

MATHEMATICS

COMPACTIFICATIONS IN WHICH THE COLLECTION
OF MULTIPLE POINTS IS LINDELÖF SEMI-STRATIFIABLE

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ABSTRACT

We show that every compactification of a topological space in which the collection of multiple points is Lindelöf semi-stratifiable is a z -compactification. In particular such a compactification is a Wallman compactification.

KEY WORDS AND PHRASES

Wallman compactification, z -compactification, semi-stratifiable, βX .

1. INTRODUCTION

Every Tychonoff space X admits Hausdorff compactifications, obtainable as the ultra-filter space of some normal base on X . These compactifications are called *Wallman compactifications*. Until now the question, raised in [2] and [6], whether all Hausdorff compactifications are Wallman compactifications remains unanswered, although many well known compactifications turned out to be Wallman compactifications ([1], [4], [9], [10], [11], [12]).

In this paper we will show that any Hausdorff compactification of a topological space in which the collection of multiple points is Lindelöf semi-stratifiable is a z -compactification (a compactification obtainable as the ultra-filter space of a normal base consisting of zero-sets of X). This theorem generalizes results obtained by Aarts ([1]), Steiner and Steiner ([9], [11]) and Berney ([4]). Moreover we will show that every Hausdorff compactification of a Lindelöf semi-stratifiable space, in which the collection of multiple points also is Lindelöf semi-stratifiable, is *regular Wallman* (in the sense of Steiner ([12])); such a space is a Wallman compactification of each dense subspace).

Most of the results in this paper are also to be found (in a preliminary form) in the report [7a].

2. WALLMAN COMPACTIFICATIONS

All topological spaces, under discussion, are assumed to be Hausdorff. Let X be a topological space and let \mathcal{S} be a collection of subsets of X . We will write $\vee \cdot \mathcal{S}$ for the family of finite unions of elements of \mathcal{S} and

$\wedge \cdot \mathcal{S}$ for the family of finite intersections of elements of \mathcal{S} . The family $\wedge \cdot \vee \cdot \mathcal{S} = \vee \cdot \wedge \cdot \mathcal{S}$ is closed both under finite unions and intersections; it is called the *ring* generated by \mathcal{S} . We say that \mathcal{S} is *separating* if for each closed subset $F \subset X$ and for each $x \in X \setminus F$ there exist $S_0, S_1 \in \mathcal{S}$ such that $x \in S_0$, $F \subset S_1$ and $S_0 \cap S_1 = \emptyset$. The collection \mathcal{S} is called *normal* if for each $S_0, S_1 \in \mathcal{S}$ with $S_0 \cap S_1 = \emptyset$ there exist $T_0, T_1 \in \mathcal{S}$ such that $S_0 \cap T_1 = \emptyset = T_0 \cap S_1$ and $T_0 \cup T_1 = X$. A *normal base* is a normal separating ring consisting of closed subsets of X . A compactification αX of X is a Wallman compactification iff αX possesses a separating family \mathcal{F} of closed subsets such that for all $F_i \in \mathcal{F}$ ($i = 1, 2, \dots, n; n \in \mathbb{N}$) with $\bigcap_{i=1}^n F_i \neq \emptyset$, we have $\bigcap_{i=1}^n F_i \cap X \neq \emptyset$. (Steiner ([12])). A compactification αX of X is called a *z-compactification* if \mathcal{F} in the above characterization can be chosen in such a way that for all $F \in \mathcal{F}$ the set $F \cap X$ is a zero-set in X . A topological space X is called *strongly \aleph_1 compact* if for each subset A of X with $\text{card}(A) > \aleph_1$ and for each total order $<$ on A there exists a $y \in A$ such that for each open neighbourhood U of y both $U \cap \{x \in A | x < y\}$ and $U \cap \{x \in A | x > y\}$ are non void. It is very easy to show that each separable metric space is strongly \aleph_1 compact using the fact that such a space admits a countable base. More general Creede ([5]) showed that *each Lindelöf semi-stratifiable space is strongly \aleph_1 compact* (a topological space X is *semi-stratifiable* if to each open set $U \subset X$, one can assign a sequence $\{U_n\}_{n=1}^\infty$ of closed subsets of X such that (a) $\bigcup_{n=1}^\infty U_n = U$, (b) $U_n \subset V_n$ whenever $U \subset V$ (where $\{V_n\}_{n=1}^\infty$ is the sequence assigned to V)). Moreover *strongly \aleph_1 compact spaces are hereditarily separable and hereditarily Lindelöf* (Berney ([3])). E. S. Berney has introduced the concept of strongly \aleph_1 compactness in the theory of Wallman compactifications. His techniques turn out to be very powerful and will be used in this paper.

Let αX be a compactification of X and let ξ denote the unique projection map of βX , the Čech-Stone compactification of X , onto αX which on X is the identity. We say that a point $p \in \alpha X \setminus X$ is a *multiple point* of αX if $\xi^{-1}(p)$ consists of more than one point. Let $Z(X)$ denote the ring of zero-sets of X .

LEMMA 2.1: *Let Y be a subspace of βX such that $X \subset Y \subset \beta X$. If $Z_0, Z_1 \in Z(X)$ then $\text{cl}_Y(Z_0) \cap \text{cl}_Y(Z_1) = \text{cl}_Y(Z_0 \cap Z_1)$.*

PROOF: $\text{cl}_{\beta X}(Z_0) \cap \text{cl}_{\beta X}(Z_1) = \text{cl}_{\beta X}(Z_0 \cap Z_1)$. \square

For the remainder of this section, let αX be a compactification of the topological space X and let M denote the set of multiple points of αX .

LEMMA 2.2: *Let $Z \in Z(X)$. If $\partial \text{cl}_{\alpha X}(Z) \cap M = \emptyset$, then $\xi^{-1}(\text{cl}_{\alpha X}(Z)) = \text{cl}_{\beta X}(Z)$.*

PROOF: Assume there exists an

$$x_0 \in \xi^{-1}(\text{cl}_{\alpha X}(Z)) \setminus \text{cl}_{\beta X}(Z).$$

Then $\xi(x_0) \in \text{cl}_{\alpha X}(Z) \cap M$ and consequently $\xi(x_0) \in \text{int}_{\alpha X} \text{cl}_{\alpha X}(Z)$ since $\delta \text{cl}_{\alpha X}(Z) \cap M = \emptyset$. Therefore

$$x_0 \in \xi^{-1}(\text{int}_{\alpha X} \text{cl}_{\alpha X}(Z)) \subset \text{int}_{\beta X} \xi^{-1}(\text{cl}_{\alpha X}(Z)).$$

Let 0 be an open neighbourhood of x_0 in βX . Then

$$0 \cap \text{int}_{\beta X} \xi^{-1}(\text{cl}_{\alpha X}(Z)) \neq \emptyset$$

so that

$$0 \cap \text{int}_{\beta X} \xi^{-1}(\text{cl}_{\alpha X}(Z)) \cap X \neq \emptyset.$$

As ξ is the identity on X it follows that $0 \cap Z \neq \emptyset$. Therefore $x_0 \in \text{cl}_{\beta X}(Z)$, which is a contradiction. \square

If $f \in C(\alpha X, I)$ then we will write $U(\delta, f)$ instead of $f^{-1}([0, \delta])$.

LEMMA 2.3: *Let $f \in C(\alpha X, I)$ and assume that M is strongly \aleph_1 compact. Then $\text{card} \{ \delta \in (0, 1) \mid \text{cl}_{\alpha X}(f^{-1}[0, \delta] \cap X) \cap M \neq \text{cl}_{\alpha X}(U(\delta, f)) \cap M \} < \aleph_0$.*

PROOF: Assume to the contrary that

$$\text{card} \{ \delta \in (0, 1) \mid \text{cl}_{\alpha X}(f^{-1}[0, \delta] \cap X) \cap M \neq \text{cl}_{\alpha X}(U(\delta, f)) \cap M \} > \aleph_1.$$

If $\text{cl}_{\alpha X}(U(\delta, f)) \cap M \neq \text{cl}_{\alpha X}(f^{-1}[0, \delta] \cap X) \cap M$ then there exists an

$$a(\delta) \in (\text{cl}_{\alpha X}(f^{-1}[0, \delta] \cap X) \setminus \text{cl}_{\alpha X}(U(\delta, f))) \cap M.$$

Let B be the collection of $a(\delta)$ chosen in this way. Since $f(a(\delta)) = \delta$ it follows that $\delta_1 \neq \delta_2$ implies that $a(\delta_1) \neq a(\delta_2)$ and therefore B is uncountable. Also, a total order " $<$ " is defined on B by putting $a(\delta_0) < a(\delta_1) \Leftrightarrow \delta_0 < \delta_1$. Since $B \subset M$ and since M is strongly \aleph_1 compact it follows that B has a limit point $a(\delta_0)$ from below. Let U be an arbitrary open neighbourhood of $a(\delta_0)$. Since $a(\delta_0)$ is a limit point from below there is an $a(\delta_1) \in U \cap B$ such that $a(\delta_1) < a(\delta_0)$. This shows that $a(\delta_1) \in U(\delta_0, f) \cap U$ and in particular $U \cap U(\delta_0, f) \neq \emptyset$. Hence $a(\delta_0) \in \text{cl}_{\alpha X}(U(\delta_0, f)) \cap M$, which is a contradiction. \square

LEMMA 2.4: *Let $f \in C(\alpha X, I)$ and let U be open in αX . If $A \subset \alpha X$ is strongly \aleph_1 compact then*

$$\text{card} \{ \delta \in (0, 1) \mid \text{cl}_{\alpha X}(U) \cap \text{cl}_{\alpha X}(U(\delta, f)) \cap A \neq \text{cl}_{\alpha X}(U \cap U(\delta, f)) \cap A \} < \aleph_0.$$

PROOF: Use the same technique as in lemma 2.3 or see Berney ([4]). \square

THEOREM 2.6: *Any compactification of a topological space X in which the collection of multiple points is strongly \aleph_1 compact is a z -compactification.*

COROLLARY 2.7: *Any compactification of a topological space in which the collection of multiple points is Lindelöf semi-stratifiable is a z-compactification.*

PROOF: Let M^* denote the closure of M in αX . Then M^* is a compactification of M and since M is separable, the weight of M^* is less than or equal to 2^{\aleph_0} . Let \mathcal{B} be an open basis for the topology of M^* such that $\text{card}(\mathcal{B}) < 2^{\aleph_0}$ and define

$$\mathcal{C} = \{(\text{cl}_{\alpha X}(B_0), \text{cl}_{\alpha X}(B_1)) \mid B_0, B_1 \in \mathcal{B} \text{ and } \text{cl}_{\alpha X}(B_0) \cap \text{cl}_{\alpha X}(B_1) = \emptyset\}.$$

For each $(\text{cl}_{\alpha X}(B_0), \text{cl}_{\alpha X}(B_1)) \in \mathcal{C}$, choose an $f \in C(\alpha X, I)$ such that $f(\text{cl}_{\alpha X}(B_0)) = 0$ and $f(\text{cl}_{\alpha X}(B_1)) = 1$. Let \mathcal{F} denote the set of mappings obtained in this way and assume that \mathcal{F} is most economically well-ordered (denote the order by $<$). Note that $\text{card}(\mathcal{F}) < 2^{\aleph_0}$. By transfinite induction we will construct for each $f \in \mathcal{F}$ a $\delta_f \in (0, 1)$ such that

- (i) $\text{cl}_{\alpha X}(f^{-1}[0, \delta_f] \cap X) \cap M = \text{cl}_{\alpha X}(U(\delta_f, f)) \cap M$,
- (ii) $\text{cl}_{\alpha X}(U(\delta_f, f)) \cap \text{cl}_{\alpha X}(V) \cap M = \text{cl}_{\alpha X}(U(\delta_f, f) \cap V) \cap M$, for all $V \in \wedge \cdot \vee \cdot \{U(\delta_g, g) \mid g < f\}$.

Let f_0 be the first element of \mathcal{F} . Choose $\delta_{f_0} \in (0, 1)$ such that

$$\text{cl}_{\alpha X}(f_0^{-1}[0, \delta_{f_0}] \cap X) \cap M = \text{cl}_{\alpha X}(U(\delta_{f_0}, f_0)) \cap M.$$

Such a choice for δ_{f_0} is possible (lemma 2.3). Next, let $g \in \mathcal{F}$ and assume δ_f to be defined for all $f < g$ ($f \in \mathcal{F}$). Note that $\text{card} \{\delta_f \mid f < g\} < 2^{\aleph_0}$, since " $<$ " is most economical. Define $\mathcal{V} = \wedge \cdot \vee \cdot \{U(\delta_f, f) \mid f < g\}$. Then if $V \in \mathcal{V}$, $\text{card} \{\delta \in (0, 1) \mid \text{cl}_{\alpha X}(U(\delta, g)) \cap \text{cl}_{\alpha X}(V) \cap M \neq \text{cl}_{\alpha X}(U(\delta, g) \cap V) \cap M\} < \aleph_0$ by lemma 2.4 and consequently

$$\text{card} \bigcup_{V \in \mathcal{V}} \{\delta \in (0, 1) \mid \text{cl}_{\alpha X}(U(\delta, g)) \cap \text{cl}_{\alpha X}(V) \cap M \neq \text{cl}_{\alpha X}(U(\delta, g) \cap V) \cap M\} < 2^{\aleph_0}.$$

From lemma 2.3 it now follows that there exists a $\delta_0 \in (0, 1)$ such that for all

$$V \in \mathcal{V} : \text{cl}_{\alpha X}(U(\delta_0, g)) \cap \text{cl}_{\alpha X}(V) \cap M = \text{cl}_{\alpha X}(U(\delta_0, g) \cap V) \cap M$$

and $\text{cl}_{\alpha X}(g^{-1}[0, \delta_0] \cap X) \cap M = \text{cl}_{\alpha X}(U(\delta_0, g)) \cap M$. Define $\delta_g = \delta_0$. This completes the construction of the δ_f ($f \in \mathcal{F}$). Now define for each $f \in \mathcal{F}$ $H_f = f^{-1}[0, \delta_f] \cap X$. Notice that $H_f \in Z(X)$ for all $f \in \mathcal{F}$. Finally define $\mathcal{H} = \{H_f \mid f \in \mathcal{F}\}$ and

$$\mathcal{L} = \{Z \in Z(X) \mid \text{cl}_{\alpha X}(Z) \cap M^* = \emptyset \text{ or } M^* \subset \text{int}_{\alpha X} \text{cl}_{\alpha X}(Z)\} \cup \mathcal{H}.$$

Using the compactness of αX it is easy to show that

$$\wedge \cdot \vee \cdot \{\text{cl}_{\alpha X}(L) \mid L \in \mathcal{L}\}$$

is a separating ring. We will show that for each finite number of elements $L_0, L_1, \dots, L_n \in \mathcal{L}$ the equality

$$(*) \quad \text{cl}_{\alpha X} \left(\bigcap_{i=0}^n L_i \right) = \bigcap_{i=0}^n \text{cl}_{\alpha X} (L_i)$$

holds, which then proves our theorem.

If $L_i \notin \mathcal{H}$ ($i=0, 1, \dots, n$) then apply lemma 2.2 and use the analogous equality

$$(**) \quad \text{cl}_{\beta X} \left(\bigcap_{i=0}^n L_i \right) = \bigcap_{i=0}^n \text{cl}_{\beta X} (L_i)$$

in βX . Note that equality (**) holds because $L_i \in Z(X)$ ($i=0, 1, \dots, n$). So it suffices to prove equality (*) in case $L_1, L_2, \dots, L_n \in \mathcal{H}$ and $L_0 \notin \mathcal{H}$ (if all $L_i \in \mathcal{H}$ then enlarge $\{L_0, L_1, \dots, L_n\}$ with $L_{n+1} = X$ and renumber them). Suppose that equality (*) does not hold; then there exists an

$$x_0 \in \bigcap_{i=0}^n \text{cl}_{\alpha X} (L_i) \setminus \text{cl}_{\alpha X} \left(\bigcap_{i=0}^n L_i \right).$$

We have to consider two cases:

case 1: $\text{cl}_{\alpha X} (L_0) \cap M^* = \emptyset$.

Since

$$x_0 \in \bigcap_{i=0}^n \text{cl}_{\alpha X} (L_i) \subset \text{cl}_{\alpha X} (L_0)$$

it follows that $x_0 \notin M^*$. Let $Y = \alpha X \setminus M$. Notice that Y is homeomorphic to $\xi^{-1}(Y)$. As

$$\begin{aligned} x_0 \in \bigcap_{i=0}^n \text{cl}_{\alpha X} (L_i) \cap Y &= \bigcap_{i=0}^n \text{cl}_Y (L_i) \quad (\text{lemma 2.1}) \\ &= \text{cl}_{\alpha X} \left(\bigcap_{i=0}^n L_i \right) \cap Y \subset \text{cl}_{\alpha X} \left(\bigcap_{i=0}^n L_i \right), \end{aligned}$$

this is a contradiction.

case 2: $M^* \subset \text{int}_{\alpha X} \text{cl}_{\alpha X} (L_0)$.

Let $L_i = f_i^{-1}[0, \delta_{f_i}] \cap X$ ($i=1, 2, \dots, n$).

If $x_0 \notin M$ then use the same technique as in case 1 in order to derive a contradiction. Next, suppose $x_0 \in M$; then

$$x_0 \in \bigcap_{i=1}^n \text{cl}_{\alpha X} (f_i^{-1}[0, \delta_{f_i}] \cap X) \cap \text{cl}_{\alpha X} (L_0) \cap M$$

and consequently ((i))

$$\begin{aligned}
 x_0 \in \bigcap_{i=1}^n \text{cl}_{\alpha X} (U(\delta_{f_i}, f_i)) \cap \text{cl}_{\alpha X} (L_0) \cap M &= \quad \text{(ii)} \\
 &= \text{cl}_{\alpha X} \left(\bigcap_{i=1}^n U(\delta_{f_i}, f_i) \right) \cap \text{cl}_{\alpha X} (L_0) \cap M = \\
 &= \text{cl}_{\alpha X} \left(\bigcap_{i=1}^n U(\delta_{f_i}, f_i) \right) \cap \text{int}_{\alpha X} \text{cl}_{\alpha X} (L_0) \cap M = \\
 &\subset \text{cl}_{\alpha X} \left(\bigcap_{i=1}^n U(\delta_{f_i}, f_i) \cap \text{int}_{\alpha X} \text{cl}_{\alpha X} (L_0) \right) \cap M = \\
 &= \text{cl}_{\alpha X} \left(\bigcap_{i=1}^n U(\delta_{f_i}, f_i) \cap \text{int}_{\alpha X} \text{cl}_{\alpha X} (L_0) \cap X \right) \cap M \subset \\
 &\subset \text{cl}_{\alpha X} \left(\bigcap_{i=0}^n L_i \right) \cap M \subset \\
 &\subset \text{cl}_{\alpha X} \left(\bigcap_{i=0}^n L_i \right),
 \end{aligned}$$

which is a contradiction. \square

Since separable metric spaces and countable spaces are Lindelöf semi-stratifiable we have the following corollaries:

COROLLARY 2.8 ([1], [9]): *Every metric compactification is a Wallman compactification.*

COROLLARY 2.9 ([11]): *Every countable multiple point compactification is a z -compactification.*

3. REGULAR WALLMAN SPACES

Let X be a topological space which is strongly \aleph_1 compact and let αX be a compactification of X such that the collection of multiple points of αX is also strongly \aleph_1 compact. We will show that X is regular Wallman (a topological space is called *regular Wallman* if it is compact and possesses a separating ring consisting of regular closed sets. It is known that a regular Wallman space is a Wallman compactification of each dense subspace ([12])). If $B \subset X$, let \bar{B} denote the closure of B in X . We need a simple lemma.

LEMMA 3.1: *Let U and V be open subsets of αX such that*

- (i) $\overline{U \cap X} \cap \overline{V \cap X} = \overline{U \cap V \cap X}$
- (ii) $\text{cl}_{\alpha X} (U) \cap \text{cl}_{\alpha X} (V) \cap M = \text{cl}_{\alpha X} (U \cap V) \cap M$

then also

$$\text{cl}_{\alpha X} (U) \cap \text{cl}_{\alpha X} (V) = \text{cl}_{\alpha X} (U \cap V).$$

PROOF: Suppose to the contrary there exists an

$$x_0 \in (\text{cl}_{\alpha X}(U) \cap \text{cl}_{\alpha X}(V)) \setminus \text{cl}_{\alpha X}(U \cap V).$$

Let $Y = \alpha X \setminus M$. Since X is hereditarily Lindelöf, every closed subset of X is a G_δ and consequently

$$\text{cl}_{\beta X}(\overline{U \cap X}) \cap \text{cl}_{\beta X}(\overline{V \cap X}) = \text{cl}_{\beta X}(\overline{U \cap X \cap V \cap X}) = \text{cl}_{\beta X}(\overline{U \cap V \cap X}).$$

Hence it follows that

$$\text{cl}_Y(U \cap X) \cap \text{cl}_Y(V \cap X) = \text{cl}_Y(U \cap V \cap X)$$

and therefore $x_0 \notin Y$. However it is clear that $x_0 \notin M$. Contradiction. \square

THEOREM 3.2: *Any compactification of a strongly \aleph_1 compact space in which the collection of multiple points is also strongly \aleph_1 compact, is regular Wallman.*

COROLLARY 3.3: *Any compactification of a Lindelöf semi-stratifiable space in which the collection of multiple points is also Lindelöf semi-stratifiable, is regular Wallman.*

PROOF: Since X is separable it follows that the weight of αX is less than or equal to 2^{\aleph_0} . Let \mathcal{B} be an open basis for αX such that $\text{card}(\mathcal{B}) < 2^{\aleph_0}$. Define

$$\mathcal{C} = \{(\text{cl}_{\alpha X}(B_0), \text{cl}_{\alpha X}(B_1)) \mid B_0, B_1 \in \mathcal{B} \text{ and } \text{cl}_{\alpha X}(B_0) \cap \text{cl}_{\alpha X}(B_1) = \emptyset\}.$$

For each $(\text{cl}_{\alpha X}(B_0), \text{cl}_{\alpha X}(B_1)) \in \mathcal{C}$ choose an $f \in C(\alpha X, I)$ such that

$$f(\text{cl}_{\alpha X}(B_0)) = 0 \text{ and } f(\text{cl}_{\alpha X}(B_1)) = 1.$$

Let \mathcal{F} denote the set of mappings obtained in this way and assume that \mathcal{F} is most economically well-ordered (denote the order by $<$). Note that $\text{card}(\mathcal{F}) < 2^{\aleph_0}$. By transfinite induction we can construct, in a similar manner as in theorem 2.6, for each $f \in \mathcal{F}$ a $\delta_f \in (0, 1)$ such that

- (i) $\text{cl}_{\alpha X}(U(\delta_f, f)) \cap \text{cl}_{\alpha X}(V) \cap M = \text{cl}_{\alpha X}(U(\delta_f, f) \cap V) \cap M$
for all $V \in \wedge \cdot \vee \cdot \{U(\delta_g, g) \mid g < f\}$.
- (ii) $\overline{U(\delta_f, f) \cap V \cap X} = \overline{U(\delta_f, f) \cap X} \cap \overline{V \cap X}$
for all $V \in \wedge \cdot \vee \cdot \{U(\delta_g, g) \mid g < f\}$.

Here we use lemma 2.4 in case $A = X$. From lemma 3.1 we deduce that

$$\wedge \cdot \vee \cdot \{\text{cl}_{\alpha X}(U(\delta_f, f)) \mid f \in \mathcal{F}\}$$

is a separating ring of regular closed sets in αX . \square

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