

K3 SURFACES SEMINAR

ELLIPTIC K3 SURFACES

Huy. ch. 11; 1, 2, 3
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- 1) Elliptic curves : recap. of facts
- 2) Elliptic surfaces
- 3) Fibres of elliptic k3 surfaces
- 4) Weierstrass model of an elliptic k3 surf
- 5) Mordell-Weil Group

1. ELLIPTIC CURVES

Let k be a field, $\text{char}(k) \neq 2, 3$

Def: An **elliptic curve** is a pair (E, O) ,
where E is a **smooth projective** curve
of **genus 1** over k and $O \in E(k)$

E can be described by

Weierstrass
equation

$$E_w: y^2 + a_1xy + a_3y = x^3 + a_2x^2 + a_4x + a_6 \quad \text{in } \mathbb{P}_k^2.$$

$O = \text{point at } \infty$

char(k) $\neq 2, 3$

Under a linear coordinate change ...

$$y^2 = 4x^3 - g_2x - g_3$$

simplified
Weierstrass
equation

equation

Remark: (g_2, g_3) unique up to $(\lambda^4 g_2, \lambda^6 g_3)$, $\lambda \in k^*$

Fact: We have a group structure on $E(k)$

where 0 is the neutral element

Invariants:

$$\Delta(E) := g_2^3 - 27g_3^2$$

Discriminant

$$j(E) := 1728 \frac{g_2^3}{\Delta(E)}$$

j-invariant

FACTS

i) E_W is smooth iff $\Delta(E_W) \neq 0$

ii) $\Delta(E_W)$ is unique up to λ^{12} , $\lambda \in k^*$

iii) $E \cong E'$ iff $j(E) = j(E')$. k alg. closed.

Minimal W-equation for E :

Now, let k have a discrete valuation, v .

Then we say that a Weierstrass equation

for E_W is **minimal** iff $v(a_i) \geq 0$ &

and $v(\Delta)$ is minimal.

E_W is either:

smooth, nodal or cuspidal.



CONVENTIONS

$k = \text{algebraically closed field}$

$\text{char}(k) \neq 2, 3$

$X = \text{algebraic K3 surface} / k$
(in part. projective)

2. ELLIPTIC SURFACES

Def.: An elliptic surface is a projective surface X together with a surjective morphism

$\pi: X \rightarrow C$ with

- C is an smooth projective curve
- the generic fibre is smooth integral curve of genus 1.

If X is a K3 $\Rightarrow C \cong \mathbb{P}^1$

Hence:

Def.: An elliptic K3 surface is a K3 surface X together with a surjective morphism

$\pi: X \rightarrow \mathbb{P}^1$ s.t. there exists a closed point $t \in \mathbb{P}^1$ with

$X_t := \pi^{-1}(t)$ is integral smooth curve of genus 1.

FACTS:

- Π is a flat morphism \Rightarrow the Hilbert polynomials p_{X_t} are equal $\forall X_t \Rightarrow \chi(\mathcal{O}_{X_t}) = 0 \quad \forall X_t$
 $\Rightarrow p_{\text{al}(X_t)} = 1 \quad \forall X_t$.
 The set of elliptic K3's is dense in the moduli space of complex K3's.
- A K3 surface admits an elliptic fibration if
 - i) $\exists L$ line bundle, $L^2 = 0$.
 - ii) $p_a(X) \geq 5$.

EXAMPLES

(i) $A = E_1 \times E_2$, E_1, E_2 elliptic curves

$$\iota: E_1 \times E_2 \longrightarrow E_1 \times E_2$$

$$(P_1, P_2) \longmapsto (-P_1, -P_2)$$

$\tilde{E}_1 \times \tilde{E}_2$ blow up of $E_1 \times E_2$ in the fixed points of ι

$$X = \frac{\tilde{E}_1 \times \tilde{E}_2}{\sim}$$

Kummer surface.

$$\tilde{i}=1, 2 \quad \pi_i: X \longrightarrow \mathbb{P}^1 / \pm \cong \mathbb{P}^1$$

↳ These are elliptic fibrations

(iii) Fermat quartic

$$X \subseteq \mathbb{P}^3; x_0^4 + x_1^4 + x_2^4 + x_3^4 = 0$$

If we rewrite

$$(x_0^2 + \zeta^2 x_1^2)(x_0^2 - \zeta^2 x_1^2) +$$
$$(x_2^2 + \zeta^2 x_3^2)(x_2^2 - \zeta^2 x_3^2) = 0$$

The map

$$\pi: X \longrightarrow \mathbb{P}^1$$
$$[x_0 : x_1 : x_2 : x_3] \mapsto [x_0^2 + \zeta^2 x_1^2 : x_2^2 - \zeta^2 x_3^2].$$

↳ elliptic fibration.

3. FIBRES OF AN ELLIPTIC K3 SURFACE

Notice:

(i). If x_t is smooth, $\mathcal{O}(x_t) \cong \pi^* \mathcal{O}_{\mathbb{P}^1}(1)$.

$$\text{so } \mathcal{O}(x_t)|_{x_t} \cong \mathcal{O}_{x_t}.$$

using the adjunction formula

$$\omega_{x_t} = (\omega_X \otimes \mathcal{O}_X(x_t))|_{x_t} \cong (\mathcal{O}_X \otimes \mathcal{O}_X(x_t))|_{x_t} \cong$$
$$\cong \mathcal{O}_X(x_t)|_{x_t} \cong \mathcal{O}_{x_t}.$$

(iii) Not all the fibres are smooth.

If π_1 is smooth $\Rightarrow h^0(X, \mathcal{O}_X) = 1$ contradict.

Proposition: $\pi: X \rightarrow \mathbb{P}^1$ elliptic k3.

(i) $\pi_* \mathcal{O}_X \cong \mathcal{O}_{\mathbb{P}^1}$ and $R^1 \pi_* \mathcal{O}_X \cong \mathcal{O}_{\mathbb{P}^1}(-2)$.

(ii) All the fibres x_t are connected.

(iii) No fibre is multiple.

(iv) If $x_t = \sum_{i=1}^n m_i c_i$, c_i are integral.

$$\cdot (c_i \cdot x_t) = 0$$

$$\cdot \left(\underbrace{\sum_{i=1}^n m_i c_i}_C \right)^2 \leq 0 \quad \forall n \in \mathbb{Z}$$

\Leftrightarrow if C is a multiple of x_t .

proof (sketch):

(i) Is a consequence $h^1(x_t, \mathcal{O}_{x_t}) = 1$

(ii) Is a consequence of Riemann-Roch.

(iii) Take x_t to be smooth. From the seq.

$$0 \rightarrow \mathcal{O}(-x_t) \rightarrow \mathcal{O}_X \rightarrow \mathcal{O}_{x_t} \rightarrow 0$$

And the column. long exact seq:

Kod. Ram.

$$0 \rightarrow H^0(X, \mathcal{O}(-x_t)) \rightarrow H^0(X, \mathcal{O}_X) \rightarrow H^0(x_t, \mathcal{O}_{x_t})$$

$$H^0(x_t, \mathcal{O}_{x_t}) \cong \mathbb{K}$$

$$\rightarrow H^1(X, \mathcal{O}_X(-x_t)) \rightarrow H^1(X, \mathcal{O}_X) \rightarrow H^1(X, \mathcal{O}_{X_t})$$

$$\rightarrow H^2(X, \mathcal{O}_X(-x_t)) \rightarrow H^2(X, \mathcal{O}_X) \rightarrow H^2(X, \mathcal{O}_{X_t}).$$

2) \mathbb{K}^2 2) \mathbb{K} betti numbers 2) $H^0(X_t, \mathcal{O}_{X_t})$

- we know.

- we get.

Now, $H^i(X, \mathcal{O}_X(-x_t))$ are all the same.

$$H^0(X_t, \mathcal{O}_{X_t}) = H^1(X_t, \mathcal{O}_{X_t}) \simeq \mathbb{V}_{X_t}$$

$$\hookrightarrow \mathcal{O}_{\mathbb{P}^1} \rightarrow \pi_* \mathcal{O}_X$$

this is an
isomorphism.

$$[\text{Hartshorne}] \Rightarrow \begin{matrix} \pi \text{ surjective} \\ R^1 \pi_* \mathcal{O}_X \simeq \mathcal{O}_{\mathbb{P}^1}(d) \end{matrix} \quad d \in \mathbb{Z}$$

$$\text{Leray. } H^2(X, \mathcal{O}_X) \cong H^1(\mathbb{P}^1, R^1 \pi_* \mathcal{O}_X).$$

$$\text{[Stokes project]. } \dim(H^1(\mathbb{P}^1, \mathcal{O}(d))) \simeq 1 \text{ iff } d = -2$$

$$(iv) \text{ For any } x_t = \sum m_i c_i$$

$$\text{we use that } \boxed{w_{x_t} = \mathcal{O}_{X_t}} \text{ and } \mathcal{O}(x_t)|_{C_i} \simeq \mathcal{O}_{C_i}$$

$$\Rightarrow \text{to show that } (x_t, c_i) = 0$$

The second part is computational

D.

Corollary: $x_t \xrightarrow{\text{fibres}}$ is one of the following

- x_t irreducible
- $x_t = \sum_{i=1}^l m_i c_i$ with $c_i (-2)$ -curves and $c_i \cong \mathbb{P}^1$.
and $(m_1, \dots, m_l) = 1$

proof: If $x_t = C + C'$

$$0 \rightarrow \mathcal{O}_{x_t}(C) \rightarrow \mathcal{O}_{x_t} \rightarrow \mathcal{O}_{x_t}|_{C'} \rightarrow 0.$$

$\dim \left(\frac{x_t^2}{2} + 2 \right) = 2$

$$\Rightarrow 0 \rightarrow H^0(X, \mathcal{O}(C)) \xrightarrow{\sim k} H^0(X, \mathcal{O}(x_t)) \rightarrow H^0(X, \mathcal{O}(x_t)|_{C'}) \rightarrow H^1(X, \mathcal{O}(C)).$$

Ram. Kod vanishing.

- we know $\mathcal{O}_{C'} \cong k$
- we get

□

Classification of x_t : graphs

The **dual graph** of a curve $C = \sum n_i c_i$

consists of

vertices: $n_i c_i$

edges: c_i, c_j are connected by (c_i, c_j) -edges.

Notation: $G(C)$ dual graph of C .

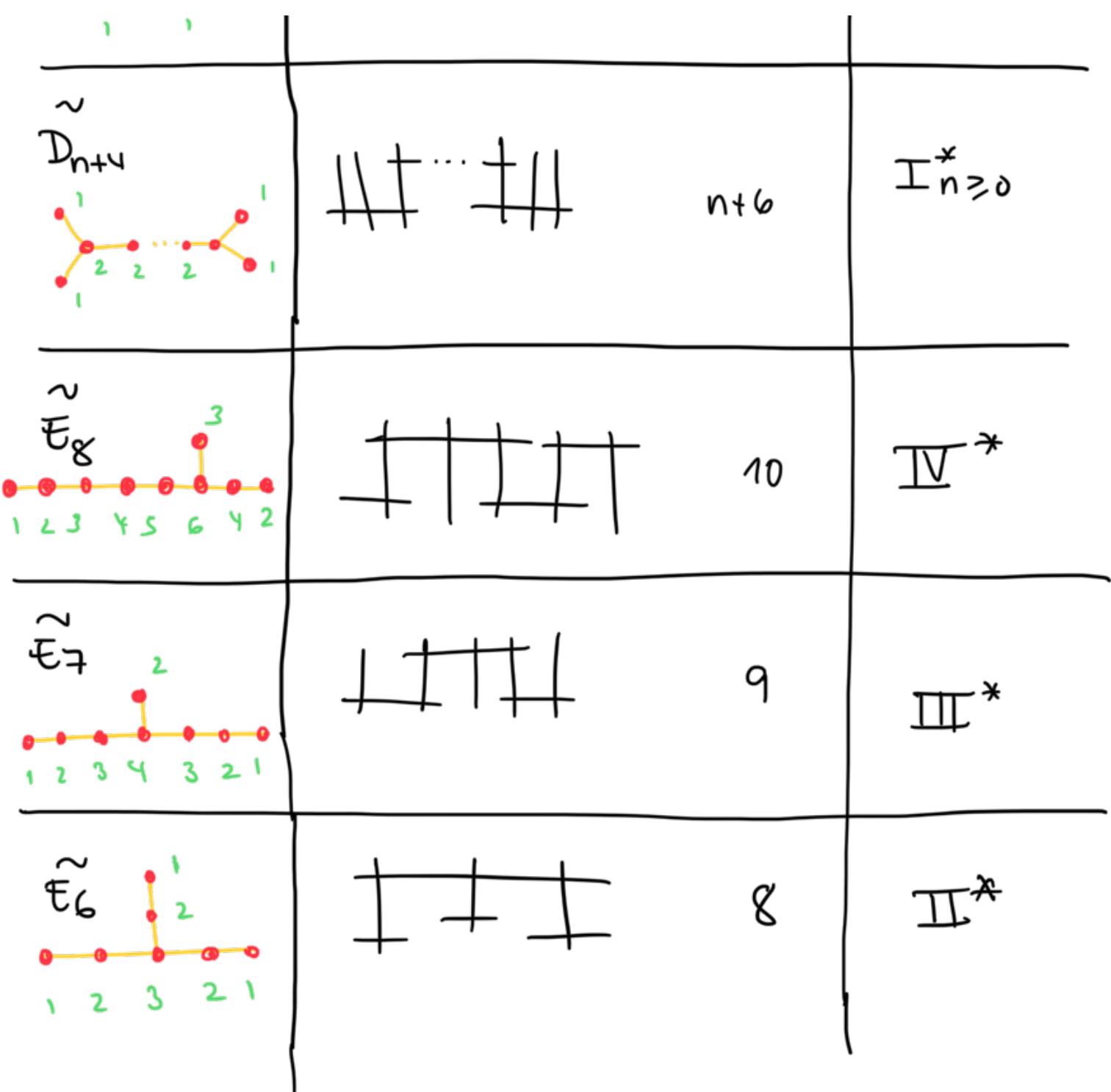
KODAIRA'S CLASSIFICATION THEOREM.

Corollary: $G(x_t)$ is one of \tilde{A}_n, \tilde{D}_n

$\tilde{E}_6, \tilde{E}_7, \tilde{E}_8$ (extended Dynkin diagrams).

Theorem: The fibres x_t are classified by the table below.

$G(x_t)$	x_t	e	Type Name
\tilde{A}_0	 ell. curve	0	I_0
	 node	1	I_1
	 cusp	2	II
\tilde{A}_1	 	2	I_2
	 	3	III
\tilde{A}_2	 	4	IV
\tilde{A}_{n-1}	 	n	$I_{n \geq 3}$



The green numbers are the multiplicities of each component

Proof (Idea)

we compute $G(X_t)$ (all the possibilities)

- we use X_t are connected

- $X_t = \sum m_i C_i$

$$(X_t \cdot C_i) = 0$$

$$(C_i)^2 = -2 \quad C_i \cong \mathbb{P}^1$$

D.

Remark: we can use the euler number $e(X)$

$$24 = e(X) = \sum_{x_t} e(x_t)$$

sing.

$$q = e(\text{III}^*)$$

In particular we have 24 singular fibres.
 (with multiplicities). How many fibres of type III^* are in an ell. $K3$?

4. WEIERSTRASS MODELS OF $K3$

As for elliptic curves, we want now to find

a Weierstrass equation for an elliptic $K3$

↳ "Family of elliptic curves"

Remark: Needed for w. equation:

Weierstrass curves

v.s.

elliptic $K3$ surf.

- | | | |
|-----|-----------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------|
| (1) | <ul style="list-style-type: none"> • Ew irreducible curve of genus 1 | <ul style="list-style-type: none"> • Not all the fibres x_t of X are irreducible |
| (2) | <ul style="list-style-type: none"> • we have a "given" point $0 \in E(K)$ | <ul style="list-style-type: none"> • we don't have a specified point on x_t. |

How do we fix this?

- (2) We assume the existence of a **section** on X

Def: Let $\pi: X \rightarrow \mathbb{P}^1$ be an elliptic K3.

A section of π is a curve $C_0 \subseteq X$ such that $\pi|_{C_0}: C_0 \rightarrow \mathbb{P}^1$ is an isomorphism.

$$\pi|_{C_0}(C_0) \simeq \mathbb{P}^1 \Rightarrow (x_t, C_0) = 1$$

- If $x_t = \sum m_i C_i$,
 C_0 intersects only one C_j
and $m_j = 1$.

Remark: Natural question: When do we have a section on X ?

If $E := X_\eta = \pi^{-1}(\eta)$ is the generic fibre.

then we know that E is a smooth curve
of genus 1 over $K := k(\eta)$

we have a bijection

$$\text{HC section of } \pi \longleftrightarrow E(K).$$

$$C \longmapsto E \cap C = P.$$

We have a section iff $E(K) \neq \emptyset$.

(1) Contracting fibres x_t into irreducible.

$$x_t = \sum m_i C_i, \text{ fix a section } C_0;$$

Now, $C = \sum_{i \neq j} m_i C_i \rightsquigarrow G(C)$ $G(C)$ of C_j is the component of x_t cutting C_0

(the ones on the Dynkin diagram.)

thm. d'Val.

table - 1 vertex w/
mult 1).

→ C can be contracted into a node or a cusp.

\bar{X} is X after all such contractions

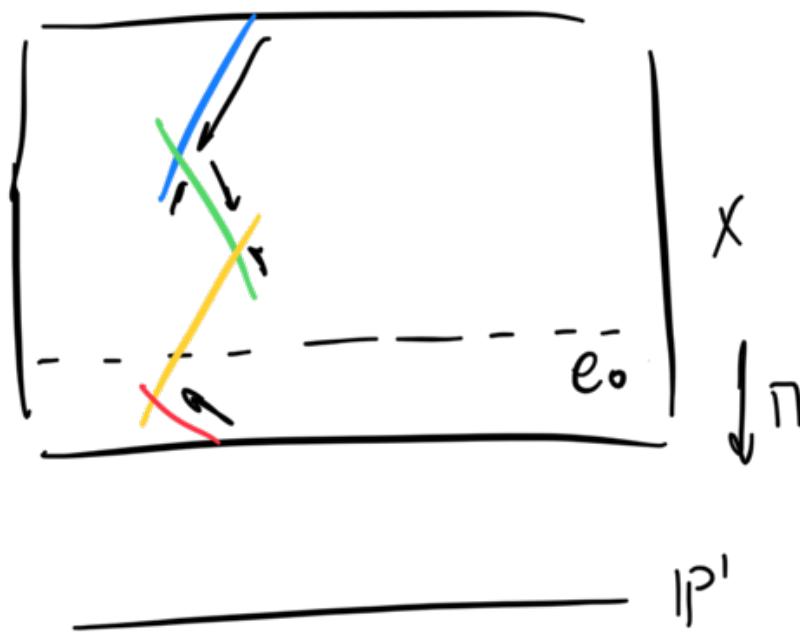
Weierstrass
model
of X

$\bar{\pi} : \bar{X} \rightarrow \mathbb{P}^1$ elliptic
fibration

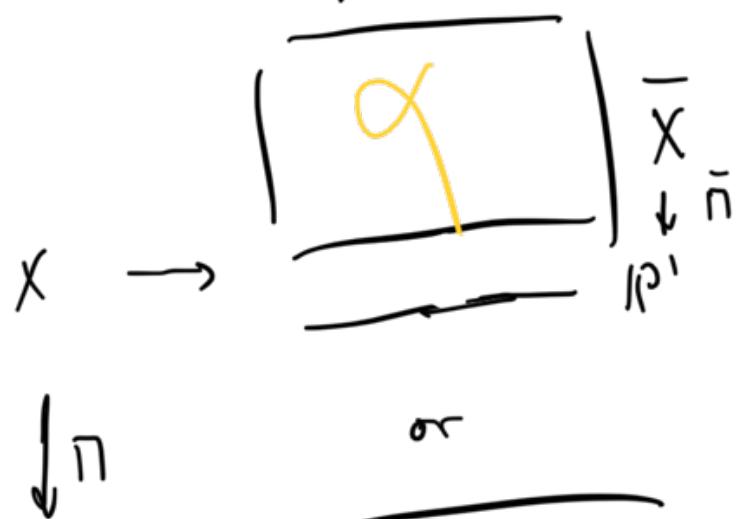
↳ All the fibres are irreducible
curves.

Def: such a fibration is called a Weierstrass
fibration.

So, essentially we have



we get either



or



With conditions (1)+(2) fixed, we now construct the global w. equation for X . (for \bar{X})

Taking $\varphi: X \rightarrow \bar{X}$ contraction morphism.
 with $\bar{\pi}: \bar{X} \rightarrow \mathbb{P}^1$
 and $\pi = \bar{\pi} \circ \varphi$

• $\bar{C}_0 = \varphi(C_0)$ is a section for \bar{X}

How do we find the w. equation?

We use the sequences

$$0 \rightarrow \mathcal{O}_{\bar{X}}((n-1)\bar{C}_0) \rightarrow \mathcal{O}_{\bar{X}}(n\bar{C}_0) \rightarrow \mathcal{O}_{\bar{C}_0}(-2n) \rightarrow 0$$

and apply $\bar{\pi}_*$

$$0 \rightarrow \bar{\pi}_*(\mathcal{O}_X((n-1)\bar{C}_0)) \rightarrow \bar{\pi}_*\mathcal{O}_{\bar{X}}(n\bar{C}_0) \xrightarrow{\bar{\pi}_*} \mathcal{O}_{\bar{C}_0}(-2n) \rightarrow 0$$

$\rightarrow R^1\bar{\pi}_*\mathcal{O}_X((n-1)\bar{C}_0)$

SPLIT.

It follows (using $n=1, 2, 3$)

$$\bar{\pi}_*\mathcal{O}_{\bar{X}}(3\bar{C}_0) \cong \underset{1}{\mathcal{O}_{\mathbb{P}^1}} \oplus \underset{x}{\mathcal{O}_{\mathbb{P}^1}(-4)} \oplus \underset{y}{\mathcal{O}_{\mathbb{P}^1}(-6)} =: F$$

Ideas: $\bar{X} \subseteq \mathbb{P}(F^*)$, If we compute $\mathcal{O}(\bar{X})$

$$f \in H^0(\mathbb{P}(F^*), \mathcal{O}(\bar{X})) \cong H^0(\mathbb{P}^1, \underline{\mathcal{O}_{\mathbb{P}^1}^3(F)}) \otimes \mathcal{O}_{\mathbb{P}^1}(-12).$$

\mathcal{O} is equivalent to a homogeneous

\hookrightarrow polynomial of degree 3 on x, y

locally, take $U_i \subseteq \bar{X}$ s.t. $\mathcal{O}_{\bar{C}_0}(-2)$ is trivial.
Local normal bundle of \bar{X}

z_i : local coord. for $\mathcal{O}_{\mathbb{P}^1}(1)|_{U_i}$

x_i : local coord. for $\mathcal{O}_{\mathbb{P}^1}(-1)|_{\bar{\pi}(U_i)}$

y_i : local coord. for $\mathcal{O}_{\mathbb{P}^1}(-6)|_{\bar{\pi}(U_i)}$

we get $\{1, x_i, y_i\}$. basis of $\bar{\pi}_* \mathcal{O}_{\bar{X}}(3\bar{C}_0)|_{\bar{\pi}(U_i)}$.

Previous sequence + Riemann-Roch \Rightarrow

$\{1, x_i, x_i^2, x_i^3, x_i y_i, y_i^2\}$ basis of $\bar{\pi}_* \mathcal{O}_{\bar{X}}(6\bar{C}_0)|_{\bar{\pi}(U_i)}$

In particular

Now, let $f_i \in \mathcal{O}_{\bar{X}}(2\bar{C}_0)|_{U_i} \dashrightarrow x_i$

$h_i \in \mathcal{O}_{\bar{X}}(3\bar{C}_0)|_{U_i} \dashrightarrow y_i$

Then $h_i^2 \in \mathcal{O}_{\bar{X}}(6\bar{C}_0)|_{U_i}$

and

$$h_i^2 = \underbrace{a_6, i f_i^3 + a_5, i f_i y_i + a_4, i f_i^2 + a_3, i h_i + a_2, i f_i + a_1, i}_{a_{j,i} \in \mathcal{O}_{\bar{X}}((6-j)\bar{C}_0)|_{U_i}}$$

Take $\{U_i\}$ open cover of \bar{X} and glue together.
we obtain:

Chair(X) + 23.

$$h^2 = a_6 f^3 + a_5 f^2 h + a_4 f^2 + a_3 h + a_2 f + a_1$$

$$a_j \in \mathcal{O}_{\bar{X}}((6-j)\bar{C}_0)$$

All the points of \bar{X} are described by such an equation

Applying lin. transform.

$$h^2 = 4f^3 + G_2 f + G_3$$

where

$$\rightarrow G_2 \in \mathcal{O}_{\bar{X}}(4\bar{C}_0) \rightarrow g_2 \in H^0(\mathbb{P}^1, \mathcal{O}_{\mathbb{P}^1}(8))$$

$$\rightarrow G_3 \in \mathcal{O}_{\bar{X}}(6\bar{C}_0) \rightarrow g_3 \in H^0(\mathbb{P}^1, \mathcal{O}_{\mathbb{P}^1}(12))$$

Def: The **discriminant** of \bar{X} is the non-trivial section

of \mathbb{P}^1

$$\Delta := g_2^3 - 27g_3^2 \in \mathcal{O}_{\mathbb{P}^1}(24).$$

Δ has 24 zeroes,

\bar{X} has 24 singularities.

Remark: (g_2, g_3) are unique up to $(x^4 g_2, x^6 g_3)$.

Remark: The construction can be reversed.

Given $g_2 \in H^0(\mathbb{P}^1, \mathcal{O}_{\mathbb{P}^1}(8))$ and $y^2 = x^3 + g_2x + g_3$
 $g_3 \in H^0(\mathbb{P}^1, \mathcal{O}_{\mathbb{P}^1}(12))$

it determines a surface $\bar{X} \subseteq \mathbb{P}(F^*)$.

X minimal desingularization \bar{X}

\hookrightarrow This is a K3 surface.

5. Mordell-Weil group

Let $E: X_\eta = \pi^{-1}(\eta)$ the *generic fibre* of X .

It's an ell. curve over $K = k(\eta) \cong k(t)$ on \mathbb{P}^1 ,

\hookrightarrow we are assuming the existence of a section.
Recall:

$\{ c \text{ section of } \pi|_Y \longleftrightarrow E(K) \}$

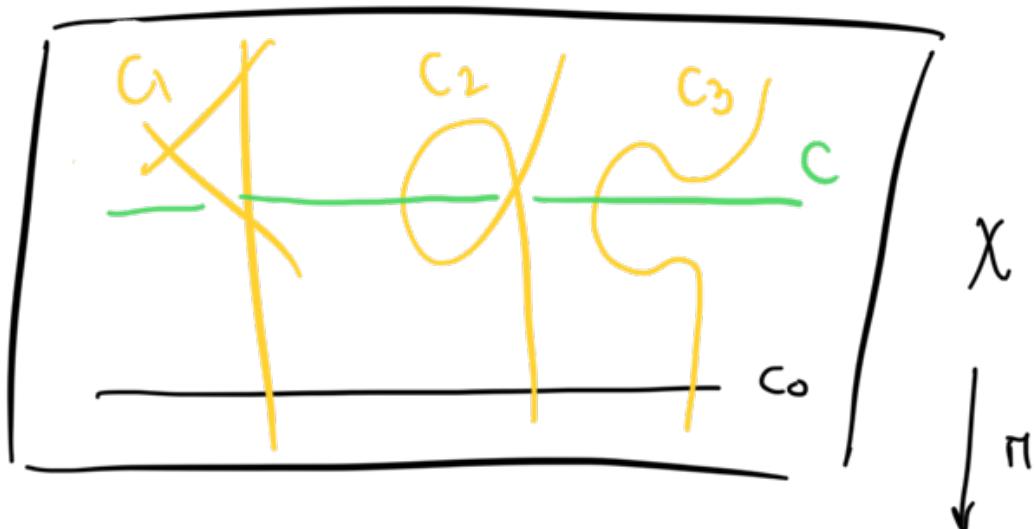
$$c \longmapsto C \cap E = P_c$$

$$\overline{P} \longleftarrow P$$

- We can endow $\mathcal{H}^0(C)$ section of $\pi^*\mathcal{L}$ with the group structure coming from $E(K)$
- If we chose a section c_0 , st.
 $c_0 \cap E = O_{c_0} = O_E \in E_K$,
 c_0 is the neutral element.

Def.: let $D = \sum n_i c_i$ divisor on X

- D is **vertical** if all components are supported on some fibres X_t . characterization $(X_t \cdot D) = 0$
- D is **horizontal** if $D = C$ irreducible and $\pi|_C: C \rightarrow \mathbb{P}^1$ is a surjection





Consider the group homomorphism

$$\phi: \text{Div}(X) \longrightarrow \text{Div}(E)$$

$$D \longmapsto D|_E$$

- $\ker(\phi) = \{D : (D \cdot x_t) = 0\} = \{\text{vertical divisors}\}$

since E is the generic fibre of X $k(E) = k(X)$

so ϕ gives

$$\tilde{\phi}: \text{Pic}(X) \longrightarrow \text{Pic}(E)$$

$$\bar{D} \longmapsto \overline{D|_E}$$

- $\ker(\tilde{\phi}) = \{\bar{D} : D \sim \text{vertical divisor}\}$.

- Given $L \in \text{Pic}(X)$ we define ^{the degree fibre} $d_L = (x_t \cdot L)$.

Consider the line bundle

$$L|_E \otimes \mathcal{O}(-d_L \mathcal{O}_E) \cong \mathcal{O}(p_L \cdot \mathcal{O}_E)$$

line bundle



in theorem's

$p_L \in E(k)$

unique point.

wrt degree Abel

- If C is a section, $L = \mathcal{O}(C)$.

$$\boxed{PL = E \cap C.}$$

Def: The **Mordell-Weil group** of X is the group of sections of $\pi: X \rightarrow \mathbb{P}^1$.

we have $MW(X) \cong E(k)$

Prop: There is a short exact sequence

$$0 \rightarrow A \rightarrow NS(X) \rightarrow MW(X) \rightarrow 0$$

where

$$A = \langle \text{vertical divisors} \rangle.$$

In particular $MW(X)$ is a finitely generated abelian group.

proof: since $X \not\cong \mathbb{P}^1$, we have $NS(X) \cong \text{Pic}(X)$.

And the map

$$\begin{array}{ccc} NS(X) \cong \text{Pic}(X) & \xrightarrow{F} & E(k) \cong MW(X) \\ L & \longmapsto & PL \end{array}$$

is:

$$\text{surjective}: p \in E(k), L = \mathcal{O}(\bar{p}), PL = p.$$

$$\text{kernel}: L \in \text{Pic}(X) \in \text{kernel}(F)$$

$$PL = 0_E \iff (L \cdot x_t) = 0$$

$\hookrightarrow L$ is a vertical divisor

Remark: k does not need to be finitely gen.

Corollary: (Shioda-Tate)

$r_t = \#\text{ (irred components of } X_t\text{)}.$

$$p(X) = \text{rank}(NS(X)) = 2 + \sum(r_t - 1) + \text{rank}(\text{MW}(X)).$$

Proof:

$$\text{rank}(A) = 2 + \sum(r_t - 1).$$

□ .

WHAT CAN WE SAY ABOUT MW(X)?

TORSION, ($\text{char } k = 0$)

$$\text{MW}(X) \cong \mathbb{Z}/m\mathbb{Z} \times \mathbb{Z}/n\mathbb{Z}$$
$$m, n \in \mathbb{Z}.$$

FREE PART We can define the Mordell-Weil

(lattice of X .)

$\text{MW}(X)/\text{MW}(X)_{\text{tors}}$ together with $\langle \cdot \rangle = -\langle \cdot \rangle$.
A
B.

⚠ Multiplying by
a constant
 $\dots \langle \cdot \rangle \in \mathbb{Z}$.

the Mordell-Weil lattice is a positive
defined lattice.

$$0 \leq \text{rank}(\text{MW}(X)) \leq \text{rank}(\text{NS}(X)) - 2$$

$\leq 18 \quad \text{char } 0$
 $\leq 20 \quad \text{char } > 0$