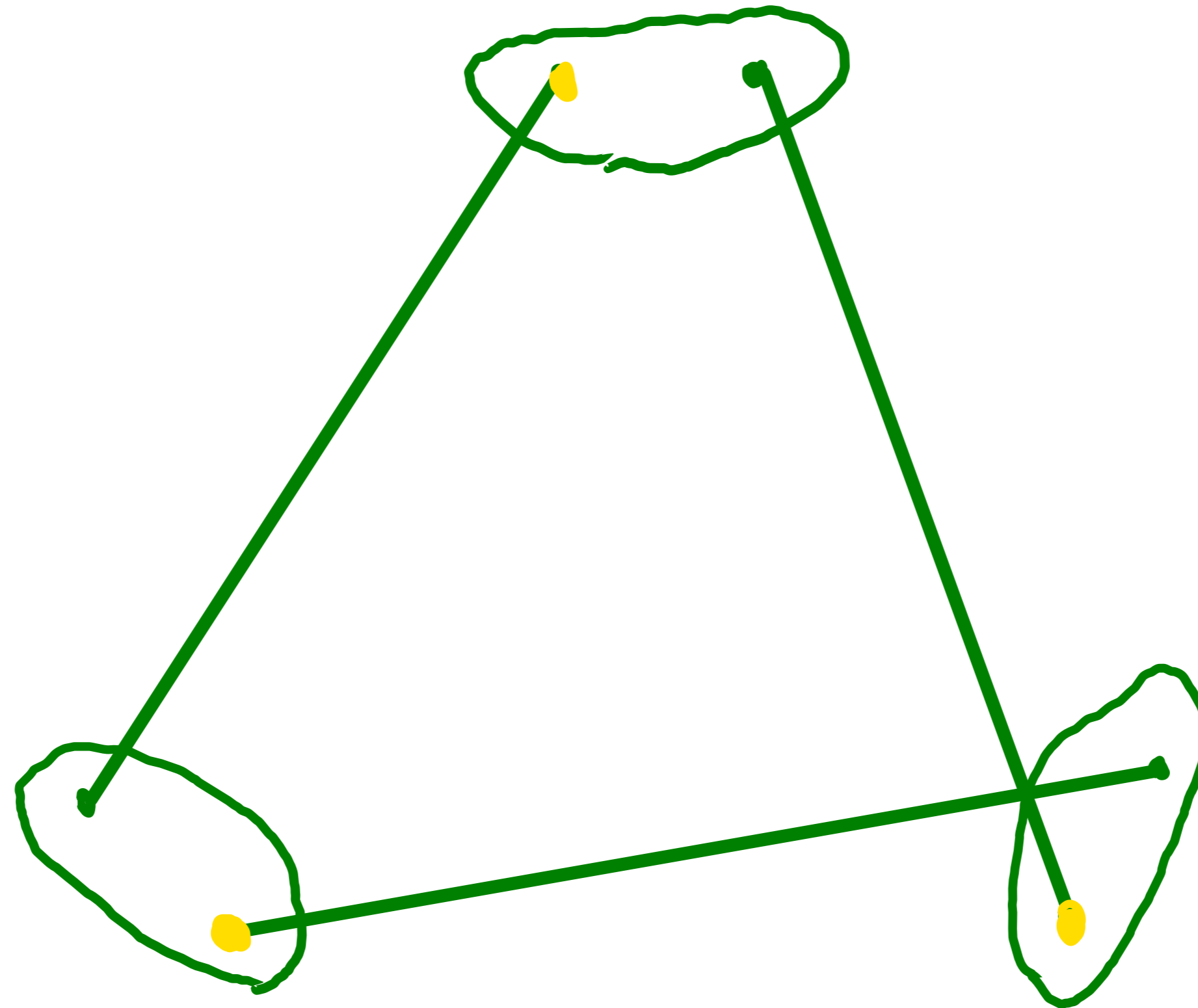
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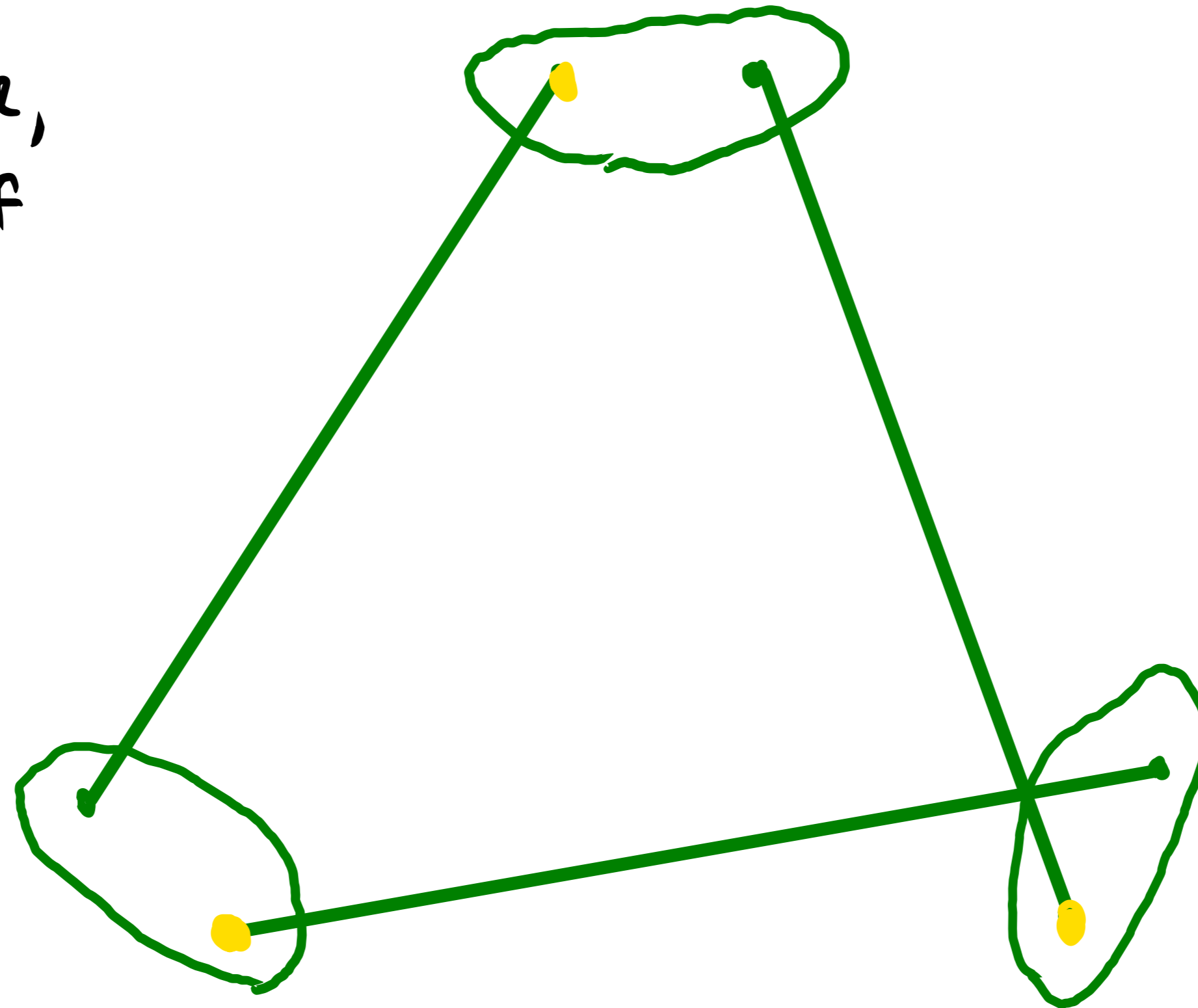
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In this special case,
 $\Delta \leq \max$ degree of
underlying graph

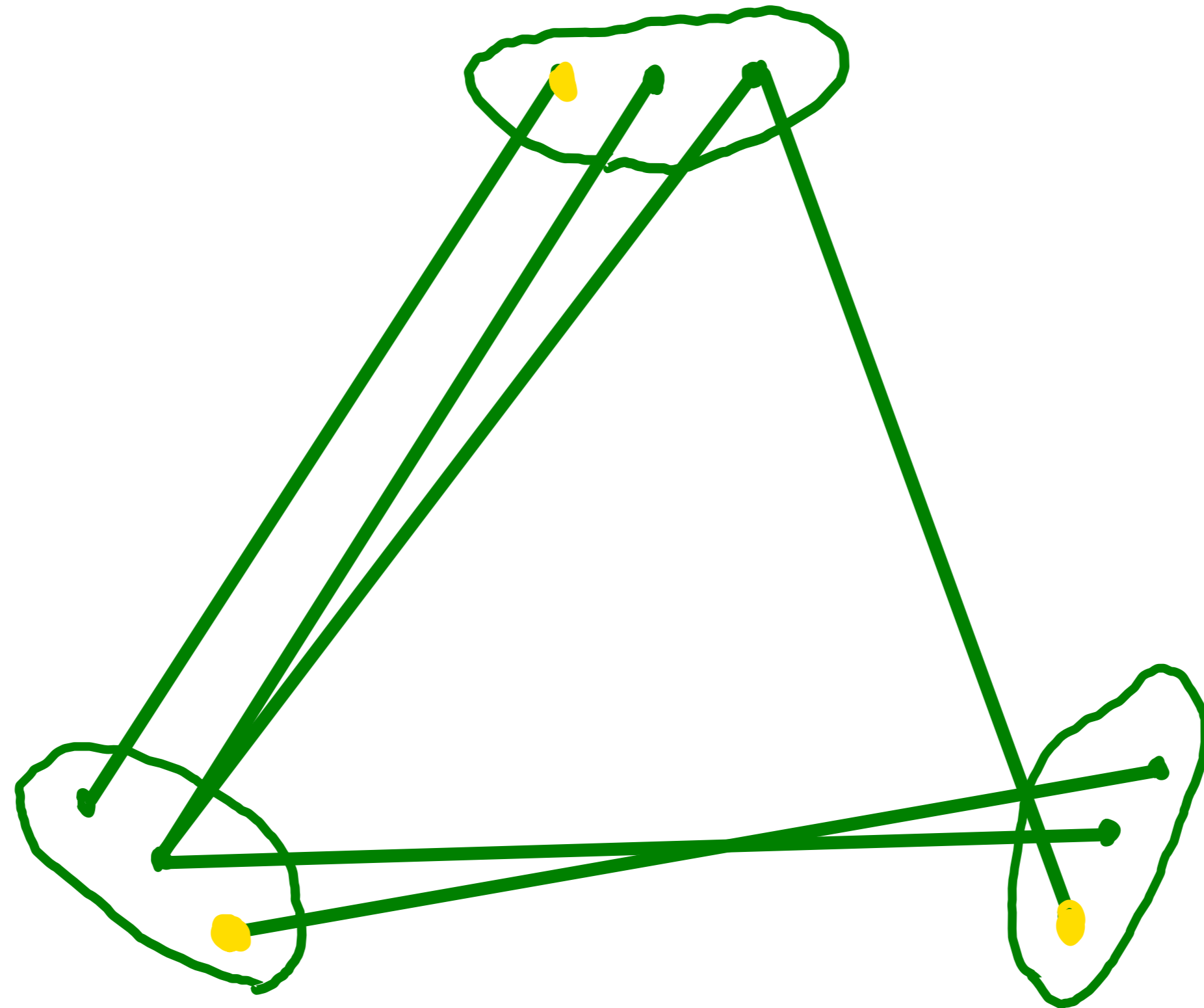
$$t = \Delta + o(\Delta)$$

suffices

(Reed - Sudakov '02)



But what if parts didn't derive from lists?

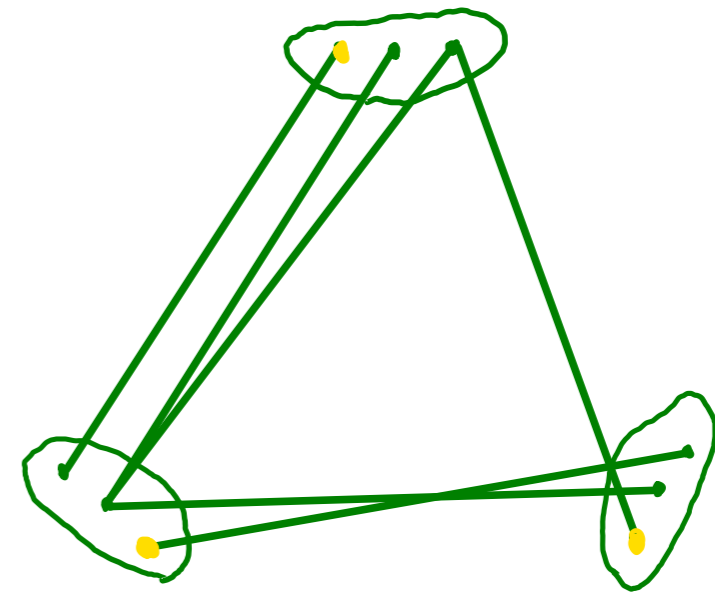


Problem (see Bollobás, Erdős, Szemerédi 1975)

Given Δ , what is least $t = t(\Delta)$?

For any G of maximum degree Δ ,
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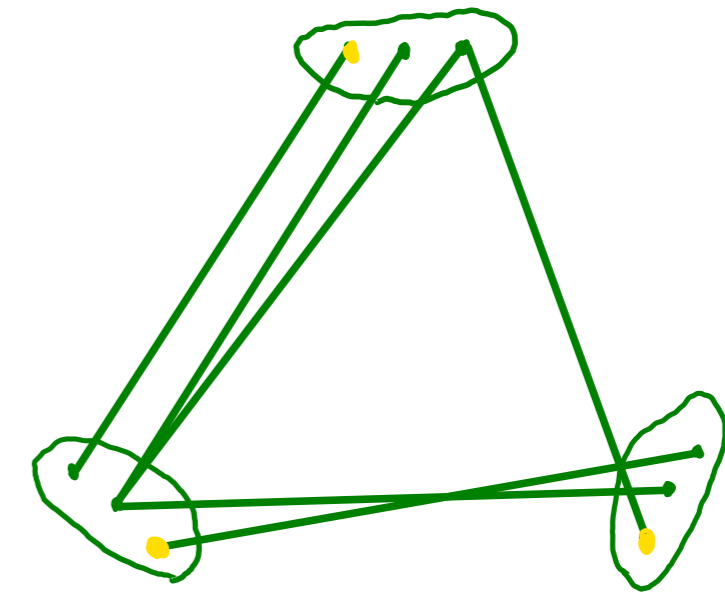
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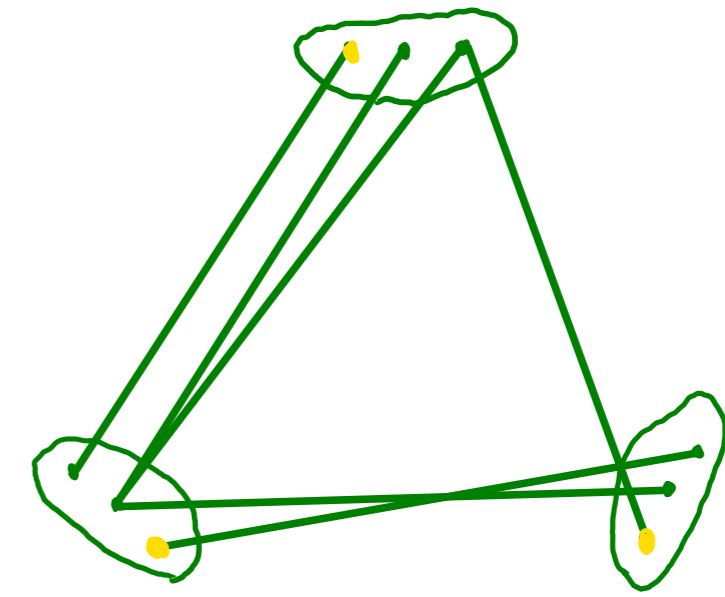


LLL \Rightarrow $t = O(\Delta)$ always suffices (Alon?)

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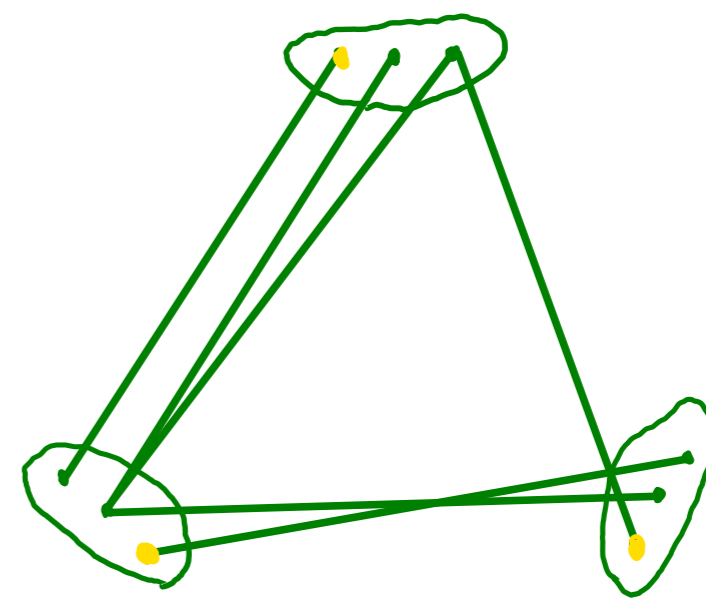
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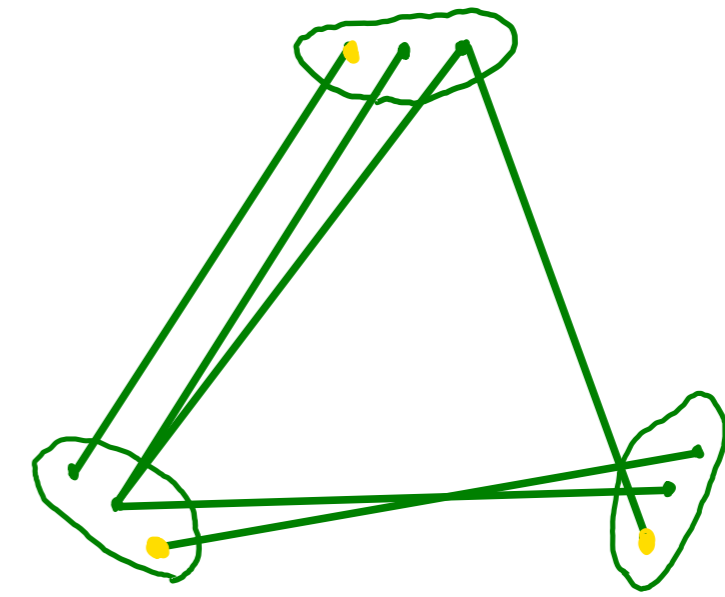
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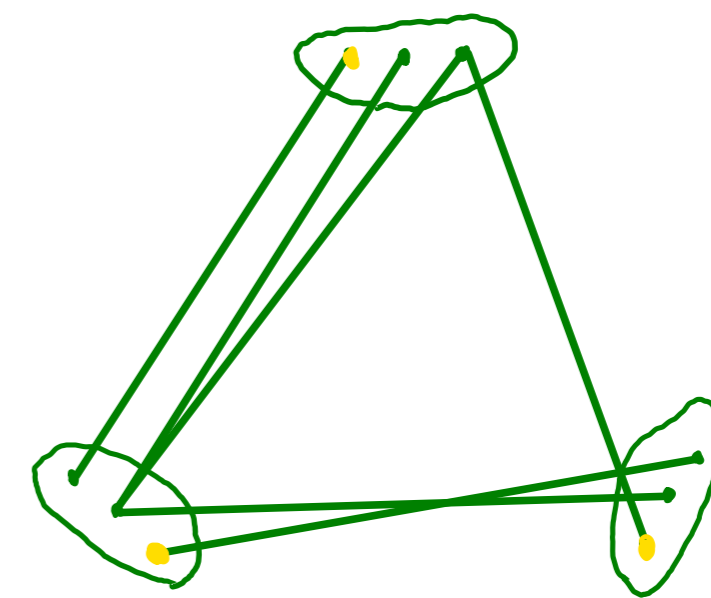
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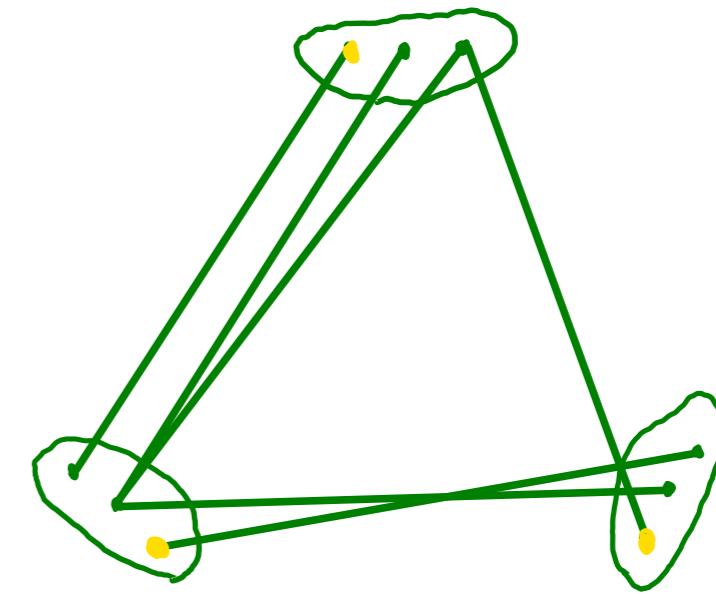
Question 1: If $t = 2\Delta(+1)$, are there MANY ITs?

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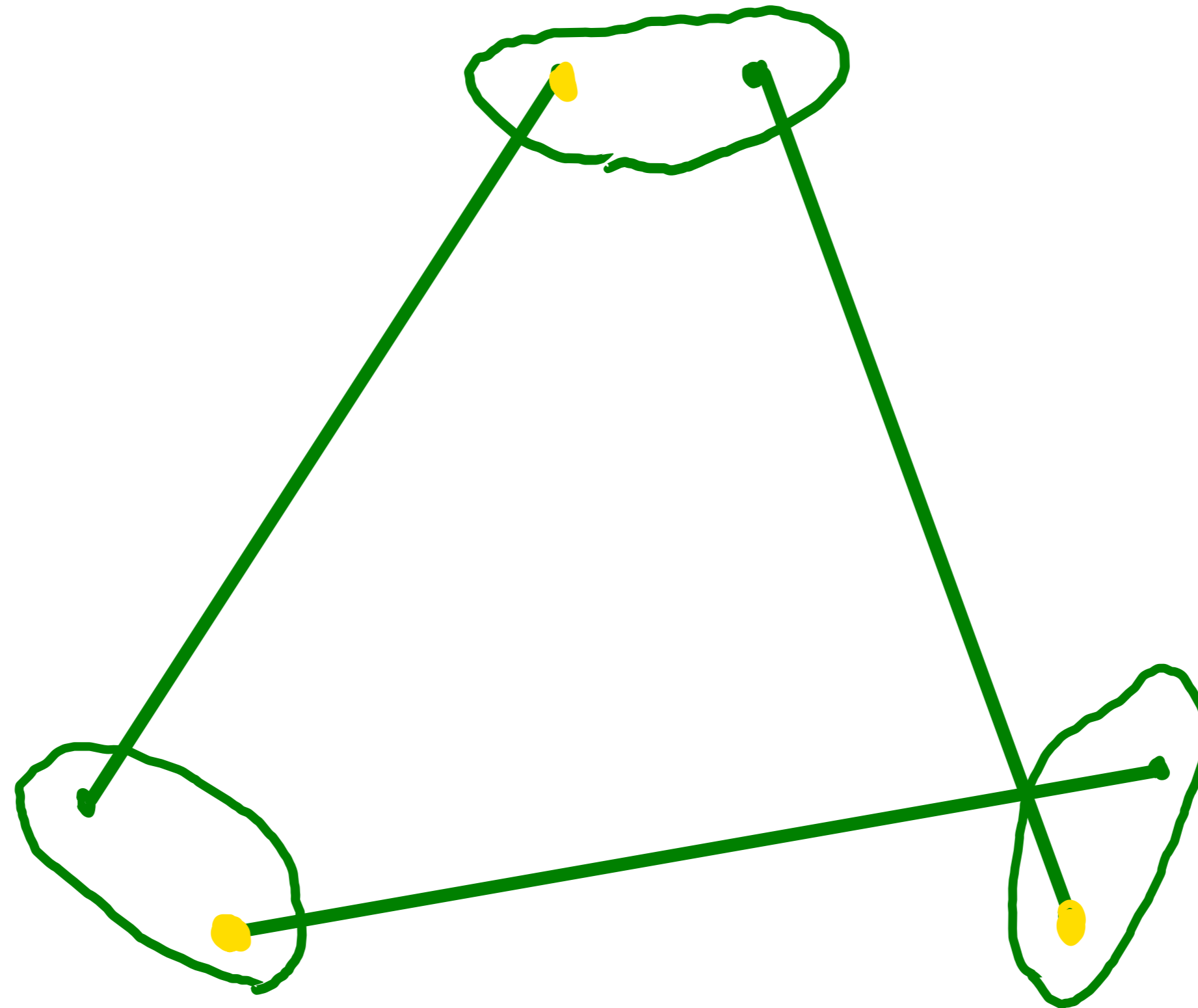


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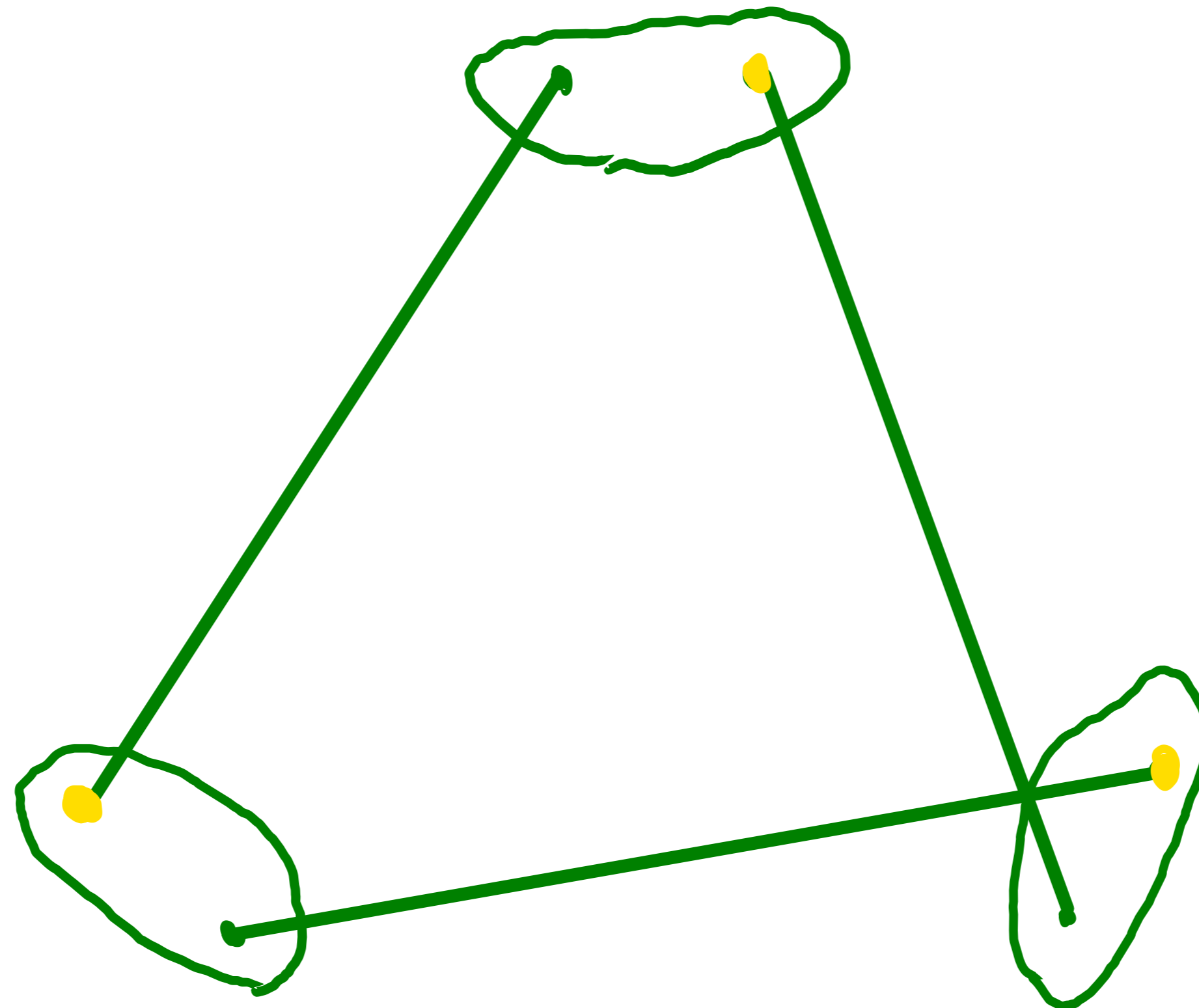
Question 1: If $t = 2\Delta(+1)$, are there MANY ITs?

Question 2: If $t = 2\Delta(+1)$, do ITs 'cluster'?

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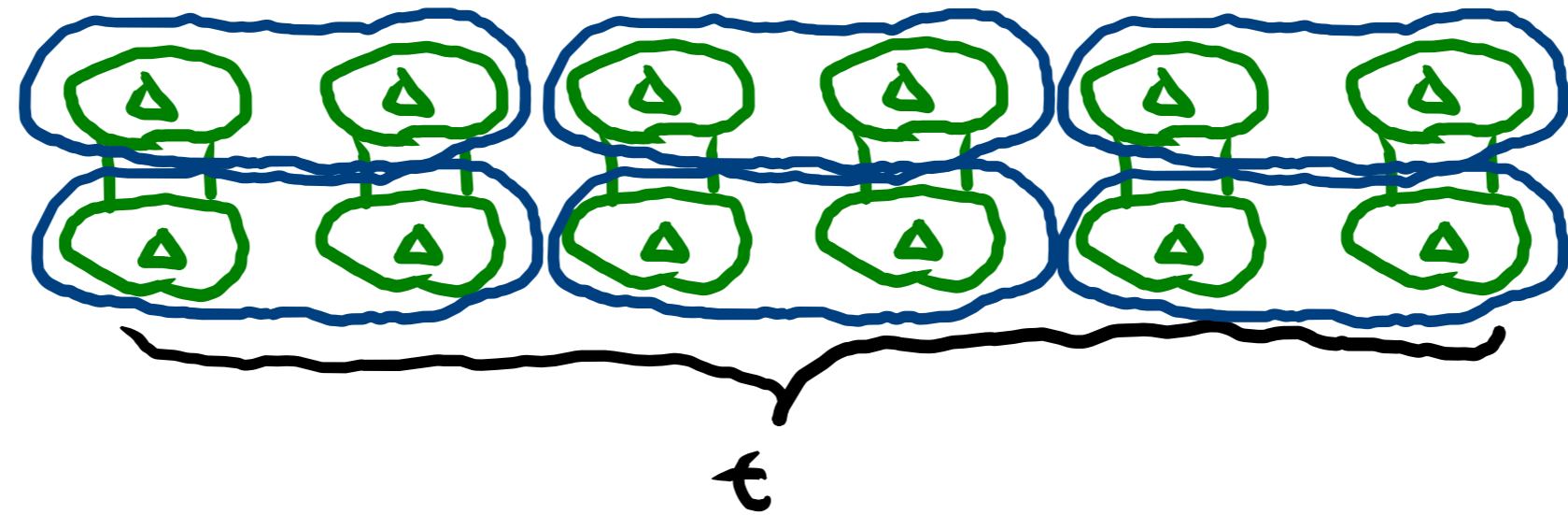
Conjecture (Buys, Kang, Ozeki 2025)

For $t = 2\Delta$, the t -vertex-partitioned graphs of max deg Δ that minimise the number of ITs are the disjoint union of t $K_{\Delta, \Delta}$'s

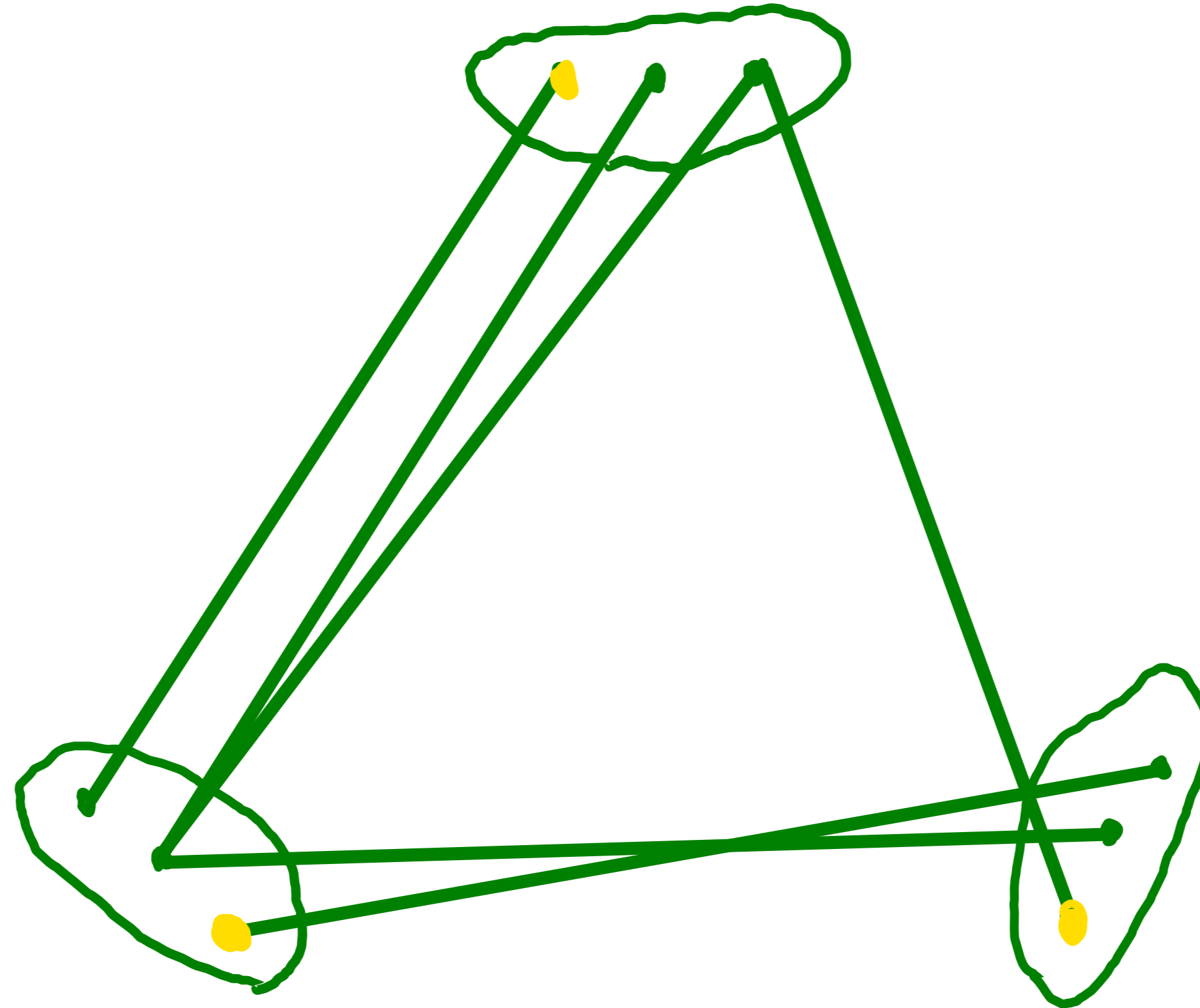
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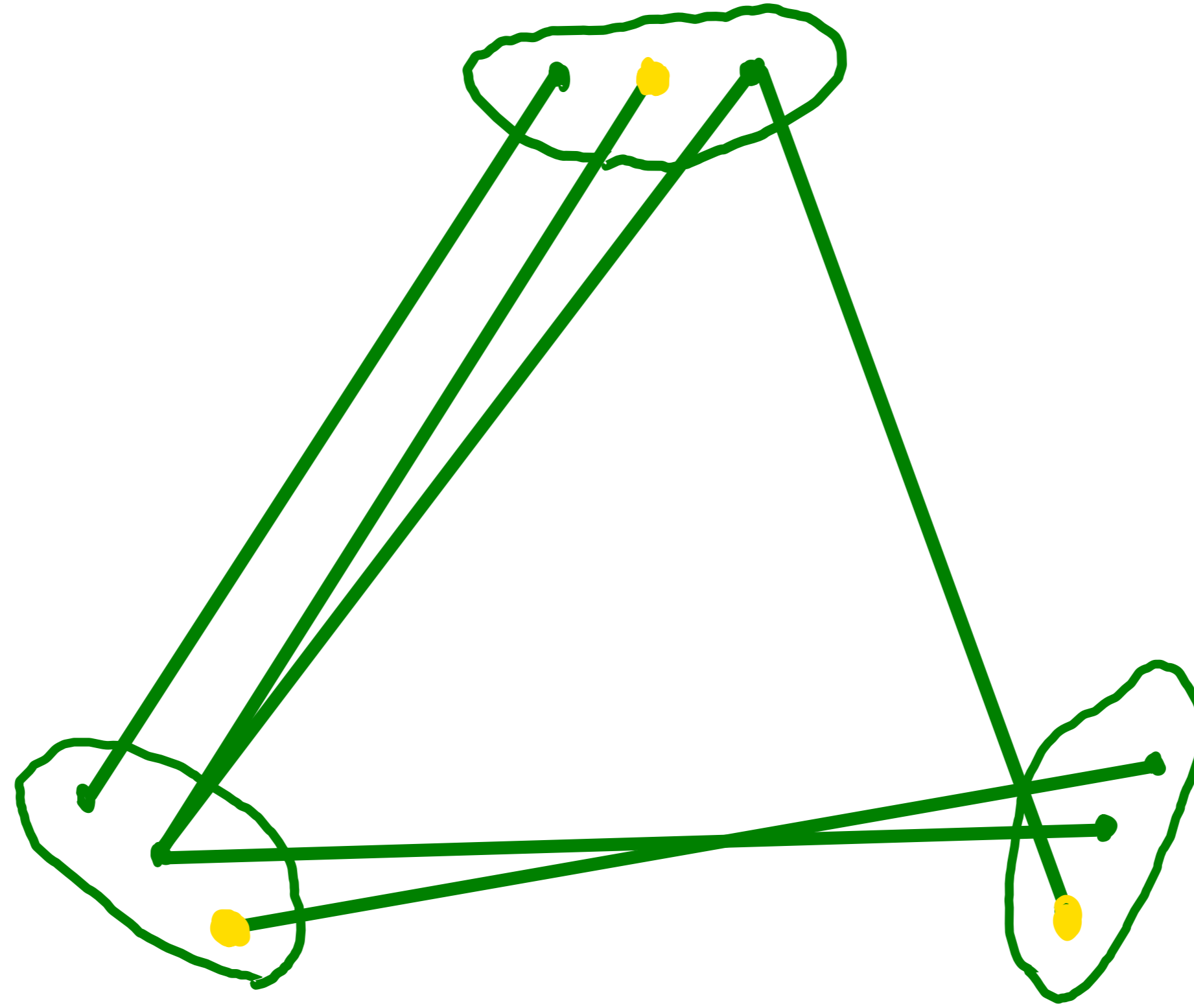
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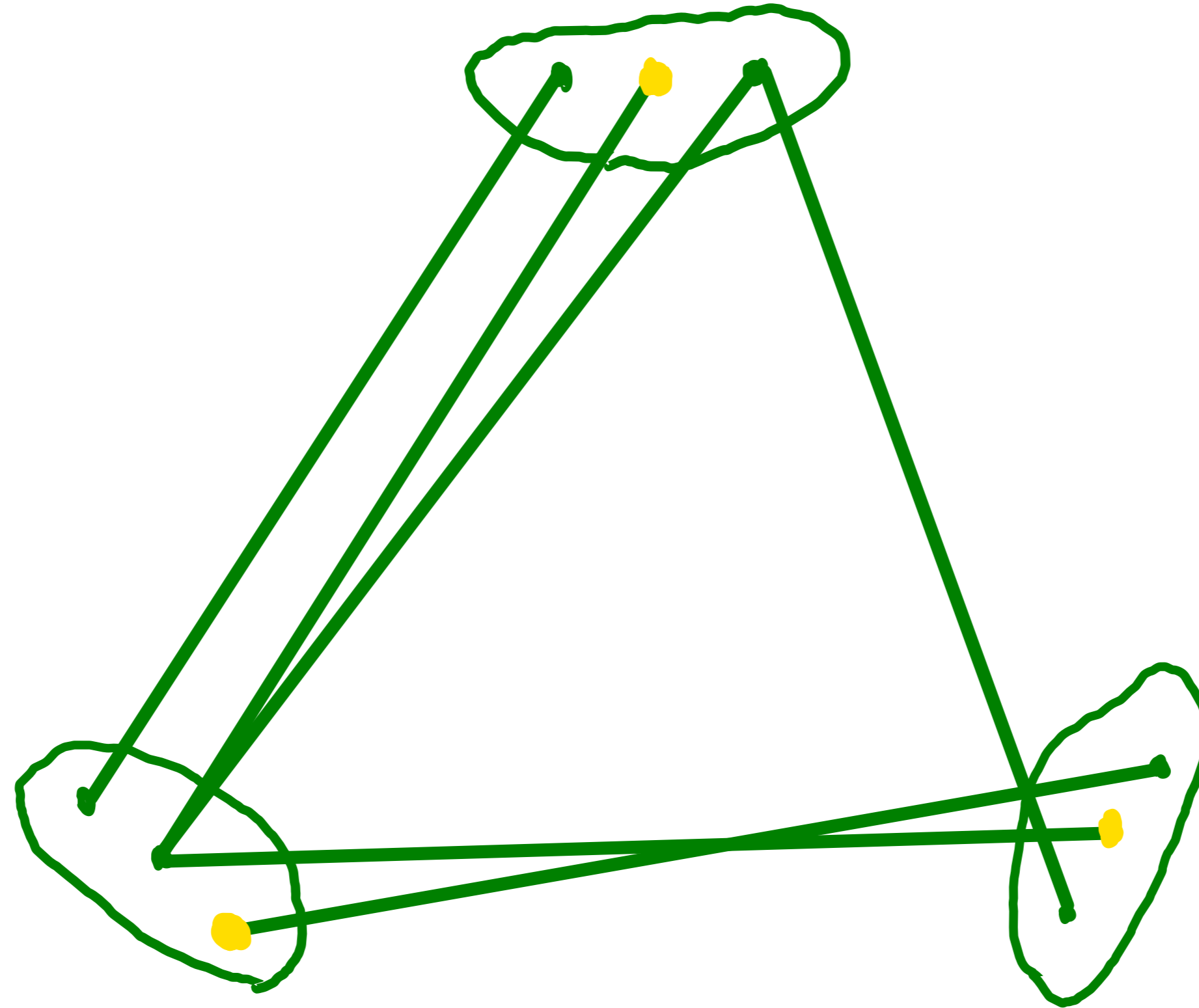
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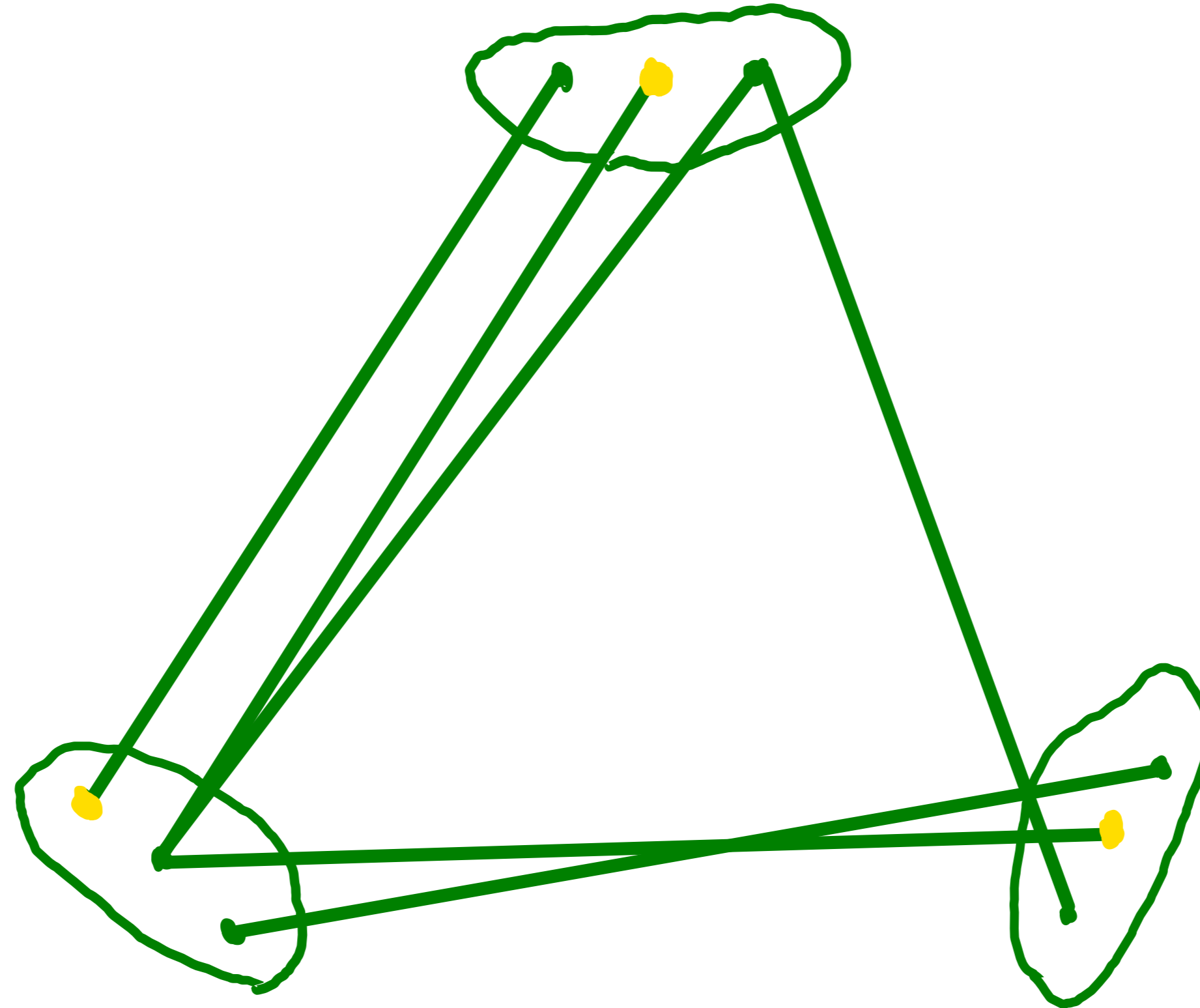
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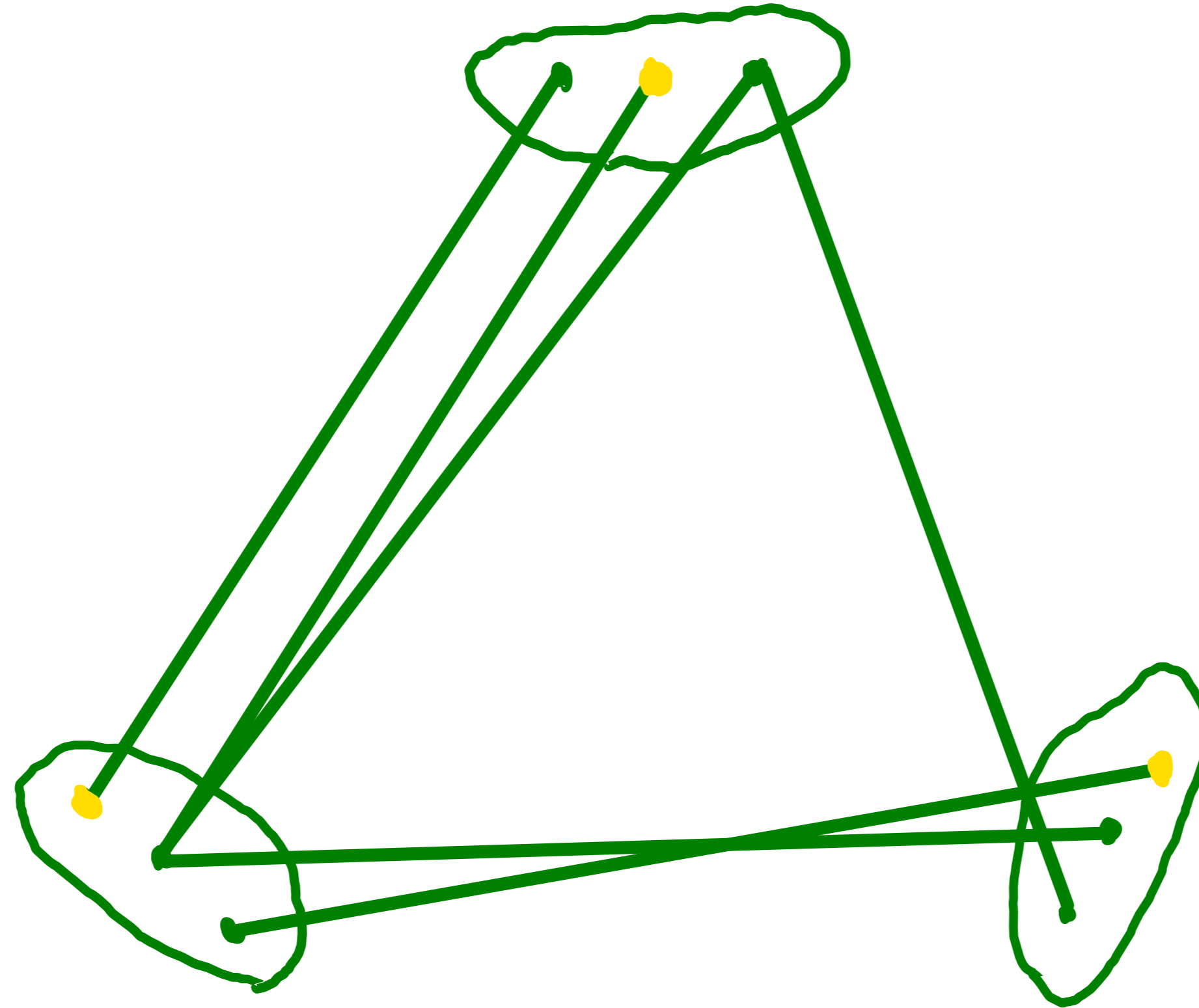
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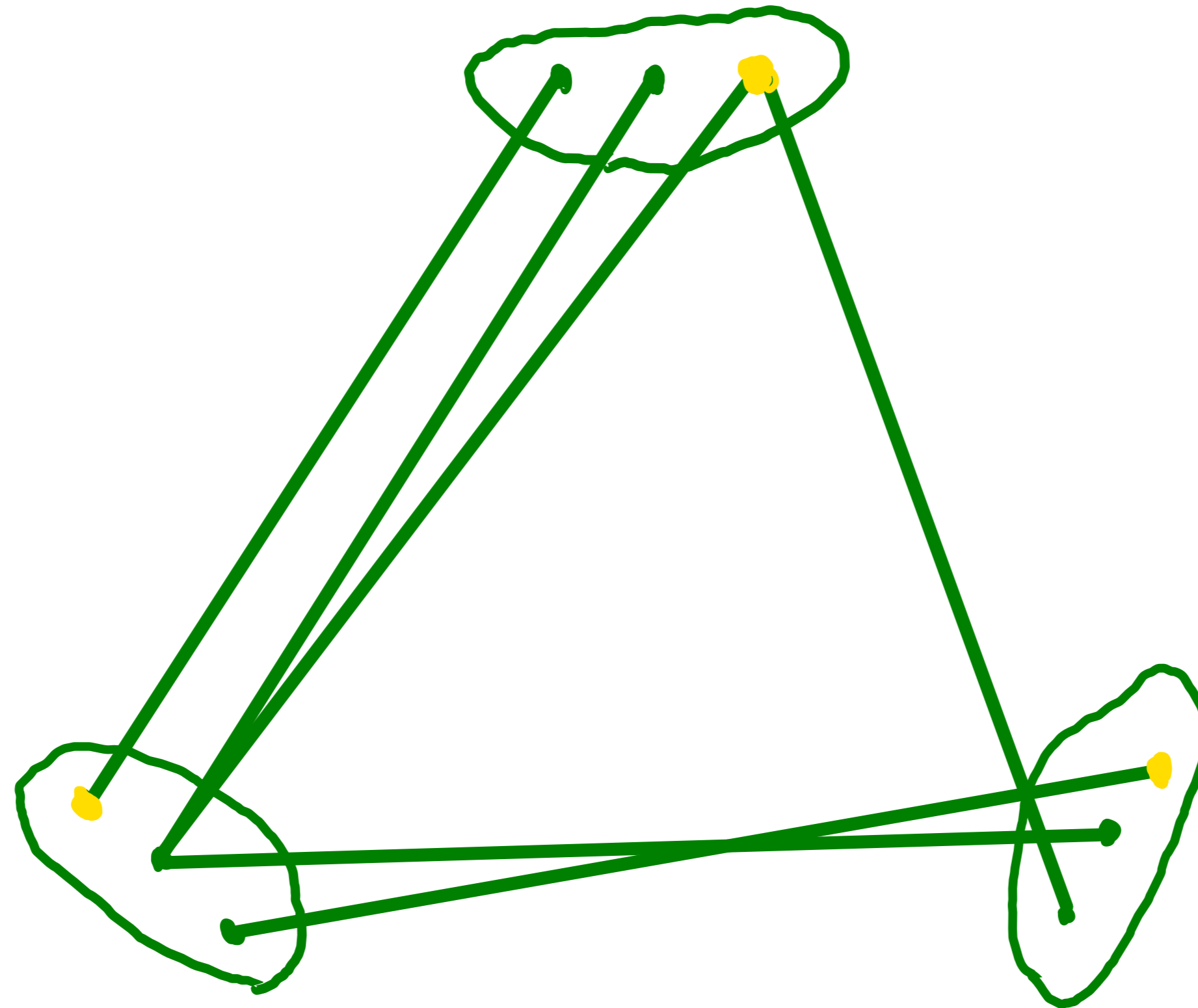
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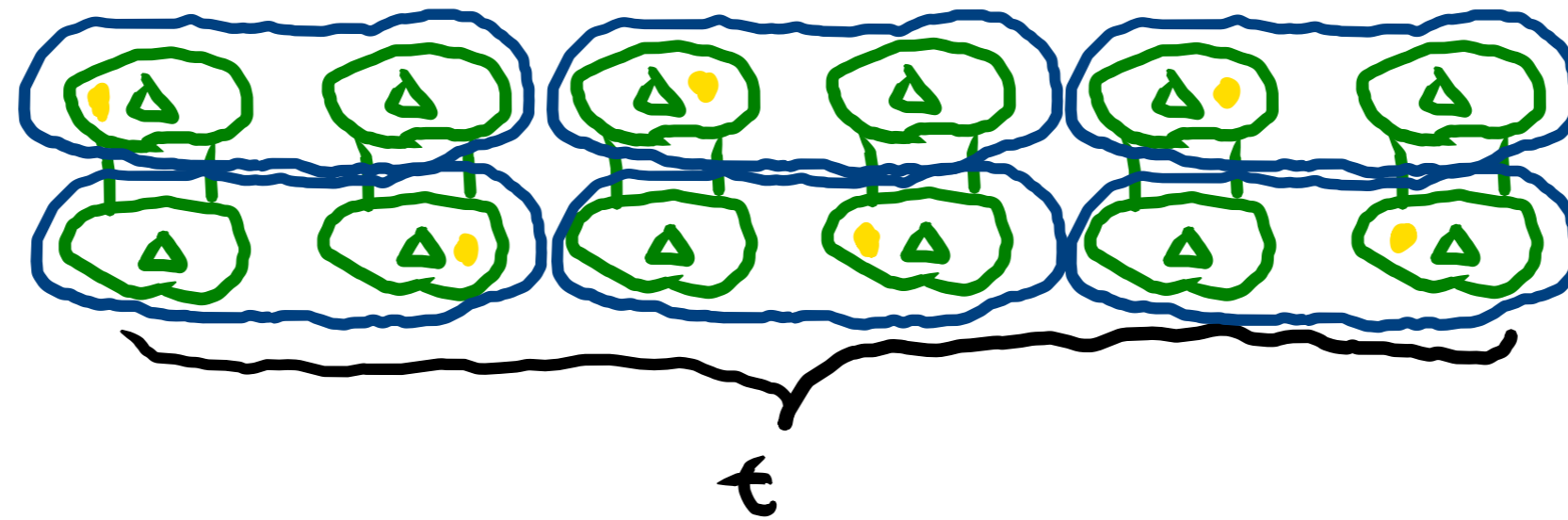


Question 2: If $t = 2\Delta(t+1)$, do ITs 'cluster'?



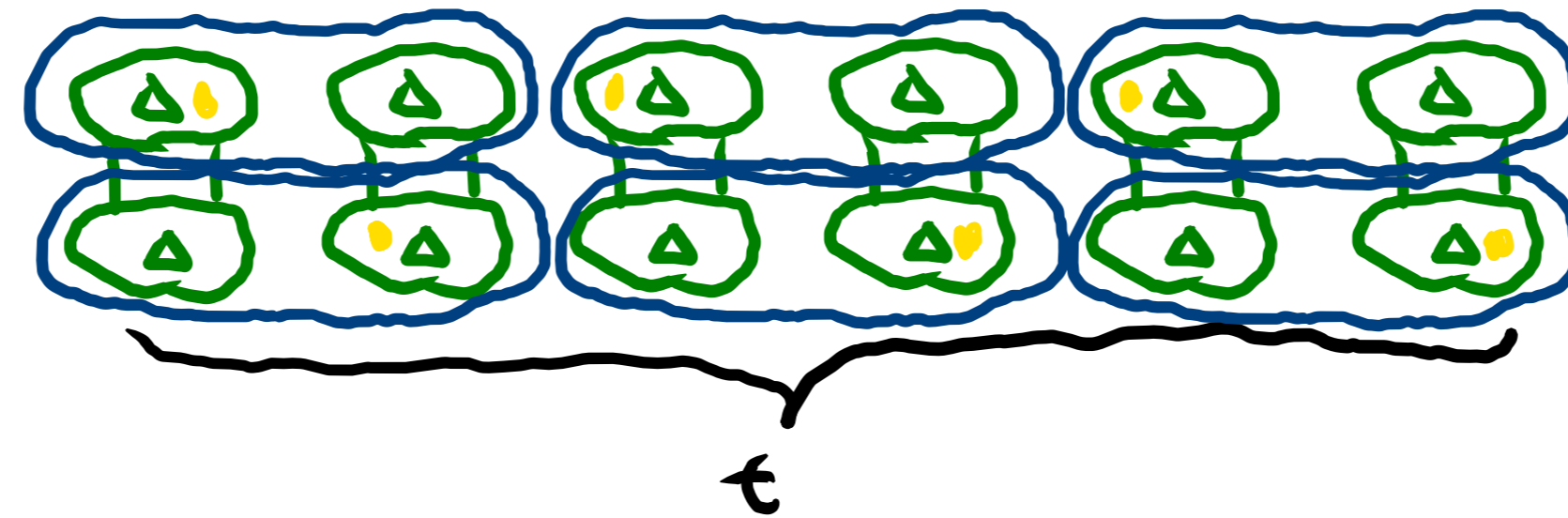
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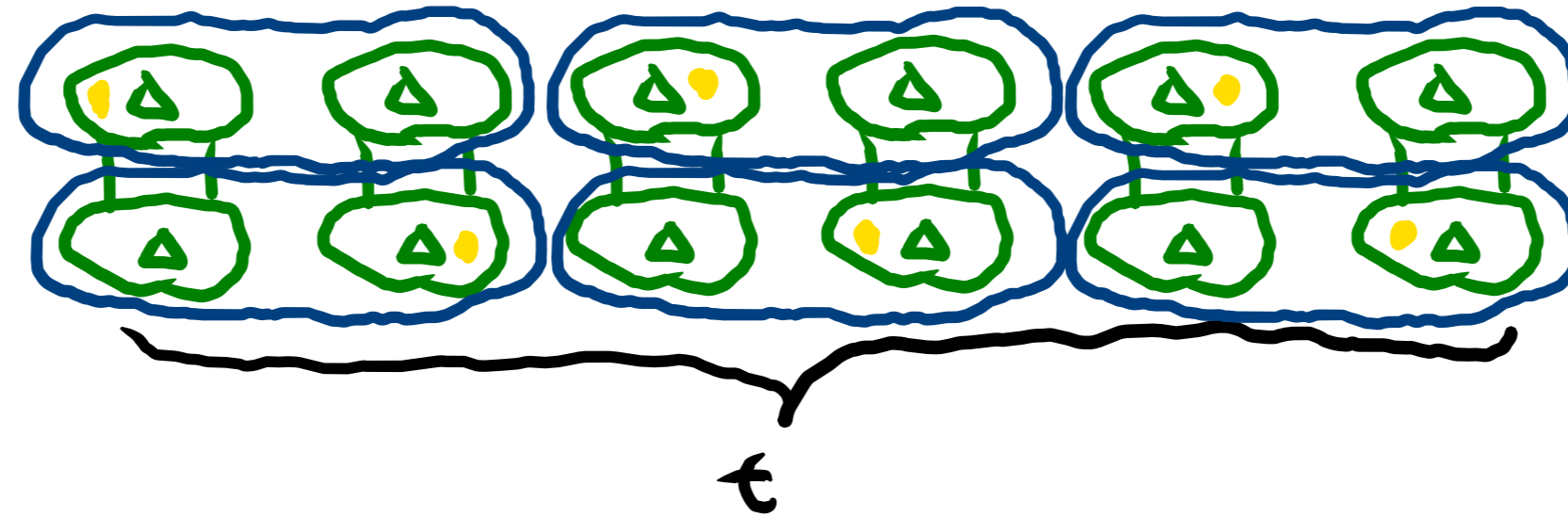
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Their ITs are 'frozen'

They form disconnected components in reconfiguration graph

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For $t = 2\Delta + 1$ and a t -vertex-partitioned graph of max deg Δ , the ITs are all connected by sequence of one-vertex mods

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Induction and a potential minimisation argument

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Wdowinski, 2025+: topological pf via Sperner-type lemma

Haxell 2026+: algorithm for finding sequences

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$$t = \Delta + o(\Delta)?$$

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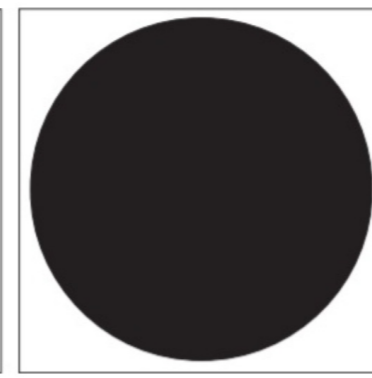
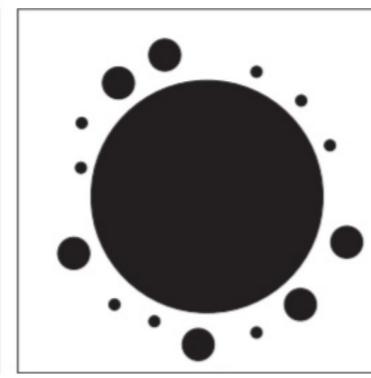
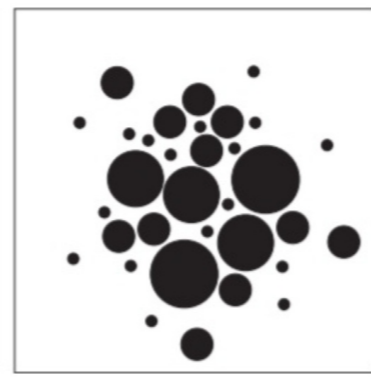
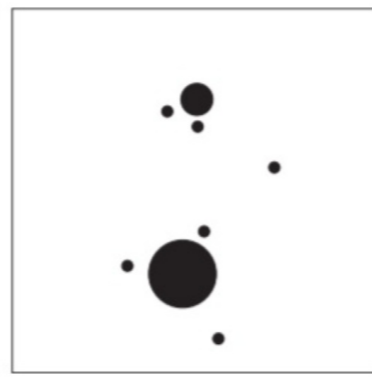
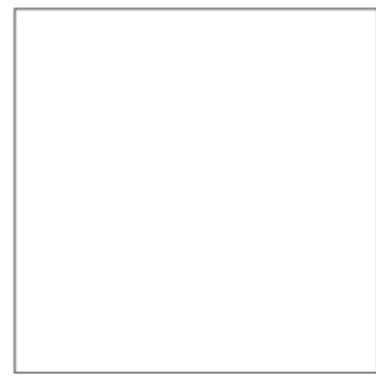
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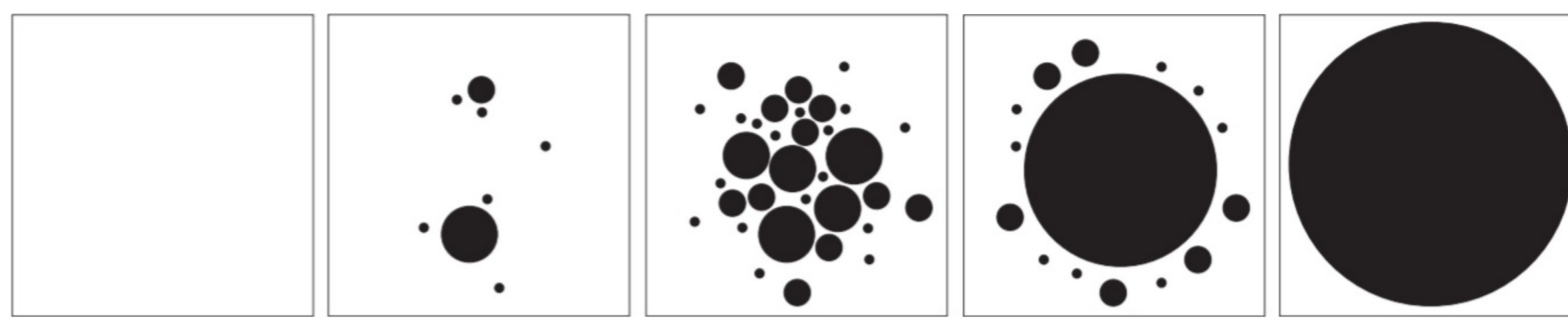
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Question 2: Is there sufficient t for rapid mixing?

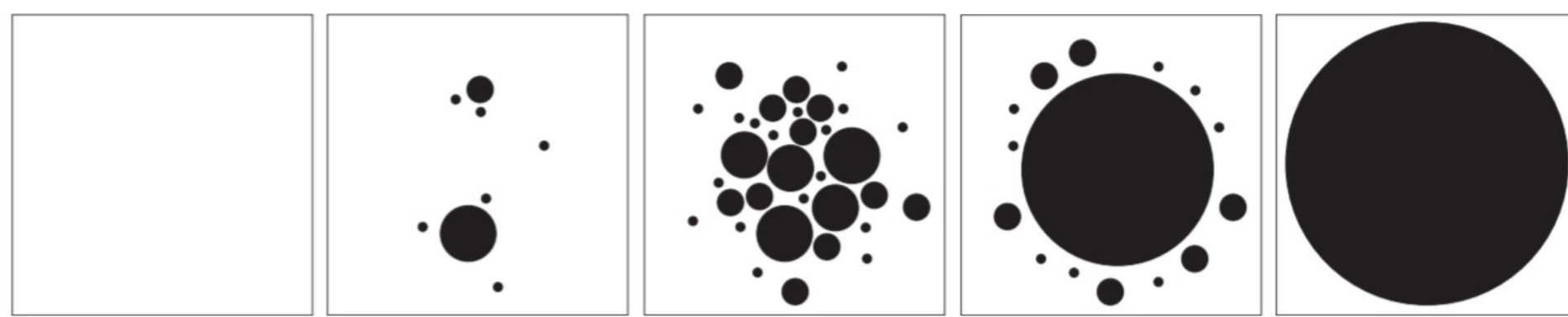




Conjecture (Buys, vd Heuvel, Kang 2025+)

Any triangle-free graph G on n vertices of average degree d

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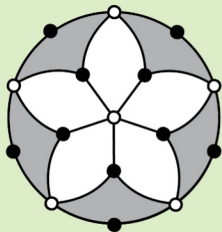
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