

# The relationship between Zhedanov's algebra $AW(3)$ and DAHA

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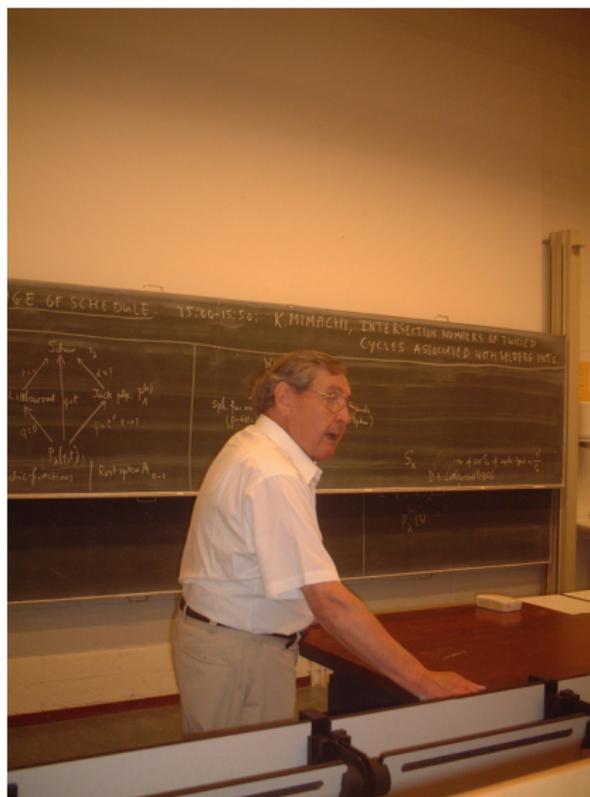
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*Applications of Macdonald polynomials*, BIRS, Banff, Alberta, Canada

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# Picture of Macdonald in action



# Picture of Macdonald relaxing



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# Zhedanov's algebra $AW(3)$

Let  $q \in \mathbb{C}$ ,  $q \neq 0$ ,  $q^m \neq 1$  ( $m = 1, 2, \dots$ ).

$q$ -commutator:  $[X, Y]_q := q^{\frac{1}{2}}XY - q^{-\frac{1}{2}}YX$ .

The algebra  $AW(3)$  has:

- generators  $K_0, K_1, K_2$ ,
- structure constants  $B, C_0, C_1, D_0, D_1$ ,
- relations

$$[K_0, K_1]_q = K_2,$$

$$[K_1, K_2]_q = BK_1 + C_0K_0 + D_0,$$

$$[K_2, K_0]_q = BK_0 + C_1K_1 + D_1.$$

(Zhedanov, 1991)

# Picture of Zhedanov



# Choice of structure constants

Let  $a, b, c, d$  be complex parameters. Assume  $a, b, c, d \neq 0$ ,  $abcd \neq q^{-m}$  ( $m = 0, 1, 2, \dots$ ).

Let  $e_1, e_2, e_3, e_4$  be the elementary symmetric polynomials in  $a, b, c, d$ .

Put for the structure constants:

$$B := (1 - q^{-1})^2(e_3 + qe_1),$$

$$C_0 := (q - q^{-1})^2,$$

$$C_1 := q^{-1}(q - q^{-1})^2 e_4,$$

$$D_0 := -q^{-3}(1 - q)^2(1 + q)(e_4 + qe_2 + q^2),$$

$$D_1 := -q^{-3}(1 - q)^2(1 + q)(e_1 e_4 + qe_3).$$

# Polynomial representation of $AW(3)$

Let  $\mathcal{A}_{\text{sym}}$  be the space of symmetric Laurent polynomials  
 $f[z] = f[z^{-1}]$ .

Let the operator  $D_{\text{sym}}$  act on  $\mathcal{A}_{\text{sym}}$  by

$$(D_{\text{sym}}f)[z] := A[z] (f[qz] - f[z]) \\ + A[z^{-1}] (f[q^{-1}z] - f[z]) + (1 + q^{-1}abcd) f[z],$$

where

$$A[z] := \frac{(1 - az)(1 - bz)(1 - cz)(1 - dz)}{(1 - z^2)(1 - qz^2)}.$$

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where

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The *polynomial representation* of  $AW(3)$  on  $\mathcal{A}_{\text{sym}}$  is given by

$$(K_0f)[z] := (D_{\text{sym}}f)[z], \\ (K_1f)[z] := (z + z^{-1})f[z].$$

# Askey-Wilson polynomials

Define and notate *Askey-Wilson polynomials* by

$$P_n[z] := \text{const. } {}_4\phi_3 \left( \begin{matrix} q^{-n}, q^{n-1}abcd, az, az^{-1} \\ ab, ac, ad \end{matrix} ; q, q \right),$$

monic symmetric Laurent polynomial of degree  $n$ :

$$P_n[z] = P_n[z^{-1}] = z^n + \cdots + z^{-n}.$$

These are orthogonal polynomials (in variable  $x := \frac{1}{2}(z + z^{-1})$ ) under certain conditions for  $q, a, b, c, d$ .

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Askey-Wilson polynomials satisfy

$$D_{\text{sym}} P_n = \lambda_n P_n, \quad \text{where} \quad \lambda_n := q^{-n} + q^{n-1}abcd.$$

# Askey-Wilson polynomials as intertwining kernels

Askey-Wilson polynomials  $P_n[z]$  are the kernel of an intertwining operator between the polynomial representation of  $AW(3)$  on  $\mathcal{A}_{\text{sym}}$  ( $z$ -dependence) and a representation on  $\text{Fun}(\{0, 1, 2, \dots\})$  ( $n$ -dependence):

$$(K_i)_z P_n[z] = (K_i)_n P_n[z].$$

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For  $K_0$  2nd order  $q$ -difference equation:

$$A[z]P_n[qz] + B[z]P_n[z] + C[z]P_n[q^{-1}z] = \lambda_n P_n[z].$$

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For  $K_1$  3-term recurrence relation:

$$(z + z^{-1})P_n[z] = a_n P_{n+1}[z] + b_n P_n[z] + c_n P_{n-1}[z].$$

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For  $K_2$   $q$ -structure relation:

$$\begin{aligned} \tilde{A}[z]P_n[qz] + \tilde{B}[z]P_n[z] + \tilde{C}[z]P_n[q^{-1}z] \\ = \tilde{a}_n P_{n+1}[z] + \tilde{b}_n P_n[z] + \tilde{c}_n P_{n-1}[z]. \end{aligned}$$

# Relations for $AW(3)$ in terms of $K_0, K_1$ only, and the Casimir operator

$AW(3)$  can also be considered as generated by  $K_0, K_1$  with relations

$$(q + q^{-1})K_1K_0K_1 - K_1^2K_0 - K_0K_1^2 = BK_1 + C_0K_0 + D_0,$$

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The **Casimir operator**

$$\begin{aligned} Q := & K_1K_0K_1K_0 - (q^2 + 1 + q^{-2})K_0K_1K_0K_1 \\ & + (q + q^{-1})K_0^2K_1^2 + (q + q^{-1})(C_0K_0^2 + C_1K_1^2) \\ & + B((q + 1 + q^{-1})K_0K_1 + K_1K_0) \\ & + (q + 1 + q^{-1})(D_0K_0 + D_1K_1). \end{aligned}$$

commutes in  $AW(3)$  with the generators  $K_0, K_1$ .

# Value of the Casimir operator in the polynomial representation

In the polynomial representation (which is irreducible for generic values of  $a, b, c, d$ ),  $Q$  becomes a constant scalar:

$$(Qf)[z] = Q_0 f[z],$$

where

$$Q_0 := q^{-4}(1 - q)^2 \left( q^4(e_4 - e_2) + q^3(e_1^2 - e_1 e_3 - 2e_2) - q^2(e_2 e_4 + 2e_4 + e_2) + q(e_3^2 - 2e_2 e_4 - e_1 e_3) + e_4(1 - e_2) \right).$$

# A faithful representation on $\mathcal{A}_{\text{sym}}$

## Definition

$AW(3, Q_0)$  is the algebra  $AW(3)$  with additional relation  $Q = Q_0$ .

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## Theorem (THK, 2007)

$AW(3, Q_0)$  has the elements

$$K_0^n (K_1 K_0)^l K_1^m \quad (m, n = 0, 1, 2, \dots, \quad l = 0, 1)$$

as a linear basis.

The polynomial representation of  $AW(3, Q_0)$  on  $\mathcal{A}_{\text{sym}}$  is faithful.

# Proof of first part of theorem

$AW(3, Q_0)$  is spanned by elements  $K_\alpha = K_{\alpha_1} \cdots K_{\alpha_k}$ , where  $\alpha = (\alpha_1, \dots, \alpha_k)$ ,  $\alpha_i = 0$  or  $1$ . Let  $\rho(\alpha)$  the number of pairs  $(i, j)$  such that  $i < j$ ,  $\alpha_i = 1$ ,  $\alpha_j = 0$ .  $K_\alpha$  has the form

$$K_0^n (K_1 K_0)^l K_1^m \quad (m, n = 0, 1, 2, \dots, \quad l = 0, 1)$$

iff  $\rho(\alpha) = 0$  or  $1$ .

If  $\rho(\alpha) > 1$  then  $K_\alpha$  must have a substring

$$K_1 K_1 K_0 \quad \text{or} \quad K_1 K_0 K_0 \quad \text{or} \quad K_1 K_0 K_1 K_0.$$

By substitution of one of the three relations we see that each such string is a linear combination of elements  $K_\beta$  with  $\rho(\beta) < \rho(\alpha)$ .

# Sketch of proof of second part of theorem

Note that

$$(D_{\text{sym}})^n (Z + Z^{-1})^m P_j[z] = \lambda_{j+m}^n P_{j+m}[z] + \cdots ,$$

$$\begin{aligned} (D_{\text{sym}})^{n-1} (Z + Z^{-1}) D_{\text{sym}} (Z + Z^{-1})^{m-1} P_j[z] \\ = \lambda_{j+m}^{n-1} \lambda_{j+m-1} P_{j+m}[z] + \cdots . \end{aligned}$$

# Sketch of proof of second part of theorem

Note that

$$\begin{aligned}(D_{\text{sym}})^n (Z + Z^{-1})^m P_j[z] &= \lambda_{j+m}^n P_{j+m}[z] + \dots, \\(D_{\text{sym}})^{n-1} (Z + Z^{-1}) D_{\text{sym}} (Z + Z^{-1})^{m-1} P_j[z] \\&= \lambda_{j+m}^{n-1} \lambda_{j+m-1} P_{j+m}[z] + \dots.\end{aligned}$$

If (with some  $a_{m,l}$  or  $b_{m,l} \neq 0$ ) we have:

$$\begin{aligned}\sum_{k=0}^m \sum_l a_{k,l} (D_{\text{sym}})^l (Z + Z^{-1})^k \\+ \sum_{k=1}^m \sum_l b_{k,l} (D_{\text{sym}})^{l-1} (Z + Z^{-1}) D_{\text{sym}} (Z + Z^{-1})^{k-1} = 0\end{aligned}$$

then we have for all  $j$ :

$$\sum_l (a_{m,l} \lambda_{j+m}^l + b_{m,l} \lambda_{j+m}^{l-1} \lambda_{j+m-1}) = 0.$$

Then consider maximal  $l$  for which  $a_{m,l}$  or  $b_{m,l} \neq 0$ , and get a contradiction.

# The center of $AW(3, Q_0)$

By a similar technique we can prove:

## Theorem

*The center of  $AW(3, Q_0)$  consists of the scalars.*

# Double affine Hecke algebra of type $(C_1^\vee, C_1)$

The algebra  $\tilde{\mathfrak{h}}$  has:

- $q, a, b, c, d$  as before,
- generators  $Z, Z^{-1}, T_1, T_0$ ,
- relations

$$(T_1 + ab)(T_1 + 1) = 0,$$

$$(T_0 + q^{-1}cd)(T_0 + 1) = 0,$$

$$(T_1Z + a)(T_1Z + b) = 0,$$

$$(qT_0Z^{-1} + c)(qT_0Z^{-1} + d) = 0.$$

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(Sahi, Noumi & Stokman, Macdonald's 2003 book;  
preceding work by Dunkl, Heckman, Cherednik.)

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preceding work by Dunkl, Heckman, Cherednik.)

$T_1$  and  $T_0$  are invertible.

$$Y := T_1 T_0, \quad D := Y + q^{-1}abcdY^{-1}.$$

# Polynomial representation of $\tilde{\mathfrak{H}}$

Let  $\mathcal{A}$  be the space of Laurent polynomials  $f[z]$ .

The *polynomial representation* of  $\tilde{\mathfrak{H}}$  on  $\mathcal{A}$  is given by

$$(Zf)[z] := z f[z],$$

$$(T_1 f)[z] := -ab f[z] + \frac{(1 - az)(1 - bz)}{1 - z^2} (f[z^{-1}] - f[z]),$$

$$(T_0 f)[z] := -q^{-1} cd f[z] + \frac{(c - z)(d - z)}{q - z^2} (f[z] - f[qz^{-1}]).$$

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Then

$$(T_1 f)[z] = -ab f[z] \quad \text{iff} \quad f[z] = f[z^{-1}],$$

and

$$(Df)[z] = (D_{\text{sym}} f)[z] \quad \text{if} \quad f[z] = f[z^{-1}].$$

# Eigenspaces of $D$

Let

$$\begin{aligned} Q_n[z] &:= a^{-1}b^{-1}z^{-1}(1-az)(1-bz)P_{n-1}[z; qa, qb, c, d \mid q] \\ &= z^n + \cdots + a^{-1}b^{-1}z^{-n}. \end{aligned}$$

Then

$$DQ_n = \lambda_n Q_n, \quad T_1 Q_n = -Q_n.$$

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$D$  has eigenvalues  $\lambda_n$  ( $n = 0, 1, 2, \dots$ ).

$T_1$  has eigenvalues  $-1, -ab$ .

$D$  and  $T_1$  commute.

The eigenspace of  $D$  for  $\lambda_n$  has basis  $P_n, Q_n$  ( $n = 1, 2, \dots$ ) or  $P_0$  ( $n = 0$ ).

# Non-symmetric Askey-Wilson polynomials

Let

$$E_{-n} = \frac{ab}{ab-1} (P_n - Q_n) \quad (n = 1, 2, \dots),$$

$$E_n = \frac{(1 - q^n ab)(1 - q^{n-1} abcd)}{(1 - ab)(1 - q^{2n-1} abcd)} P_n - \frac{ab(1 - q^n)(1 - q^{n-1} cd)}{(1 - ab)(1 - q^{2n-1} abcd)} Q_n \quad (n = 1, 2, \dots).$$

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Then

$$YE_{-n} = q^{-n} E_{-n} \quad (n = 1, 2, \dots),$$

$$YE_n = q^{n-1} abcd E_n \quad (n = 0, 1, 2, \dots).$$

The  $E_n[z]$  ( $n \in \mathbb{Z}$ ) are the **nonsymmetric Askey-Wilson polynomials**. They form a biorthogonal system with respect to a suitable inner product given by a contour integral.

# Relations for $\tilde{\xi}$ in terms of generators $Z^\pm, Y^\pm, T_1$

$$T_1^2 = -(ab + 1)T_1 - ab,$$

$$T_1 Z = Z^{-1} T_1 + (ab + 1)Z^{-1} - (a + b),$$

$$T_1 Z^{-1} = Z T_1 - (ab + 1)Z^{-1} + (a + b),$$

$$T_1 Y = q^{-1}abcdY^{-1}T_1 - (ab + 1)Y + ab(1 + q^{-1}cd),$$

$$T_1 Y^{-1} = q(abcd)^{-1}YT_1 + q(abcd)^{-1}(1 + ab)Y - q(cd)^{-1}(1 + q^{-1}cd),$$

$$YZ = qZY + (1 + ab)cdZ^{-1}Y^{-1}T_1 - (a + b)cdY^{-1}T_1 - (1 + q^{-1}cd)Z^{-1}T_1 \\ - (1 - q)(1 + ab)(1 + q^{-1}cd)Z^{-1} + (c + d)T_1 + (1 - q)(a + b)(1 + q^{-1}cd),$$

$$YZ^{-1} = q^{-1}Z^{-1}Y - q^{-2}(1 + ab)cdZ^{-1}Y^{-1}T_1 + q^{-2}(a + b)cdY^{-1}T_1 \\ + q^{-1}(1 + q^{-1}cd)Z^{-1}T_1 - q^{-1}(c + d)T_1,$$

$$Y^{-1}Z = q^{-1}ZY^{-1} - q(ab)^{-1}(1 + ab)Z^{-1}Y^{-1}T_1 + (ab)^{-1}(a + b)Y^{-1}T_1 \\ + q(abcd)^{-1}(1 + q^{-1}cd)Z^{-1}T_1 + q(abcd)^{-1}(1 - q)(1 + ab)(1 + q^{-1}cd)Z^{-1} \\ - (abcd)^{-1}(c + d)T_1 - (abcd)^{-1}(1 - q)(1 + ab)(c + d),$$

$$Y^{-1}Z^{-1} = qZ^{-1}Y^{-1} + q(ab)^{-1}(1 + ab)Z^{-1}Y^{-1}T_1 - (ab)^{-1}(a + b)Y^{-1}T_1 \\ - q^2(abcd)^{-1}(1 + q^{-1}cd)Z^{-1}T_1 + q(abcd)^{-1}(c + d)T_1.$$

# Faithfulness of the polynomial representation of $\tilde{\mathfrak{h}}$

## Theorem (Sahi)

*The polynomial representation of  $\tilde{\mathfrak{h}}$  is faithful.*

*The elements*

$$Z^m Y^n T_1^i \quad (m, n \in \mathbb{Z}, i = 0, 1)$$

*form a linear basis of  $\tilde{\mathfrak{h}}$ .*

# Central extension of $AW(3)$

Let the algebra  $\widetilde{AW}(3)$  be generated by  $K_0, K_1, T_1$  such that  $T_1$  commutes with  $K_0, K_1$  and with further relations

$$(T_1 + ab)(T_1 + 1) = 0,$$

$$(q + q^{-1})K_1K_0K_1 - K_1^2K_0 - K_0K_1^2 = BK_1 + C_0K_0 + D_0 \\ + EK_1(T_1 + ab) + F_0(T_1 + ab),$$

$$(q + q^{-1})K_0K_1K_0 - K_0^2K_1 - K_1K_0^2 = BK_0 + C_1K_1 + D_1 \\ + EK_0(T_1 + ab) + F_1(T_1 + ab),$$

where

$$E := -q^{-2}(1 - q)^3(c + d),$$

$$F_0 := q^{-3}(1 - q)^3(1 + q)(cd + q),$$

$$F_1 := q^{-3}(1 - q)^3(1 + q)(a + b)cd.$$

# Polynomial representation of $\widetilde{AW}(3)$

The following element  $\widetilde{Q}$  commutes with all elements of  $\widetilde{AW}(3)$ :

$$\begin{aligned}\widetilde{Q} := & (K_1 K_0)^2 - (q^2 + 1 + q^{-2})K_0(K_1 K_0)K_1 \\ & + (q + q^{-1})K_0^2 K_1^2 + (q + q^{-1})(C_0 K_0^2 + C_1 K_1^2) \\ & + (B + E(T_1 + ab))((q + 1 + q^{-1})K_0 K_1 + K_1 K_0) \\ & + (q + 1 + q^{-1})(D_0 + F_0(T_1 + ab))K_0 \\ & + (q + 1 + q^{-1})(D_1 + F_1(T_1 + ab))K_1 + G(T_1 + ab),\end{aligned}$$

where  $G$  can be explicitly specified.

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where  $G$  can be explicitly specified.

$\widetilde{AW}(3)$  acts on  $\mathcal{A}$  such that  $K_0, K_1, T_1$  act as  $D, Z + Z^{-1}, T_1$ , respectively, in the polynomial representation of  $\tilde{\mathfrak{h}}$  on  $\mathcal{A}$ .

This action is called the *polynomial representation* of  $\widetilde{AW}(3)$  on  $\mathcal{A}$ .

# Polynomial representation of $\widetilde{AW}(3)$

The following element  $\tilde{Q}$  commutes with all elements of  $\widetilde{AW}(3)$ :

$$\begin{aligned}\tilde{Q} := & (K_1 K_0)^2 - (q^2 + 1 + q^{-2})K_0(K_1 K_0)K_1 \\ & + (q + q^{-1})K_0^2 K_1^2 + (q + q^{-1})(C_0 K_0^2 + C_1 K_1^2) \\ & + (B + E(T_1 + ab))((q + 1 + q^{-1})K_0 K_1 + K_1 K_0) \\ & + (q + 1 + q^{-1})(D_0 + F_0(T_1 + ab))K_0 \\ & + (q + 1 + q^{-1})(D_1 + F_1(T_1 + ab))K_1 + G(T_1 + ab),\end{aligned}$$

where  $G$  can be explicitly specified.

$\widetilde{AW}(3)$  acts on  $\mathcal{A}$  such that  $K_0, K_1, T_1$  act as  $D, Z + Z^{-1}, T_1$ , respectively, in the polynomial representation of  $\tilde{\mathfrak{h}}$  on  $\mathcal{A}$ .

This action is called the *polynomial representation* of  $\widetilde{AW}(3)$  on  $\mathcal{A}$ .

Then  $\tilde{Q}$  acts as the constant  $Q_0$ .

# A faithful representation on $\mathcal{A}$

## Definition

$\widetilde{AW}(3, Q_0)$  is the algebra  $\widetilde{AW}(3)$  with additional relation  $\widetilde{Q} = Q_0$ .

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## Theorem (THK, 2007)

$\widetilde{AW}(3, Q_0)$  has the elements

$$K_0^n (K_1 K_0)^i K_1^m T_1^j \quad (m, n = 0, 1, 2, \dots, \quad i, j = 0, 1)$$

as a linear basis.

The polynomial representation of  $\widetilde{AW}(3, Q_0)$  on  $\mathcal{A}$  is faithful.

$\widetilde{AW}(3, Q_0)$  has an injective embedding in  $\widetilde{\mathfrak{H}}$ .

# Definition of spherical subalgebra

From now on assume  $ab \neq 1$ . In  $\tilde{\mathfrak{H}}$  we have:

$$\frac{T_1 + 1}{1 - ab} \frac{T_1 + 1}{1 - ab} = \frac{T_1 + 1}{1 - ab}.$$

In the polynomial representation of  $\tilde{\mathfrak{H}}$  we have:

$$(1 - ab)^{-1}(T_1 + 1)f = \begin{cases} 0 & \text{if } T_1 f = -f, \\ f & \text{if } T_1 f = -abf. \end{cases}$$

$P_{\text{sym}} := (1 - ab)^{-1}(T_1 + 1)$  projects  $\mathcal{A}$  onto  $\mathcal{A}_{\text{sym}}$ .

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$P_{\text{sym}} := (1 - ab)^{-1}(T_1 + 1)$  projects  $\mathcal{A}$  onto  $\mathcal{A}_{\text{sym}}$ .

Write

$$S(U) := P_{\text{sym}} U P_{\text{sym}} \quad (U \in \tilde{\mathfrak{h}}).$$

Then

$$S(U) S(V) = S(U P_{\text{sym}} V).$$

The image  $S(\tilde{\mathfrak{h}})$  is a subalgebra of  $\tilde{\mathfrak{h}}$ , the **spherical subalgebra**.

# Polynomial representation of spherical subalgebra

In the (faithful) polynomial representation of  $\tilde{\mathfrak{h}}$  on  $\mathcal{A}$ , the spherical subalgebra restricted to  $\mathcal{A}_{\text{sym}}$  yields a representation of  $S(\tilde{\mathfrak{h}})$  on  $\mathcal{A}_{\text{sym}}$ , which is also faithful. The following diagram is commutative.

$$\begin{array}{ccc} \tilde{\mathfrak{h}} & \longrightarrow & \text{End}(\mathcal{A}) \\ \downarrow & & \downarrow \\ S(\tilde{\mathfrak{h}}) & \longrightarrow & \text{End}(\mathcal{A}_{\text{sym}}) \end{array}$$

$Z_{\tilde{\mathfrak{h}}}(T_1)$ , the centralizer of  $T_1$  in  $\tilde{\mathfrak{h}}$ , is a subalgebra on which  $S$  is an algebra homomorphism:

$$S(UV) = S(UP_{\text{sym}} V) = S(U) S(V) \quad (U, V \in Z_{\tilde{\mathfrak{h}}}(T_1)).$$

Note the embedding  $\widetilde{AW}(3, Q_0) \hookrightarrow Z_{\tilde{\mathfrak{h}}}(T_1)$  with  $K_0 \mapsto Y + q^{-1}abcdY^{-1}$ ,  $K_1 \mapsto Z + Z^{-1}$ ,  $T_1 \mapsto T_1$ .

# $\widetilde{AW}(3, Q_0)$ and $AW(3, Q_0)$

$\widetilde{AW}(3, Q_0)$  generated by  $K_0, K_1, T_1$  such that  $T_1$  commutes with  $K_0, K_1$  and  $(T_1 + ab)(T_1 + 1) = 0$ , and further relations

$$(q + q^{-1})K_1K_0K_1 - K_1^2K_0 - K_0K_1^2 \\ = BK_1 + C_0K_0 + D_0 + EK_1(T_1 + ab) + F_0(T_1 + ab),$$

$$(q + q^{-1})K_0K_1K_0 - K_0^2K_1 - K_1K_0^2 \\ = BK_0 + C_1K_1 + D_1 + EK_0(T_1 + ab) + F_1(T_1 + ab),$$

$$Q_0 = (K_1K_0)^2 - (q^2 + 1 + q^{-2})K_0(K_1K_0)K_1 \\ + (q + q^{-1})K_0^2K_1^2 + (q + q^{-1})(C_0K_0^2 + C_1K_1^2) \\ + (B + E(T_1 + ab))((q + 1 + q^{-1})K_0K_1 + K_1K_0) \\ + (q + 1 + q^{-1})(D_0 + F_0(T_1 + ab))K_0 \\ + (q + 1 + q^{-1})(D_1 + F_1(T_1 + ab))K_1 + G(T_1 + ab).$$

$AW(3, Q_0)$  is  $\widetilde{AW}(3, Q_0)$  with additional relation  $T_1 = -ab$ .

# $AW(3, Q_0)$ mapped onto $S(\widetilde{AW}(3, Q_0))$

$\widetilde{AW}(3, Q_0)$  has basis  $K_0^n (K_1 K_0)^i K_1^m T_1^j$   
( $m, n = 0, 1, 2, \dots, \quad i, j = 0, 1$ ).

$S(\widetilde{AW}(3, Q_0))$  has basis  $(1 - ab)^{-1} K_0^n (K_1 K_0)^i K_1^m (T_1 + 1)$   
( $m, n = 0, 1, 2, \dots \quad i = 0, 1$ ).

$AW(3, Q_0)$  has basis  $K_0^n (K_1 K_0)^i K_1^m$   
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$AW(3, Q_0)$  has basis  $K_0^n (K_1 K_0)^i K_1^m$   
( $m, n = 0, 1, 2, \dots \quad i = 0, 1$ ).

$$U \mapsto (1 - ab)^{-1} U(T_1 + 1): AW(3, Q_0) \rightarrow S(\widetilde{AW}(3, Q_0))$$

is algebra isomorphism

because terms with factor  $T_1 + ab$  in relations for  $\widetilde{AW}(3, Q_0)$   
are killed by factor  $T_1 + 1$  in  $S(\widetilde{AW}(3, Q_0))$ .

# Spherical subalgebra is isomorphic to $AW(3, Q_0)$

## Theorem

$S(\tilde{\mathfrak{h}}) = S(\widetilde{AW(3, Q_0)})$ , so the spherical subalgebra  $S(\tilde{\mathfrak{h}})$  is isomorphic to the algebra  $AW(3, Q_0)$ .

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## Sketch of Proof

$\tilde{\mathfrak{h}}$  has basis  $Z^m Y^n T_1^i$  ( $m, n \in \mathbb{Z}, i = 0, 1$ ).

$S(\tilde{\mathfrak{h}})$  is spanned by  $(T_1 + 1)Z^m Y^n (T_1 + 1)$  ( $m, n \in \mathbb{Z}$ ).

We say that

$$\sum_{k,l \in \mathbb{Z}} c_{k,l} Z^k Y^l = o(Z^m Y^n)$$

if  $c_{k,l} \neq 0$  implies  $|k| \leq |m|, |l| \leq |n|, (|k|, |l|) \neq (|m|, |n|)$ .

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if  $c_{k,l} \neq 0$  implies  $|k| \leq |m|$ ,  $|l| \leq |n|$ ,  $(|k|, |l|) \neq (|m|, |n|)$ .

The result will follow from

$$(T_1 + 1)Z^m Y^n (T_1 + 1) \in (T_1 + 1) \left( \widetilde{AW(3, Q_0)} + o(Z^m Y^n) \right) (T_1 + 1).$$

## Proof of theorem, continued

Write  $(T_1 + 1)Z^m Y^n (T_1 + 1)$  as linear combination of

$$\begin{aligned} & Z^{|m|} Y^{|n|} (T_1 + 1), \quad Z^{-|m|} Y^{|n|} (T_1 + 1), \quad Z^{-|m|} Y^{-|n|} (T_1 + 1), \\ & Z^{-|m|} Y^{-|n|} (T_1 + 1) \quad \text{modulo } o(Z^{|m|} Y^{|n|})(T_1 + 1). \end{aligned} \quad (1)$$

This is done by induction, starting with the  $\tilde{\mathfrak{H}}$  relations for  $T_1 Z$ ,  $T_1 Z^{-1}$ ,  $T_1 Y$ ,  $T_1 Y^{-1}$ .

## Proof of theorem, continued

Write  $(T_1 + 1)Z^m Y^n(T_1 + 1)$  as linear combination of

$$\begin{aligned} & Z^{|m|} Y^{|n|}(T_1 + 1), Z^{-|m|} Y^{|n|}(T_1 + 1), Z^{-|m|} Y^{-|n|}(T_1 + 1), \\ & Z^{-|m|} Y^{-|n|}(T_1 + 1) \quad \text{modulo } o(Z^{|m|} Y^{|n|})(T_1 + 1). \end{aligned} \quad (1)$$

This is done by induction, starting with the  $\tilde{\mathfrak{H}}$  relations for  $T_1 Z$ ,  $T_1 Z^{-1}$ ,  $T_1 Y$ ,  $T_1 Y^{-1}$ .

Also write  $(T_1 + 1)K_1^m K_0^n(T_1 + 1)$  and  $(T_1 + 1)K_1^{m-1} K_0 K_1 K_0^{n-1}(T_1 + 1)$  ( $m, n = 0, 1, \dots$ ) as a linear combination of (1).

These latter linear combinations turn out to span the linear combinations obtained for  $(T_1 + 1)Z^m Y^n(T_1 + 1)$ .

# Proof of theorem, example

As an example see for  $m, n > 0$ :

$$\begin{aligned}(T_1 + 1)Z^m Y^n (T_1 + 1) \\ = \left( Z^m Y^n - ab(q^{-1}abcd)^n Z^{-m} Y^{-n} + o(Z^m Y^n) \right) (T_1 + 1)\end{aligned}$$

and

$$\begin{aligned}(T_1 + 1) \left( K_1^{m-1} (K_1 K_0 - q K_0 K_1) K_0^{n-1} \right) (T_1 + 1) = (1 - ab)(1 - q^2) \\ \times \left( Z^m Y^n - ab(q^{-1}abcd)^n Z^{-m} Y^{-n} + o(Z^m Y^n) \right) (T_1 + 1).\end{aligned}$$

Hence

$$\begin{aligned}(T_1 + 1)Z^m Y^n (T_1 + 1) = (1 - ab)^{-1} (1 - q^2)^{-1} \\ \times (T_1 + 1) \left( K_1^{m-1} (K_1 K_0 - q K_0 K_1) K_0^{n-1} + o(Z^m Y^n) \right) (T_1 + 1).\end{aligned}$$

# The subalgebra related to the $-1$ eigenspace of $T_1$

$P_{\text{sym}}^- := (ab - 1)^{-1}(T_1 + ab)$  projects  $\mathcal{A}$  onto the  $-1$  eigenspace  $\mathcal{A}_{\text{sym}}^-$  of  $T_1$ . Write

$$S^-(U) := P_{\text{sym}}^- U P_{\text{sym}}^- \quad (U \in \tilde{\mathfrak{h}}).$$

The image  $S^-(\tilde{\mathfrak{h}})$  is a subalgebra of  $\tilde{\mathfrak{h}}$ , and  $S^-(\widetilde{AW}(3, Q_0))$  is a subalgebra of  $S^-(\tilde{\mathfrak{h}})$ .

# Two isomorphic algebras

## Theorem

*Let  $AW(3, Q_0; qa, qb, c, d)$  be  $AW(3, Q_0)$  with  $a, b$  replaced by  $qa, qb$ , respectively. Then  $K_0 \mapsto q(ab - 1)^{-1}K_0(T_1 + ab)$  and  $K_1 \mapsto (ab - 1)^{-1}K_1(T_1 + ab)$  extend to an algebra isomorphism  $AW(3, Q_0; qa, qb, c, d) \rightarrow S^-(\widetilde{AW(3, Q_0)})$ .*

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This is related to a result in Berest-Etingof-Ginzburg, Duke Math. J. (2003), Proposition 4.11 (see also Iain Gordon's lecture at this conference).

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This is related to a result in Berest-Etingof-Ginzburg, Duke Math. J. (2003), Proposition 4.11 (see also Iain Gordon's lecture at this conference).

## Sketch of proof

Rewrite relations for  $\widetilde{AW}(3, Q_0)$  while considering  $T_1 + 1$  as a generator. Terms with factor  $T_1 + 1$  in the relations for  $\widetilde{AW}(3, Q_0)$  are killed by factor  $T_1 + ab$  in  $S^-(\widetilde{AW}(3, Q_0))$ . In what remains, replace  $K_0$  by  $q^{-1}K_0$  and recognize the relations for  $AW(3, Q_0; qa, qb, c, d)$ .

The subalgebra  $S^-(\tilde{\mathfrak{h}})$  is isomorphic to  $AW(3, Q_0; qa, qb, c, d)$

### Theorem

$S^-(\tilde{\mathfrak{h}}) = S^-(\widetilde{AW(3, Q_0)})$ , so the subalgebra  $S^-(\tilde{\mathfrak{h}})$  is isomorphic to the algebra  $AW(3, Q_0; qa, qb, c, d)$ .

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The proof is analogous to the proof that  $S(\tilde{\mathfrak{h}}) = S(\widetilde{AW(3, Q_0)})$ .  
One has to show that

$$(T_1+ab)Z^m Y^n (T_1+ab) \in (T_1+ab) \left( \widetilde{AW(3, Q_0)} + o(Z^m Y^n) \right) (T_1+ab).$$

# The centralizer of $T_1$ in $\tilde{\mathfrak{H}}$

## Corollary

*The centralizer  $Z_{\tilde{\mathfrak{H}}}(T_1)$  is equal to  $\widetilde{AW}(3, Q_0)$ .*

# The centralizer of $T_1$ in $\tilde{\mathfrak{H}}$

## Corollary

The centralizer  $Z_{\tilde{\mathfrak{H}}}(T_1)$  is equal to  $\widetilde{AW}(3, Q_0)$ .

For the proof write  $U \in \tilde{\mathfrak{H}}$  as

$$U = (1 - ab)^{-1}U(T_1 + 1) + (ab - 1)^{-1}U(T_1 + ab).$$

If  $U \in Z_{\tilde{\mathfrak{H}}}(T_1)$  then so are  $U(T_1 + 1)$  and  $U(T_1 + ab)$ . Hence

$$\begin{aligned}U(T_1 + 1) &= (1 - ab)^{-1}(T_1 + 1)U(T_1 + 1), \\U(T_1 + ab) &= (ab - 1)^{-1}(T_1 + ab)U(T_1 + ab).\end{aligned}$$

So  $U(T_1 + 1) \in S(\tilde{\mathfrak{H}}) = S(\widetilde{AW}(3, Q_0)) \subset \widetilde{AW}(3, Q_0)$  and  $U(T_1 + ab) \in S^-(\tilde{\mathfrak{H}}) = S^-(\widetilde{AW}(3, Q_0)) \subset \widetilde{AW}(3, Q_0)$ .

# The center of $\tilde{\mathfrak{H}}$

## Corollary

*The center of  $\tilde{\mathfrak{H}}$  consists of the scalars.*

**Proof** Let  $U \in Z(\tilde{\mathfrak{H}})$ . Then  $U \in Z_{\tilde{\mathfrak{H}}}(T_1) = \widetilde{AW}(3, Q_0)$ .

So  $U \in Z(\widetilde{AW}(3, Q_0))$ .

Then  $U(T_1 + 1) \in Z(S(\tilde{\mathfrak{H}})) \sim Z(AW(3, Q_0)) \sim \mathbb{C}$  and

$U(T_1 + ab) \in Z(S^-(\tilde{\mathfrak{H}})) \sim Z(AW(3, Q_0; qa, qb, c, d)) \sim \mathbb{C}$ .

So  $U$  is scalar. □

# Further problems

- 1 In higher rank, any root system, describe in terms of generators and relations the algebra generated by polynomial multiplication and by the  $q$ -difference operators for which the Macdonald polynomials are eigenfunctions.
- 2 If thus the higher rank analogue of  $AW(3, Q_0)$  is found, what is the analogue of  $AW(3)$ ?
- 3 What about representations of  $AW(3)$  for values of  $Q$  different from  $Q_0$ ? Are there related special functions?
- 4 Higher rank analogues of my results in the nonsymmetric case.

# Usage of Mathematica

I did computations in algebras defined by generators and relations in Mathematica with the aid of the package **NCAAlgebra**, see <http://www.math.ucsd.edu/~ncalg/>

This was developed by J. W. Helton, R. L. Miller and M. Stankus, in particular for applications in systems engineering and control theory.

See my Mathematica notebooks on <http://www.science.uva.nl/~thk/art/>

# References

Details of the first part of this lecture are in my paper  
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SIGMA 3 (2007), 063;  
`arXiv:math/0612730v4 [math.QA]`.

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Details of the second part of this lecture are in my paper  
*Zhedanov's algebra  $AW(3)$  and the double affine Hecke algebra in the rank one case. II. The spherical subalgebra*,  
arXiv:0711.2320v1 [math.QA].

# Picture of Vadim Kuznetsov

