

## ON NOWHERE DENSE CCC $P$ -SETS

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**ABSTRACT.** We prove that no compact Hausdorff space can be covered by nowhere dense ccc  $P$ -sets. As an application it follows that if  $X$  is a compact Hausdorff space with a nonisolated  $P$ -point then  $X \times K$  is not homogeneous for any compact ccc space  $K$ .

**1. Introduction.** All spaces under discussion are Tychonoff.

A subset  $B$  of a space  $X$  is called a  $P$ -set whenever the intersection of countably many neighborhoods of  $B$  is again a neighborhood of  $B$ . It is known that no compact space of  $\pi$ -weight  $\omega_1$  can be covered by nowhere dense  $P$ -sets [KvMM]. In addition, there is a compact space of weight  $\omega_2$  which can be covered by nowhere dense  $P$ -sets [KvMM]. In this note we will show that no compact space can be covered by nowhere dense ccc  $P$ -sets. As a consequence it follows that if  $X$  is a compact space with a nonisolated  $P$ -point then  $X \times K$  is not homogeneous for any compact ccc space  $K$ .

**2. Independent matrices.** Let  $X$  be a space. An indexed family  $\{A_j^i: i \in I, j \in J\}$  is called an  $I$  by  $J$  independent matrix for  $X$  provided that

- (a) each  $A_j^i$  is an open  $F_\sigma$ ;
- (b) if  $i \in I$  and  $j_0, j_1$  are distinct elements of  $J$  then  $A_{j_0}^i \cap A_{j_1}^i = \emptyset$ ;
- (c) if  $F \subset I$  is finite and  $\varphi: F \rightarrow J$  then  $\bigcap_{i \in F} A_{\varphi(i)}^i \neq \emptyset$ .

This concept, in a slightly different form, is due to Kunen.

In [vM<sub>1</sub>] it was shown that each compact space in which each nonempty  $G_\delta$  has nonempty interior contains an  $\omega_1$  by  $\omega_1$  independent matrix. We need a generalization of this result. As usual, a space is called ccc if each pairwise disjoint collection of nonempty open sets is countable. A space is nowhere ccc if no point has a ccc neighborhood.

**2.1. THEOREM.** *Suppose that  $X$  is nowhere ccc. Then  $X$  contains an  $\omega_1$  by  $\omega_1$  independent matrix.*

**PROOF.** For each finite subset  $F \subset \omega_1$  (possibly empty) we will define an open  $F_\sigma$ ,  $C_F \subset X$ , such that

- (i)  $C_{F \cup \{\alpha\}} \subset C_F$  for all  $\max F < \alpha < \omega_1$ ;
  - (ii)  $C_{F \cup \{\alpha\}} \cap C_{F \cup \{\beta\}} = \emptyset$  if  $\max F < \alpha < \beta < \omega_1$
- (as usual, an ordinal is the set of smaller ordinals; we define  $\max \emptyset = -1$ ).

We will induct on the cardinality of  $F$ . Define  $C_\emptyset = X$ .

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Suppose that we have defined  $C_F$  for all  $F \subset \omega_1$  of cardinality  $n$ . Let  $\{C_{F \cup \{\alpha\}}: \max F < \alpha < \omega_1\}$  be a "faithfully indexed" collection of pairwise disjoint non-empty open  $F_\sigma$ 's of  $C_F$ . This completes the induction.

FACT.  $C_F \cap C_G \neq \emptyset \rightarrow (F \subset G) \vee (G \subset F)$ .

We induct on the cardinality of  $|F| + |G|$ . If  $|F| + |G| = 1$  then there is nothing to prove. Suppose that we have proved the Fact for all finite sets  $F, G \subset \omega_1$  satisfying  $|F| + |G| \leq n - 1$ . Now take finite sets  $S, T \subset \omega_1$  so that  $|S| + |T| \leq n$ . Define  $S' = S - \{\max S\}$ . By (i) we have that  $C_S \subset C_{S'}$  and consequently  $C_{S'} \cap C_T \neq \emptyset$ . By induction hypothesis,  $S' \subset T$  or  $T \subset S'$ . If  $T \subset S'$  then we are done, so we may assume that  $S' \subset T$ . Define  $T' = T - \{\max T\}$ . By precisely the same argumentation we may conclude that  $T' \subset S$ . Then clearly

$$(S \cap T) \cup \{\max S\} = S \quad \text{and} \quad (S \cap T) \cup \{\max T\} = T.$$

If  $\max S \in T$  or  $\max T \in S$  then there is nothing to prove. So assume that this is not true. Then by (ii) we have that  $C_S \cap C_T = \emptyset$ , which is a contradiction.

Let  $f: \omega_1 \rightarrow \omega_1 \times \omega_1$  be onto and one-to-one. Define  $U_\beta^\alpha = \bigcup \{C_{F \cup \{f^{-1}(\langle \alpha, \beta \rangle)\}}: \max F < f^{-1}(\langle \alpha, \beta \rangle) \text{ and } f[F] \cap (\{\alpha\} \times \omega_1) = \emptyset\}$ . Notice that  $C_{\{f^{-1}(\langle \alpha, \beta \rangle)\}} \subset U_\beta^\alpha$ . We claim that  $\{U_\beta^\alpha: \alpha, \beta < \omega_1\}$  is an  $\omega_1$  by  $\omega_1$  independent matrix for  $X$ . First observe that each  $U_\beta^\alpha$  is an open  $F_\sigma$  being the union of at most countably many open  $F_\sigma$ 's.

Now, let us assume that  $U_\beta^\alpha \cap U_\gamma^\alpha \neq \emptyset$  for some  $\beta \neq \gamma$ . Without loss of generality assume that  $f^{-1}(\langle \alpha, \beta \rangle) < f^{-1}(\langle \alpha, \gamma \rangle)$ . There are finite sets  $F_0, F_1 \subset \omega_1$  so that

- (a)  $C_{F_0 \cup \{f^{-1}(\langle \alpha, \beta \rangle)\}} \cap C_{F_1 \cup \{f^{-1}(\langle \alpha, \gamma \rangle)\}} \neq \emptyset$ ;
- (b)  $\max F_0 < f^{-1}(\langle \alpha, \beta \rangle)$  and  $f[F_0] \cap (\{\alpha\} \times \omega_1) = \emptyset$ ;
- (c)  $\max F_1 < f^{-1}(\langle \alpha, \gamma \rangle)$  and  $f[F_1] \cap (\{\alpha\} \times \omega_1) = \emptyset$ .

Since  $f^{-1}(\langle \alpha, \gamma \rangle) \notin F_0 \cup \{f^{-1}(\langle \alpha, \beta \rangle)\}$ , by the Fact,  $F_0 \cup \{f^{-1}(\langle \alpha, \beta \rangle)\} \subset F_1 \cup \{f^{-1}(\langle \alpha, \gamma \rangle)\}$ . Therefore  $f^{-1}(\langle \alpha, \beta \rangle) \in F_1$ , since  $f^{-1}(\langle \alpha, \beta \rangle) \neq f^{-1}(\langle \alpha, \gamma \rangle)$ . However, this contradicts (c).

Take  $\alpha_1, \dots, \alpha_n < \omega_1$  so that  $\alpha_i \neq \alpha_j$  for  $i \neq j$ . In addition, take  $\beta_i < \omega_1$  ( $i \leq n$ ) arbitrarily. Put  $\gamma_i = f^{-1}(\langle \alpha_i, \beta_i \rangle)$  and without loss of generality assume that  $\gamma_1 < \gamma_2 < \dots < \gamma_n$ . Then  $C_{\{\gamma_1, \dots, \gamma_n\}} \subset U_{\beta_1}^{\alpha_1} \cap \dots \cap U_{\beta_n}^{\alpha_n}$ , and since  $C_{\{\gamma_1, \dots, \gamma_n\}} \neq \emptyset$  we find that  $U_{\beta_1}^{\alpha_1} \cap \dots \cap U_{\beta_n}^{\alpha_n} \neq \emptyset$ .  $\square$

**3. The first application.** A point  $x \in X$  is called a *weak P-point* provided that  $x \notin \bar{F}$  for each countable  $F \subset X - \{x\}$ . Kunen [K] proved that there is a weak P-point in  $\omega^*$  ( $= \beta\omega \setminus \omega$ ). Subsequently van Mill [vM<sub>1</sub>] proved that there is a weak P-point in each compact  $F$ -space of weight  $2^\omega$  in which each nonempty  $G_\delta$  has nonempty interior (an  $F$ -space is a space in which each cozero set is  $C^*$ -embedded). Bell [B] has since shown that the weight condition is superfluous. Using Theorem 2.1 by precisely the same technique as in [vM<sub>1</sub>] we obtain the following generalization.

3.1. THEOREM. *Each compact nowhere ccc F-space contains a weak P-point.*

**4. The main result.** In this section we derive our main result. The techniques of proof used in the following lemma is the same as in [vM<sub>1</sub>], [vM<sub>2</sub>].

4.1. LEMMA. *No compact nowhere ccc space can be covered by ccc  $P$ -sets.*

PROOF. Let  $X$  be a compact nowhere ccc space. Clearly  $X$  is not finite, so there is a collection  $\{V_n : n < \omega\}$  of (faithfully indexed) pairwise disjoint nonempty open  $F_\sigma$  subsets of  $X$ . For each  $n < \omega$  let  $\{U_\alpha^i(n) : \alpha < \omega_1, i < \omega\}$  be an  $\omega_1$  by  $\omega$  independent matrix for  $V_n$  (Theorem 1.1). Notice that each  $U_\alpha^i(n)$  is an open  $F_\sigma$  of  $X$ . Put  $\mathcal{F} = \{A \subset X : \forall n < \omega \forall i \leq n \exists \alpha < \omega_1 \text{ such that } U_\alpha^i(n) \subset A\}$ . It is clear that  $\mathcal{F}$  has the finite intersection property, so there is an  $x \in \bigcap_{F \in \mathcal{F}} \bar{F}$ . We claim that  $x \notin K$  for each ccc  $P$ -set  $K$ . Indeed, let  $K \subset X$  be any ccc  $P$ -set. Since  $K$  is ccc for each  $n < \omega$  and for each  $i \leq n$  there is an  $\alpha(n, i) < \omega_1$  so that

$$U_{\alpha(n,i)}^i(n) \cap K = \emptyset.$$

Put  $F = \bigcup_{n < \omega} \bigcup_{i < n} U_{\alpha(n,i)}^i(n)$ . Then  $F \in \mathcal{F}$  and  $F$  is an open  $F_\sigma$  being the union of countably many open  $F_\sigma$ 's. Also,  $F \cap K = \emptyset$ . Since  $K$  is a  $P$ -set, it also follows that  $\bar{F} \cap K = \emptyset$ . We conclude that  $x \notin K$ .  $\square$

We now come to our main result.

4.2. THEOREM. *No compact space can be covered by ccc nowhere dense  $P$ -sets.*

PROOF. Let  $X$  be a compact space and suppose that  $X$  can be covered by ccc nowhere dense  $P$ -sets. Let  $U \subset X$  be nonempty and open and suppose that  $U$  is ccc. Let  $B$  be a nowhere dense  $P$ -set meeting  $U$ . Since  $B \cap U$  is nowhere dense in  $U$  the fact that  $U$  is ccc implies that there is a countable family  $\mathcal{G}$  of compact subsets of  $U - B$  so that  $\bigcup \mathcal{G}$  is dense in  $U$ . However, this is impossible since  $B$  is a  $P$ -set. So  $U$  is not ccc. But now the assumption that  $X$  can be covered by ccc nowhere dense  $P$ -sets contradicts Lemma 4.1.  $\square$

5. **Another application.** A space  $X$  is called *homogeneous* provided that for all  $x, y \in X$  there is an autohomeomorphism  $\varphi$  from  $X$  onto  $X$  mapping  $x$  onto  $y$ . It is well known that although  $X$  is not homogeneous the product  $X \times K$  can be homogeneous for certain  $K$  (for example, let  $X$  be a convergent sequence and let  $K$  be the Cantor set). This makes the following straightforward corollary to Theorem 4.2 of some interest.

5.1. COROLLARY. *Let  $X$  be a compact space having a nonisolated  $P$ -point. Then  $X \times K$  is not homogeneous for any compact ccc nonempty space  $K$ .*

PROOF. Let  $x$  be a nonisolated  $P$ -point of  $X$ . Then  $\{x\} \times K$  is a ccc nowhere dense  $P$ -set of  $X \times K$ . Take any  $\langle x, y \rangle \in \{x\} \times K$ . By Theorem 4.2 there is a point  $\langle p, q \rangle \in X \times K$  so that  $\langle p, q \rangle \notin E$  for any nowhere dense ccc  $P$ -set  $E \subset X \times K$ . It is clear that no autohomeomorphism of  $X \times K$  can map  $\langle x, y \rangle$  onto  $\langle p, q \rangle$ .  $\square$

6. **Questions.** Since there is a compact space  $X$  of weight  $\omega_2$  which can be covered by nowhere dense  $P$ -sets (which all have to have cellularity at most  $\omega_2$ ), Theorem 4.2 suggests the following question:

6.1. QUESTION. *Is there a compact space  $X$  which can be covered by nowhere dense  $P$ -sets of cellularity at most  $\omega_1$ ?*

Since Frankiewicz and Mills [FM] have shown that  $\text{Con}(\text{ZFC} + \omega^*$  can be covered by nowhere dense  $P$ -sets) the question naturally arises whether it is consistent that  $\omega^*$  can be covered by nowhere dense  $P$ -sets of cellularity at most  $\omega_1$ . Let us answer this question.

6.2. PROPOSITION.  $\omega^*$  cannot be covered by nowhere dense  $P$ -sets of cellularity at most  $\omega_1$ .

PROOF. Under CH the result follows from [KvMM]. So assume  $\neg\text{CH}$ . Kunen [K] proved that (in ZFC) there is a  $2^\omega$  by  $2^\omega$  independent matrix of clopen subsets of  $\omega^*$ . Since  $\omega_1 < 2^\omega$  we can use the same proof as in Lemma 4.1 to get a point  $x \in \omega^*$  so that  $x \notin B$  for any  $P$ -set  $B$  of cellularity at most  $\omega_1$ .  $\square$

Let us finally notice that Proposition 5.1 suggests the following question.

6.3. QUESTION. Let  $X$  be a compact space having a nonisolated  $P$ -point and let  $K$  be compact. Is  $X \times K$  not homogeneous?

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