

# Hints for Exercises

## Chapter 4

**98** Show: every initial is critical for addition, multiplication and exponentiation.

*Hint.* For all  $\beta, \gamma < \omega_\alpha$ , if  $\alpha > 0$ , then for some  $\alpha' < \alpha$ ,  $\beta, \gamma \leq_1 \omega_{\alpha'}$ . Therefore it suffices to show that for all initials  $\omega_{\alpha'}$  and all  $\beta, \gamma \leq_1 \omega_{\alpha'}$ ,  $\beta + \gamma$ ,  $\beta \cdot \gamma$  and  $\beta^\gamma \leq_1 \omega_{\alpha'}$ . This follows by induction on  $\gamma$ , using Corollary 4.35.

**99** Show:

1.  $<$  well-orders  $\text{OR} \times \text{OR}$ ,
2. every product  $\gamma \times \gamma$  is an initial segment  
(if  $(\alpha, \beta) < (\alpha', \beta') \in \gamma \times \gamma$ , then  $(\alpha, \beta) \in \gamma \times \gamma$ ),
3. the product  $\omega \times \omega$  is well-ordered in type  $\omega$ ,
4. every product  $\omega_\alpha \times \omega_\alpha$  ( $\alpha > 0$ ) is well-ordered in type  $\omega_\alpha$ .

*Hint*

1. Let  $K \subset \text{OR} \times \text{OR}$  be a class. In order, pick  $\gamma = \max(\alpha, \beta)$ ,  $\alpha$  and  $\beta$  using the wellfoundedness of  $\text{OR}$ , such that  $(\alpha, \beta)$  is  $<$ -minimal in  $K$ .
- 3 Use Theorem 4.13 to show the existence of a unique order-preserving map  $\Gamma : \text{OR} \times \text{OR} \Rightarrow \text{OR}$ , and use that to show that if  $\Gamma(\omega, \omega) > \omega$ , then  $\Gamma(n, m) = \omega$  for some finite  $n, m$ . Derive a contradiction.
- 4 Show that if equality doesn't hold for  $\omega_\alpha$ , then  $\Gamma(\beta, \gamma) = \omega_\alpha$  for some  $\beta, \gamma \leq_1 \omega_{\alpha'} < \omega_\alpha$ , and apply induction on  $\alpha$ .  $\square$

## Chapter 5

### 101

1. Assume AC. Prove DC: if the set  $A$  is non-empty and the relation  $R \subset A^2$  is such that  $\forall a \in A \exists b \in A (aRb)$ , then a function  $f : \omega \rightarrow A$  exists such that for all  $n \in \omega$ ,  $f(n)Rf(n+1)$ .
2. Show the version of DC where  $A$  can be a proper class and  $R \subset A^2$  is also provable from AC. (Use Foundation.)
3. Show that a relation  $\prec$  is well-founded (every non-empty set has a  $\prec$ -minimal element) iff there is no function  $f$  on  $\omega$  such that for all  $n \in \omega$ ,  $f(n+1) \prec f(n)$ .

*Hint.*

1. Given a choice function  $j$  for  $\wp(A)$ , define  $f$  recursively.
2. Using the Bottom operator of Definition 4.21, construct a set  $A' \subset A$  satisfying  $\forall a \in A' \exists b \in A' (aRb)$ .

**103** (AC) Show: if  $A$  is infinite, then  $\omega \leq_1 A$ .

Show *without* AC that: if  $A$  is infinite, then  $\omega \leq_1 \wp(\wp(A))$ .

*Hint.*

(i) Define  $f : \omega \rightarrow A$  recursively in such a way that you can prove inductively that for all  $n$ ,  $f|n$  is an injection.

(ii) Show by induction on  $n$  that for all  $n$ ,  $\{B \subset A \mid |B| = n\}$  is nonempty.

**105** Show that the following are equivalent for every two sets  $A$  and  $B$ :

1.  $A <_1 B$ , i.e.: there is no bijection  $A \rightarrow B$  and  $A \leq_1 B$ ,
2. there is no surjection  $A \rightarrow B$  and  $A \leq_1 B$ ,
3. there is no surjection  $A \rightarrow B$  and  $B \neq \emptyset$ .

For which of the six implications do you need AC?

*Hint.*  $2 \Rightarrow 1$  and  $2 \Rightarrow 3$  are trivial. For  $1 \Rightarrow 2$  you can use Theorem 6.6. To prove  $\neg 1 \Rightarrow \neg 3$ , use AC to construct a surjection  $A \rightarrow B$  if  $A \not<_1 B$  and  $B \neq \emptyset$ .

**108** The *Teichmüller-Tukey Lemma* is the following statement.

*Suppose that  $\emptyset \neq A \subset \wp(X)$ , and for all  $Y \subset X$ ,  $Y$  is in  $A$  iff every finite subset of  $Y$  is in  $A$ . Then  $A$  has a ( $\subset$ -) maximal element.*

Show that this is equivalent with Zorn's Lemma.

*Hint.*

Zorn  $\Rightarrow$  TT:

Show that if  $A$  is as in the TT Lemma, then it is closed under unions of  $\subset$ -chains.

TT  $\Rightarrow$  Zorn:

Assume that  $(X, \preceq)$  is a partial ordering, let  $A$  be the set of (by  $\preceq$ ) linearly ordered subsets of  $X$ , and show that  $A$  satisfies the conditions of the TT Lemma.